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# Operational Guidelines for the Implementation of the World Heritage Convention

UNITED NATIONS EDUCATIONAL, SCIENTIFIC AND CULTURAL ORGANIZATION




INTERGOVERNMENTAL COMMITTEE FOR THE PROTECTION OF THE WORLD CULTURAL AND NATURAL HERITAGE

WORLD HERITAGE CENTRE

The Operational Guidelines are periodically revised to reflect the decisions of the World Heritage Committee. Please verify that you are using the latest version of the Operational Guidelines by checking the date of the Operational Guidelines on the UNESCO World Heritage Centre website indicated below.

The Operational Guidelines (in English and French), the text of the World Heritage Convention (in six languages), and other documents and information concerning World Heritage are available from the World Heritage Centre:

UNESCO World Heritage Centre
7, place de Fontenoy
75352 Paris 07 SP
France

Contact: https://whc.unesco.org/en/world-heritage-centre
Links: https://whc.unesco.org/
https://whc.unesco.org/en/guidelines *(English)*
https://whc.unesco.org/fr/orientations *(French)*

## TABLE OF CONTENTS


| Chapter Number | | Paragraph Number(s) |
|---|---|---|
| | **ACRONYMS AND ABBREVIATIONS** | |
| **I** | **INTRODUCTION** | |
| **I.A** | **The *Operational Guidelines*** | **1-3** |
| **I.B** | **The *World Heritage Convention*** | **4-9** |
| **I.C** | **The States Parties to the *World Heritage Convention*** | **10-16** |
| **I.D** | **The General Assembly of States Parties to the *World Heritage Convention*** | **17-18** |
| **I.E** | **The World Heritage Committee** | **19-26** |
| **I.F** | **The Secretariat to the World Heritage Committee (World Heritage Centre)** | **27-29** |
| **I.G** | **The Advisory Bodies to the World Heritage Committee** | **30-37** |
| | ICCROM | 32-33 |
| | ICOMOS | 34-35 |
| | IUCN | 36-37 |
| **I.H** | **Other organizations** | **38** |
| **I.I** | **Partners in the protection of World Heritage** | **39-40** |
| **I.J** | **Other Conventions, Recommendations and Programmes** | **41-44** |
| **II** | **THE WORLD HERITAGE LIST** | |
| **II.A** | **Definition of World Heritage** | **45-53** |
| | Cultural and Natural Heritage | 45 |
| | Mixed Cultural and Natural Heritage | 46 |
| | Cultural landscapes | 47-47ter |
| | Movable Heritage | 48 |
| | Outstanding Universal Value | 49-53 |
| **II.B** | **A Representative, Balanced and Credible World Heritage List** | **54-61** |
| | The Global Strategy for a Representative, Balanced and Credible World Heritage List | 55-58 |
| | Other measures | 59-61 |
| **II.C** | **Tentative Lists** | **62-76** |
| | Procedure and Format | 62-69 |
| | Tentative Lists as a planning and evaluation tool | 70-73 |
| | Assistance and Capacity Building for States Parties in the preparation of Tentative Lists | 74-76 |

**II.D Criteria for the assessment of Outstanding Universal Value ..... 77-78**

**II.E Authenticity and/or integrity ..... 79-95**

Authenticity ..... 79-86

Integrity ..... 87-95

**II.F Protection and management ..... 96-119**

Legislative, regulatory and contractual protection measures ..... 98

Boundaries for effective protection ..... 99-102

Buffer zones ..... 103-107

Management systems ..... 108-118bis

Sustainable use ..... 119

**III PROCESS FOR THE INSCRIPTION OF PROPERTIES ON THE WORLD HERITAGE LIST**

**III.A Preparation of Nominations ..... 120-128**

Upstream Process ..... 121

Preliminary Assessment ..... 122

Participation in the nomination process ..... 123

Preparatory Assistance for the preparation of nominations ..... 124

Secretariat assistance throughout the nomination process ..... 125-126

Deadlines for the submission of draft nominations and nomination dossiers ..... 127-128

**III.B Format and content of nominations ..... 129-133**

1. Identification of the nominated property ..... 132.1

2. Description of the nominated property ..... 132.2

3. Justification for inscription ..... 132.3

4. State of conservation and factors affecting the nominated property ..... 132.4

5. Protection and management ..... 132.5

6. Monitoring ..... 132.6

7. Documentation ..... 132.7

8. Contact information of responsible authorities ..... 132.8

9. Signature on behalf of the State Party ..... 132.9

10. Number of printed copies required (including annexed maps) ..... 132.10

11. Paper and electronic formats ..... 132.11

12. Sending ..... 132.12

**III.C Requirements for nomination of different types of nominated properties ..... 134-139**

Nominated transboundary properties ..... 134-136

Nominated serial properties ..... 137-139

**III.D Registration of nominations ..... 140-142**

**III.E Evaluation of nominations by the Advisory Bodies ..... 143-151**

**III.F Withdrawal of nominations ..... 152-152bis**

**III.G Decision of the World Heritage Committee ..... 153-160**

Inscription ..... 154-157

Decision not to inscribe ..... 158

Referral of Nominations ..... 159

Deferral of Nominations ..... 160

**III.H Nominations to be processed on an emergency basis ..... 161-162**

**III.I Modifications to the boundaries, to the criteria used to justify inscription or to the name of a World Heritage property ..... 163-167**

Minor modifications to the boundaries ..... 163-164

Significant modifications to the boundaries ..... 165

Modifications to the criteria used to justify inscription on the World Heritage List ..... 166

Modification to the name of a World Heritage property ..... 167

**III.J Timetable – overview ..... 168**

**III.K Financing of evaluation of nominations ..... 168bis**

**IV PROCESS FOR MONITORING THE STATE OF CONSERVATION OF WORLD HERITAGE PROPERTIES**

**IV.A Reactive Monitoring ..... 169-176**

Definition of Reactive Monitoring ..... 169

Objective of Reactive Monitoring ..... 170-171

Information received from States Parties and/or other sources ..... 172-174

Decision by the World Heritage Committee ..... 175-176

**IV.B The List of World Heritage in Danger ..... 177-191**

Guidelines for the inscription of properties on the List of World Heritage in Danger ..... 177

Criteria for the inscription of properties on the List of World Heritage in Danger ..... 178-182

Procedure for the inscription of properties on the List of World Heritage in Danger ..... 183-189

Regular review of the state of conservation of properties on the List of World Heritage in Danger ..... 190-191

**IV.C Procedure for the eventual deletion of properties from the World Heritage List ..... 192-198**

**V PERIODIC REPORTING ON THE IMPLEMENTATION OF THE *WORLD HERITAGE CONVENTION***

**V.A Objectives ..... 199-202**

**V.B Procedure and Format ..... 203-207**

**V.C Evaluation and Follow-Up ..... 208-210**

| | | |
|---|---|---|
| **VI** | **ENCOURAGING SUPPORT FOR THE *WORLD HERITAGE CONVENTION*** | |
| **VI.A** | **Objectives** | **211** |
| **VI.B** | **Capacity building and research** | **212-216** |
| | The World Heritage Capacity Building Strategy | 213 |
| | National training strategies and regional cooperation | 214-214bis |
| | Research | 215 |
| | International Assistance | 216 |
| **VI.C** | **Awareness-raising and education** | **217-222** |
| | Awareness-raising | 217-218 |
| | Education | 219 |
| | International Assistance | 220-222 |
| **VII** | **THE WORLD HERITAGE FUND AND INTERNATIONAL ASSISTANCE** | |
| **VII.A** | **The World Heritage Fund** | **223-224** |
| **VII.B** | **Mobilization of other technical and financial resources and partnerships in support of the *World Heritage Convention*** | **225-232** |
| **VII.C** | **International Assistance** | **233-235** |
| **VII.D** | **Principles and priorities for International Assistance** | **236-240** |
| **VII.E** | **Summary Table** | **241** |
| **VII.F** | **Procedure and format** | **242-246** |
| **VII.G** | **Assessment of International Assistance requests** | **247-254** |
| **VII.H** | **Contractual arrangements** | **255** |
| **VII.I** | **Evaluation and follow-up of International Assistance** | **256-257** |
| **VIII** | **THE WORLD HERITAGE EMBLEM** | |
| **VIII.A** | **Preamble** | **258-265** |
| **VIII.B** | **Applicability** | **266** |
| **VIII.C** | **Responsibilities of States Parties** | **267** |
| **VIII.D** | **Increasing proper uses of the World Heritage Emblem** | **268-274** |
| | Production of plaques to commemorate the inscription of properties on the World Heritage List | 269-274 |
| **VIII.E** | **Principles on the use of the World Heritage Emblem** | **275** |
| **VIII.F** | **Authorization procedure for the use of the World Heritage Emblem** | **276-278** |
| | Simple agreement of the national authorities | 276-277 |
| | Agreement requiring quality control of content | 278 |
| **VIII.G** | **Right of States Parties to exert quality control** | **279** |

| | | |
|---|---|---|
| **IX** | **INFORMATION SOURCES** | |
| **IX.A** | **Information archived by the Secretariat** | **280-284** |
| **IX.B** | **Specific Information for World Heritage Committee members and other States Parties** | **285-287** |
| **IX.C** | **Information and publications available to the public** | **288-290** |

**ANNEXES**

**Annex 1:** **Model Instrument of Ratification/Acceptance**
**Model Instrument of Accession**

**Annex 2A:** **Tentative List Submission Format**

**Annex 2B:** **Tentative List Submission Format for Future Transnational and Transboundary Nominations**

**Annex 3:** **Request Format for a Preliminary Assessment of a Potential Nomination to the World Heritage List**

**Annex 4:** **Authenticity in relation to the World Heritage Convention**

**Annex 5:** **Format for the nomination of properties for inscription on the World Heritage List**

**Annex 6:** **Procedures of the Advisory Bodies for Preliminary Assessments and the Evaluation of Nominations**

**Annex 7:** **Format for Periodic Reporting on the application of the World Heritage Convention**

**Annex 8:** **International Assistance Request Form**

**Annex 9:** **Evaluation criteria for International Assistance requests**

**Annex 10:** **Statement of Outstanding Universal Value**

**Annex 11:** **Modifications to World Heritage Properties**

**Annex 12:** **Form for the submission of Factual Errors in the Advisory Bodies Evaluations**

**Annex 13:** **Format for the submission of State of Conservation Reports by the States Parties**

**Annex 14:** **Table of uses of the World Heritage Emblem**

**Annex 15:** **Upstream Process Request Format**

**SELECT WORLD HERITAGE BIBLIOGRAPHY**

## ACRONYMS AND ABBREVIATIONS

| | |
|---|---|
| DoCoMoMo | International Committee for the Documentation and Conservation of Monuments and Sites of the Modern Movement |
| ICCROM | International Centre for the Study of the Preservation and Restoration of Cultural Property |
| ICOMOS | International Council on Monuments and Sites |
| IFLA | International Federation of Landscape Architects |
| IUCN | International Union for Conservation of Nature and Natural Resources |
| IUGS | International Union of Geological Sciences |
| MAB | Man and the Biosphere Programme of UNESCO |
| NGO | Non-governmental organization |
| TICCIH | International Committee for the Conservation of the Industrial Heritage |
| UNEP | United Nations Environment Programme |
| UNEP-WCMC | World Conservation Monitoring Centre (UNEP) |
| UNESCO | United Nations Educational, Scientific and Cultural Organization |

# I INTRODUCTION

## I.A The *Operational Guidelines*

**1.** The *Operational Guidelines for the Implementation of the World Heritage Convention* (hereinafter referred to as the *Operational Guidelines)* aim to facilitate the implementation of the *Convention concerning the Protection of the World Cultural and Natural Heritage* (hereinafter referred to as "the *World Heritage Convention*" or "the *Convention*"), by setting forth the procedures for:

a) the inscription of properties on the World Heritage List and the List of World Heritage in Danger;

b) the protection and conservation of World Heritage properties;

c) the granting of International Assistance under the World Heritage Fund; and

d) the mobilization of national and international support in favor of the *Convention*.

**2.** The *Operational Guidelines* are periodically revised to reflect the decisions of the World Heritage Committee.

The historical development of the *Operational Guidelines* is available at: https://whc.unesco.org/en/guidelines/

**3.** The key users of the *Operational Guidelines* are:

a) the States Parties to the *World Heritage Convention*;

b) the Intergovernmental Committee for the Protection of the Cultural and Natural Heritage of Outstanding Universal Value, hereinafter referred to as "the World Heritage Committee" or "the Committee";

c) the UNESCO World Heritage Centre as Secretariat to the World Heritage Committee, hereinafter referred to as "the Secretariat";

d) the Advisory Bodies to the World Heritage Committee;

e) site managers, stakeholders and partners in the protection of World Heritage properties.

## I.B The *World Heritage Convention*

**4.** The cultural and natural heritage is among the priceless and irreplaceable assets, not only of each nation, but of humanity as a whole. The loss, through deterioration or disappearance, of any of these most prized assets constitutes an impoverishment of the heritage of all the peoples of the world. Parts of this heritage, because of their exceptional qualities, can be considered to be of "Outstanding Universal Value" and as such worthy of special protection against the dangers which increasingly threaten them.

**5.** To ensure, as far as possible, the proper identification, protection, conservation and presentation of the world's heritage, the Member States of UNESCO adopted the *World Heritage Convention* in 1972. The *Convention* foresees the establishment of a "World Heritage Committee" and a "World Heritage Fund". Both the Committee and the Fund have been in operation since 1976.

**6.** Since the adoption of the *Convention* in 1972, the international community has embraced the concept of "sustainable development". The protection and conservation of the natural and cultural heritage constitute a significant contribution to sustainable development.

**7.** The *Convention* aims at the identification, protection, conservation, presentation and transmission to future generations of cultural and natural heritage of Outstanding Universal Value.

**8.** The criteria and conditions for the inscription of properties on the World Heritage List have been developed to evaluate the Outstanding Universal Value of properties and to guide States Parties in the protection and management of World Heritage properties.

**9.** When a property inscribed on the World Heritage List is threatened by serious and specific dangers, the Committee considers placing it on the List of World Heritage in Danger. When the Outstanding Universal Value of the property which justified its inscription on the World Heritage List is lost, the Committee considers deleting the property from the World Heritage List.

### I.C The States Parties to the *World Heritage Convention*

**10.** States are encouraged to become party to the *Convention*. Model instruments for ratification/acceptance and accession are included as Annex 1. The original signed version should be sent to the Director-General of UNESCO.

**11.** The list of States Parties to the *Convention* is available at: https://whc.unesco.org/en/statesparties

**12.** States Parties to the *Convention* are encouraged to adopt a human-rights based approach, and ensure gender-balanced participation of a wide variety of stakeholders and rights-holders, including site managers, local and regional governments, local communities, indigenous peoples, non-governmental organizations (NGOs) and other interested parties and partners in the identification, nomination, management and protection processes of World Heritage properties. Decision 43 COM 11A

**13.** States Parties to the *Convention* should provide the Secretariat with the names and contact details of the governmental organization(s) primarily responsible as national focal point(s) for the implementation of the *Convention*, so that copies of all official correspondence and documents can be sent by the Secretariat to these national focal points as appropriate. Decision 43 COM 11A

**14.** States Parties are encouraged to bring together their cultural and natural heritage experts at regular intervals to discuss the implementation of the *Convention*. States Parties may wish to involve representatives of the Advisory Bodies and other experts and partners as appropriate. Decision 43 COM 11A

**14bis.** States Parties are encouraged to mainstream into their programmes and activities related to the *World Heritage Convention* the principles of the relevant policies adopted by the World Heritage Committee, the General Assembly of States Parties to the *Convention* and the UNESCO Governing Bodies, such as the Policy Document for the Integration of a Sustainable Development Perspective into the Processes of the *World Heritage Convention* and the UNESCO policy on engaging with indigenous peoples, as well as other related policies and documents, including the 2030 Agenda for Sustainable Development and international human rights standards.

Decision 43 COM 11A

**15.** While fully respecting the sovereignty of the States on whose territory the cultural and natural heritage is situated, States Parties to the *Convention* recognize the collective interest of the international community to cooperate in the protection of this heritage. States Parties to the *World Heritage Convention*, have the responsibility to:

Article 6(1) of the *World Heritage Convention*.

Decision 43 COM 11A

a) ensure the identification, nomination, protection, conservation, presentation, and transmission to future generations of the cultural and natural heritage found within their territory, and give help in these tasks to other States Parties that request it;

Article 4 and 6(2) of the *World Heritage Convention*.

b) adopt general policies to give the heritage a function in the life of the community;

Article 5 of the *World Heritage Convention*.

c) integrate heritage protection into comprehensive planning programmes and coordination mechanisms, giving consideration in particular to the resilience of socio-ecological systems of properties;

d) establish services for the protection, conservation and presentation of the heritage;

e) develop scientific and technical studies to identify actions that would counteract the dangers that threaten the heritage;

f) take appropriate legal, scientific, technical, administrative and financial measures to protect the heritage;

g) foster the establishment or development of national or regional centres for training in the protection, conservation and presentation of the heritage and encourage scientific research in these fields;

h) not take any deliberate measures that directly or indirectly damage their heritage or that of another State Party to the *Convention*;

Article 6(3) of the *World Heritage Convention*.

i) submit to the World Heritage Committee an inventory of properties suitable for inscription on the World Heritage List (referred to as a Tentative List);

Article 11(1) of the *World Heritage Convention*.

j) make regular contributions to the World Heritage Fund, the amount of which is determined by the General Assembly of States Parties to the *Convention*;

Article 16(1) of the *World Heritage Convention*.

k) consider and encourage the establishment of national, public and private foundations or associations to facilitate donations for the protection of World Heritage;

Article 17 of the *World Heritage Convention*.

l) give assistance to international fundraising campaigns organized for the World Heritage Fund;

Article 18 of the *World Heritage Convention*.

m) use educational and information programmes to strengthen appreciation and respect by their peoples of the cultural and natural heritage defined in Articles 1 and 2 of the *Convention*, and to keep the public informed of the dangers threatening this heritage;

Article 27 of the *World Heritage Convention*.

n) provide information to the World Heritage Committee on the implementation of the *World Heritage Convention* and the state of conservation of properties; and

Article 29 of the *World Heritage Convention*. Resolution adopted by the 11th General Assembly of States Parties (1997)

o) contribute to and comply with the sustainable development objectives, including gender equality, in the World Heritage processes and in their heritage conservation and management systems.

**16.** States Parties are encouraged to attend sessions of the World Heritage Committee and its subsidiary bodies.

Rule 8.1 of the Rules of Procedure of the World Heritage Committee.

## I.D The General Assembly of States Parties to the *World Heritage Convention*

**17.** The General Assembly of States Parties to the *World Heritage Convention* meets during the sessions of the General Conference of UNESCO. The General Assembly manages its meetings according to its Rules of Procedure, available at: https://whc.unesco.org/en/ga

Article 8(1), of the *World Heritage Convention*, Rule 49 of the Rules of Procedure of the World Heritage Committee.

**18.** The General Assembly determines the uniform percentage of contributions to the World Heritage Fund applicable to all States Parties and elects members to the World Heritage Committee. Both the General Assembly and General Conference of UNESCO receive a report from the World Heritage Committee on its activities.

Articles 8(1), 16(1) and 29 of the *World Heritage Convention* and Rule 49 of the Rules of Procedure of the World Heritage Committee.

## I.E The World Heritage Committee

**19.** The World Heritage Committee is composed of 21 members and meets at least once a year (June/July). It establishes its Bureau, which meets during the sessions of the Committee as frequently as deemed necessary. The composition of the Committee and its Bureau is available at: https://whc.unesco.org/en/committee

The World Heritage Committee can be contacted through its Secretariat, the World Heritage Centre.

**20.** The Committee manages its meetings according to its Rules of Procedure, available at: https://whc.unesco.org/en/committee

**21.** The term of office of Committee members is six years but, in order to ensure equitable representation and rotation, States Parties are invited by the General Assembly to consider voluntarily reducing their term of office from six to four years and are discouraged from seeking consecutive terms of office.

Article 8(2) of the *World Heritage Convention* and the Resolutions of the 7th (1989), 12th (1999) and 13th (2001) General Assembly of States Parties to the *World Heritage Convention*.

Article 9(1) of the *World Heritage Convention*.

**22.** At each election, due consideration shall be given to the election of at least one State Party which has never served as a Member of the World Heritage Committee.

Rule 14.1 of the Rules of Procedure of the General Assembly of States Parties.

Decision 43 COM 11A

**23.** Committee decisions are based on objective and scientific considerations, and any appraisal made on its behalf must be thoroughly and responsibly carried out. The Committee recognizes that such decisions depend upon:

a) carefully prepared documentation;

b) thorough and consistent procedures;

c) evaluation by qualified experts; and

d) if necessary, the use of expert referees.

**24.** The main functions of the Committee are, in cooperation with States Parties, to:

a) identify, on the basis of Tentative Lists and nominations submitted by States Parties, cultural and natural properties of Outstanding Universal Value which are to be protected under the *Convention* and to inscribe those properties on the World Heritage List;

Articles 11(2) and 11(7) of the *World Heritage Convention*.

b) examine the state of conservation of properties inscribed on the World Heritage List through processes of Reactive Monitoring (see Chapter IV) and Periodic Reporting (see Chapter V);

Article 29 of the *World Heritage Convention*.

c) decide which properties inscribed on the World Heritage List are to be inscribed on, or removed from the List of World Heritage in Danger;

Article 11(4) and 11(5) of the *World Heritage Convention*.

d) decide whether a property should be deleted from the World Heritage List (see Chapter IV);

e) define the procedure by which requests for International Assistance are to be considered and carry out studies and consultations as necessary before coming to a decision (see Chapter VII);

Article 21(1) and 21(3) of the *World Heritage Convention*.

f) determine how the resources of the World Heritage Fund can be used most advantageously to assist States Parties in the protection of their properties of Outstanding Universal Value;

Article 13(6) of the *World Heritage Convention*.

g) seek ways to increase the World Heritage Fund;

h) submit a report on its activities every two years to the General Assembly of States Parties and to the UNESCO General Conference;

Article 29(3) of the *World Heritage Convention* and Rule 49 of the *Rules of procedure of the World Heritage Committee*.

i) review and evaluate periodically the implementation of the *Convention*;

j) revise and adopt the *Operational Guidelines*.

**25.** In order to facilitate the implementation of the *Convention*, the Committee develops Strategic Objectives; they are periodically reviewed and revised to define the goals and objectives of the Committee to ensure that new threats placed on World Heritage are addressed effectively.

The first 'Strategic Orientations' adopted by the Committee in 1992 are contained in Annex II of document WHC-92/CONF.002/12

**26.** The current Strategic Objectives (also referred to as "the 5 Cs") are the following:

In 2002 the World Heritage Committee revised its Strategic Objectives. The *Budapest Declaration on World Heritage* (2002) is available at the following Web address: https://whc.unesco.org/en/budapestdeclaration

1. Strengthen the **Credibility** of the World Heritage List;

2. Ensure the effective **Conservation** of World Heritage Properties;

3. Promote the development of effective **Capacity building** in States Parties;

4. Increase public awareness, involvement and support for World Heritage through **Communication**;

5. Enhance the role of **Communities** in the implementation of the *World Heritage Convention*.

Decision 31 COM 13B

## I.F The Secretariat to the World Heritage Committee (World Heritage Centre)

UNESCO World Heritage Centre
7, place de Fontenoy
75352 Paris 07 SP
France
https://whc.unesco.org/

**27.** The World Heritage Committee is assisted by a Secretariat appointed by the Director-General of UNESCO. The function of the Secretariat is currently assumed by the World Heritage Centre, established in 1992 specifically for this purpose. The Director-General designated the Director of the World Heritage Centre as Secretary to the Committee. The Secretariat assists and collaborates with the States Parties and the Advisory Bodies. The Secretariat works in close cooperation with other sectors and field offices of UNESCO.

Article 14 of the *World Heritage Convention*.

Rule 43 of Rules of Procedure of the World Heritage Committee.
Circular Letter 16 of 21 October 2003
https://whc.unesco.org/circs/circ03-16e.pdf

**28.** The Secretariat's main tasks are:

Decision 39 COM 11
Decision 43 COM 11A

a) the organization of the meetings of the General Assembly and the Committee;

Article 14(2) of the *World Heritage Convention*.

b) the implementation of decisions of the World Heritage Committee and resolutions of the General Assembly and reporting on their execution;

Article 14(2) of the *World Heritage Convention* and the *Budapest Declaration on World Heritage* (2002)

c) the receipt, registration, checking the completeness, archiving and transmission to the relevant Advisory Bodies of nominations to the World Heritage List;

d) the co-ordination of studies and activities as part of the Global Strategy for a Representative, Balanced and Credible World Heritage List;

e) the organization of Periodic Reporting;

f) coordination and conduct of Reactive Monitoring, including Reactive Monitoring missions [1], as well coordination of and participation in Advisory missions[2], as appropriate;

g) the coordination of International Assistance;

h) the mobilization of extra-budgetary resources for the conservation and management of World Heritage properties;

i) the assistance to States Parties in the implementation of the Committee's programmes and projects; and

j) the promotion of World Heritage and the *Convention* through the dissemination of information to States Parties, the Advisory Bodies and the general public.

**29.** These activities follow the decisions and Strategic Objectives of the Committee and the resolutions of the General Assembly of the States Parties and are conducted in close cooperation with the Advisory Bodies.

## I.G The Advisory Bodies to the World Heritage Committee

**30.** The Advisory Bodies to the World Heritage Committee are ICCROM (the International Centre for the Study of the Preservation and Restoration of Cultural Property), ICOMOS (the International Council on Monuments and Sites), and IUCN (the International Union for Conservation of Nature).

Article 8(3) of the *World Heritage Convention*

**31.** The roles of the Advisory Bodies are to:

a) advise on the implementation of the *World Heritage Convention* in the field of their expertise;

b) assist the Secretariat, in the preparation of the Committee's documentation, the agenda of its meetings and the implementation of the Committee's decisions;

Article 13(7) of the *World Heritage Convention.*

Decision 39 COM 11

[1] Reactive Monitoring missions are part of the statutory reporting by the Secretariat and the Advisory Bodies to the World Heritage Committee on the state of conservation of specific properties that are under threat (see Paragraph 169). They are requested by the World Heritage Committee to ascertain, in consultation with the State Party concerned, the condition of the property, the dangers to the property and the feasibility of adequately restoring the property or to assess progress made in implementing such corrective measures, and include a reporting back to the Committee on the findings of the mission (see Paragraph 176.e). The terms of reference of Reactive Monitoring missions are proposed by the World Heritage Centre, in line with the decision adopted by the World Heritage Committee, and consolidated in consultation with the State Party and the relevant Advisory Body(ies). Experts for such missions shall not be nationals of the country where the property is located. It is however encouraged that, where possible, they be from the same region as the property. The costs of the Reactive Monitoring missions are borne by the World Heritage Fund.

[2] Advisory missions are not part *stricto sensu* of the statutory and mandatory processes, as they are voluntarily initiated by States Parties and depend on the considerations and judgement of the States Parties requesting them. Advisory missions are to be understood as missions providing expert advice to a State Party on specific matters. They can concern provision of "upstream" support and advice on identification of sites, Tentative Lists or nomination of sites for inscription on the World Heritage List or alternatively, they can relate to the state of conservation of properties and provide advice in evaluating possible impact of a major development project on the Outstanding Universal Value of the property, advice in the preparation/revision of a management plan, or in the progress achieved in the implementation of specific mitigation measures, etc. The terms of reference of Advisory missions are proposed by the State Party itself, and consolidated in consultation with the World Heritage Centre and the relevant Advisory Bod(ies), other organization(s) or experts. Experts for such missions shall not be nationals of the country where the property is located. It is however encouraged that, where possible, they be from the same region as the property. The entire costs of Advisory missions are borne by the State Party inviting the mission, except where the State Party is eligible for relevant International Assistance or funding from the new budget line for Advisory missions approved by Decision 38 COM 12.

c) assist with the development and implementation of the Global Strategy for a Representative, Balanced and Credible World Heritage List, the World Heritage Capacity Building Strategy, Periodic Reporting, and the strengthening of the effective use of the World Heritage Fund;

d) monitor the state of conservation of World Heritage properties (including through Reactive Monitoring missions at the request of the Committee and Advisory missions at the invitation of the States Parties) and review requests for International Assistance;

Article 14(2) of the *World Heritage Convention*.

e) in the case of ICOMOS and IUCN, evaluate properties nominated for inscription on the World Heritage List, in consultation and dialogue with nominating States Parties, and present evaluation reports to the Committee; and

f) attend meetings of the World Heritage Committee and the Bureau in an advisory capacity.

Article 8(3) of the *World Heritage Convention*.

ICCROM

**32.** ICCROM (the International Centre for the Study of the Preservation and Restoration of Cultural Property) is an international intergovernmental organization with headquarters in Rome, Italy. Established by UNESCO in 1956, ICCROM's statutory functions are to carry out research, documentation, technical assistance, training and public awareness programmes to strengthen conservation of immovable and moveable cultural heritage.

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http://www.iccrom.org/

**33.** The specific role of ICCROM in relation to the *Convention* includes: being the priority partner in training for cultural heritage, monitoring the state of conservation of World Heritage cultural properties, reviewing requests for International Assistance submitted by States Parties, and providing input and support for capacity building activities.

ICOMOS

**34.** ICOMOS (the International Council on Monuments and Sites) is a non-governmental organization with headquarters in Charenton-le-Pont, France. Founded in 1965, its role is to promote the application of theory, methodology and scientific techniques to the conservation of the architectural and archaeological heritage. Its work is based on the principles of the 1964 International Charter on the Conservation and Restoration of Monuments and Sites (the Venice Charter).

**ICOMOS**
11 rue du Séminaire de Conflans
94220 Charenton-le-Pont
France
Tel: +33 (0)1 41 94 17 59
Fax: +33 (0)1 48 93 19 16
E-mail: secretariat@icomos.org
http://www.icomos.org/

**35.** The specific role of ICOMOS in relation to the *Convention* includes: evaluation of properties nominated for inscription on the World Heritage List, monitoring the state of conservation of World Heritage cultural properties, reviewing requests for International Assistance submitted by States Parties, and providing input and support for capacity building activities.

IUCN

**36.** IUCN – The International Union for Conservation of Nature was founded in 1948 and brings together national governments, NGOs, and scientists in a worldwide partnership. IUCN has its headquarters in Gland, Switzerland. Its mission is to influence, encourage and assist societies throughout the world to conserve the integrity and diversity of nature and to ensure that any use of natural resources is equitable and ecologically sustainable.

**IUCN - The International Union for Conservation of Nature**
rue Mauverney 28
CH-1196 Gland, Switzerland
Tel: + 41 22 999 0001
Fax: +41 22 999 0010
E-Mail: mail@hq.iucn.org
http://www.iucn.org

**37.** The specific role of IUCN in relation to the *Convention* includes: evaluation of properties nominated for inscription on the World Heritage List, monitoring the state of conservation of World Heritage natural properties, reviewing requests for International Assistance submitted by States Parties, and providing input and support for capacity building activities.

## I.H Other organizations

**38.** The Committee may call on other international and non-governmental organizations with appropriate competence and expertise to assist in the implementation of its programmes and projects, including for Reactive Monitoring missions.

Decision 39 COM 11

## I.I Partners in the protection of World Heritage

**39.** A partnership approach, underpinned by inclusive, transparent and accountable decision-making, to nomination, management and monitoring provides a significant contribution to the protection of World Heritage properties and the implementation of the *Convention*.

Decision 43 COM 11A

**40.** Partners in the protection and conservation of World Heritage can be those individuals and other stakeholders, especially local communities, indigenous peoples, governmental, non-governmental and private organizations and owners who have an interest and involvement in the conservation and management of a World Heritage property.

United Nations Declaration on the Rights of Indigenous Peoples (2007)

Decision 39 COM 11

## I.J Other Conventions, Recommendations and Programmes

**41.** The World Heritage Committee recognizes the benefits of closer coordination of its work with other UNESCO programmes and their relevant conventions. For a list of relevant global conservation instruments, conventions and programmes see paragraph 44.

**42.** The World Heritage Committee with the support of the Secretariat will ensure appropriate coordination and information-sharing between the *World Heritage Convention* and other conventions, programmes and international organizations related to the conservation of cultural and natural heritage.

**43.** The Committee may invite representatives of the intergovernmental bodies under related conventions to attend its meetings as observers. It may appoint a representative to observe meetings of the other intergovernmental bodies upon receipt of an invitation.

## 44. Selected global conventions and programmes relating to the protection of cultural and natural heritage

### UNESCO conventions and programmes

Convention for the Protection of Cultural Property in the Event of Armed Conflict (1954)
Protocol I (1954)
Protocol II (1999)
http://www.unesco.org/new/en/culture/themes/armed-conflict-and-heritage/convention-and-protocols/

Convention on the Means of Prohibiting and Preventing the Illicit Import, Export and Transfer of Ownership of Cultural Property (1970)
http://www.unesco.org/new/en/culture/themes/illicit-trafficking-of-cultural-property/1970-convention

Convention concerning the Protection of the World Cultural and Natural Heritage (1972)
https://whc.unesco.org/en/conventiontext

Convention on the Protection of the Underwater Cultural Heritage (2001)
https://unesdoc.unesco.org/ark:/48223/pf0000126065

Convention for the Safeguarding of the Intangible Cultural Heritage (2003)
https://unesdoc.unesco.org/ark:/48223/pf0000132540

Convention on the Protection and Promotion of the Diversity of Cultural Expressions (2005)
https://unesdoc.unesco.org/ark:/48223/pf0000142919

Man and the Biosphere (MAB) Programme
http://www.unesco.org/new/en/natural-sciences/environment/ecological-sciences/man-and-biosphere-programme/

International Geoscience and Geoparks Programme (IGGP)
http://www.unesco.org/new/en/natural-sciences/environment/earth-sciences/international-geoscience-and-geoparks-programme/

International Hydrological Programme (IHP)
https://en.unesco.org/themes/water-security/hydrology

### Other conventions

International Whaling Commission (IWC) (1946)
https://iwc.int

International Plant Protection Convention (IPPC) (1951)
https://www.ippc.int

Convention on Wetlands of International Importance especially as Waterfowl Habitat (Ramsar) (1971)
http://www.ramsar.org

Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) (1973)
http://www.cites.org

Convention on the Conservation of Migratory Species of Wild Animals (CMS) (1979)
http://www.cms.int

United Nations Convention on the Law of the Sea (UNCLOS) (1982)
https://www.un.org/Depts/los/convention_agreements/convention_overview_convention.htm

Convention on Biological Diversity (1992)
http://www.cbd.int

UNIDROIT Convention on Stolen or Illegally Exported Cultural Objects (Rome, 1995)
https://www.unidroit.org/cultural-property#Convention1995

United Nations Framework Convention on Climate Change (New York, 1992)
http://unfccc.int

International Treaty on Plant Genetic Resources for Food and Agriculture (2001)
http://www.fao.org/plant-treaty/en/

# II THE WORLD HERITAGE LIST

## II.A Definition of World Heritage

Cultural and Natural Heritage

**45.** Cultural and natural heritage are defined in Articles 1 and 2 of the *World Heritage Convention*.

Article 1

*For the purposes of this Convention, the following shall be considered as "cultural heritage":*

*- monuments: architectural works, works of monumental sculpture and painting, elements or structures of an archaeological nature, inscriptions, cave dwellings and combinations of features, which are of Outstanding Universal Value from the point of view of history, art or science;*

*- groups of buildings: groups of separate or connected buildings which, because of their architecture, their homogeneity or their place in the landscape, are of Outstanding Universal Value from the point of view of history, art or science;*

*- sites: works of man or the combined works of nature and of man, and areas including archaeological sites which are of Outstanding Universal Value from the historical, aesthetic, ethnological or anthropological points of view.*

Article 2

*For the purposes of this Convention, the following shall be considered as "natural heritage":*

*- natural features consisting of physical and biological formations or groups of such formations, which are of Outstanding Universal Value from the aesthetic or scientific point of view;*

*geological and physiographical formations and precisely delineated areas which constitute the habitat of threatened species of animals and plants of Outstanding Universal Value from the point of view of science or conservation;*

*- natural sites or precisely delineated natural areas of Outstanding Universal Value from the point of view of science, conservation or natural beauty.*

Mixed Cultural and Natural Heritage

**46.** Properties shall be considered as "mixed cultural and natural heritage" if they satisfy a part or whole of the definitions of both cultural and natural heritage laid out in Articles 1 and 2 of the *Convention*.

Cultural landscapes

Definition

**47.** Cultural landscapes inscribed on the World Heritage List are cultural properties and represent the "combined works of nature and of man" designated in Article 1 of the Convention. They are illustrative of the evolution of human society and settlement over time, under the influence of the physical constraints and/or opportunities presented by their natural environment and of successive social, economic and cultural forces, both external and internal.

They should be selected on the basis both of their Outstanding Universal Value and of their representativity in terms of a clearly defined geo-cultural region. They should be selected also for their capacity to illustrate the essential and distinct cultural elements of such regions.

The term "cultural landscape" embraces a diversity of manifestations of the interaction between humankind and the natural environment.

Cultural landscapes often reflect specific techniques of sustainable land use, considering the characteristics and limits of the natural environment they are established in, and may reflect a specific spiritual relationship to nature. Protection of cultural landscapes can contribute to current techniques of sustainable land use and can maintain or enhance natural values in the landscape. The continued existence of traditional forms of land use supports biological diversity in many regions of the world. The protection of traditional cultural landscapes is therefore helpful in maintaining biological diversity.

Types

**47bis.** Cultural landscapes fall into three main types, namely:

(i) The most easily identifiable is the clearly defined **landscape designed and created intentionally by people**. This embraces garden and parkland landscapes constructed for aesthetic reasons which are often (but not always) associated with religious or other monumental buildings and ensembles.

(ii) The second type is the **organically evolved landscape**. This results from an initial social, economic, administrative, and/or religious imperative and has developed its present form by association with and in response to its natural environment. Such landscapes reflect that process of evolution in their form and component features. They fall into two sub-types:

a) a relict (or fossil) landscape is one in which an evolutionary process came to an end at some time in the past, either abruptly or over a period. Its significant distinguishing features are, however, still visible in material form;

b) a continuing landscape is one which retains an active social role in contemporary society closely associated with the traditional way of life, and in which the evolutionary process is still in progress. At the same time it exhibits significant material evidence of its evolution over time;

(iii) The final type is the **associative cultural landscape**. The inscription of such landscapes on the World Heritage List is justifiable by virtue of the powerful religious, artistic or cultural associations of the natural element rather than material cultural evidence, which may be insignificant or even absent.

Inscription of Cultural Landscapes on the World Heritage List

**47ter.** The extent of a cultural landscape for inscription on the World Heritage List is relative to its functionality and intelligibility. In any case, the sample selected must be substantial enough to represent adequately the totality of the cultural landscape that it illustrates. The possibility of designating long linear areas which represent culturally significant transport and communication networks should not be excluded.

General criteria for protection and management are equally applicable to cultural landscapes. It is important that due attention be paid to the full range of values represented in the landscape, both cultural and natural. The nominations should be prepared in collaboration with and the full approval of local communities.

The existence of a category of "cultural landscape", included on the World Heritage List on the basis of the criteria set out in Paragraph 77 of the *Operational Guidelines*, does not exclude the possibility of properties of exceptional importance in relation to both cultural and natural criteria continuing to be inscribed (see definition of mixed properties as set out in Paragraph 46). In such cases, their Outstanding Universal Value must be justified under both cultural and natural criteria (see Paragraph 77).

Movable Heritage

**48.** Nominations of immovable heritage which are likely to become movable will not be considered.

Outstanding Universal Value

**49.** Outstanding Universal Value means cultural and/or natural significance which is so exceptional as to transcend national boundaries and to be of common importance for present and future generations of all humanity. As such, the permanent protection of this heritage is of the highest importance to the international community as a whole. The Committee defines the criteria for the inscription of properties on the World Heritage List.

**50.** States Parties are invited to submit nominations of properties of cultural and/or natural value considered to be of "Outstanding Universal Value" for inscription on the World Heritage List.

**51.** At the time of inscription of a property on the World Heritage List, the Committee adopts a Statement of Outstanding Universal Value (see paragraph 154) which will be the key reference for the future effective protection and management of the property.

**52.** The *Convention* is not intended to ensure the protection of all properties of great interest, importance or value, but only for a select list of the most outstanding of these from an international viewpoint. It is not to be assumed that a property of national and/or regional importance will automatically be inscribed on the World Heritage List.

**53.** Nominations presented to the Committee shall demonstrate the full commitment of the State Party to preserve the heritage concerned, within its means. Such commitment shall take the form of appropriate policy, legal, scientific, technical, administrative and financial measures adopted and proposed to protect the property and its Outstanding Universal Value.

## II.B A Representative, Balanced and Credible World Heritage List

**54.** The Committee seeks to establish a representative, balanced and credible World Heritage List in conformity with the four Strategic Objectives it adopted at its 26th session (Budapest, 2002).

Budapest Declaration on World Heritage (2002) at https://whc.unesco.org/en/budapestdeclaration

The Global Strategy for a Representative, Balanced and Credible World Heritage List

**55.** The Global Strategy for a Representative, Balanced and Credible World Heritage List is designed to identify and fill the major gaps in the World Heritage List. It does this by encouraging more countries to become States Parties to the *Convention* and to develop Tentative Lists as defined in paragraph 62 and nominations of properties for inscription on the World Heritage List (see https://whc.unesco.org/en/globalstrategy).

The report of the Expert Meeting on the "Global Strategy" and thematic studies for a representative World Heritage List (20-22 June 1994) was adopted by the World Heritage Committee at its 18th session (Phuket, 1994).

**56.** States Parties and the Advisory Bodies are encouraged to participate in the implementation of the Global Strategy in cooperation with the Secretariat and other partners. Regional and thematic Global Strategy meetings and comparative and thematic studies are organized for this purpose. The results of these meetings and studies are available to assist States Parties in preparing Tentative Lists and nominations. The reports of the expert meetings and studies presented to the World Heritage Committee are available at: https://whc.unesco.org/en/globalstrategy.

The Global Strategy was initially developed with reference to cultural heritage. At the request of the World Heritage Committee, the Global Strategy was subsequently expanded to also include reference to natural heritage and combined cultural and natural heritage.

**57.** All efforts should be made to maintain a reasonable balance between cultural and natural heritage on the World Heritage List.

**58.** No formal limit is imposed on the total number of properties to be inscribed on the World Heritage List.

Other measures

**59.** To promote the establishment of a representative, balanced and credible World Heritage List, States Parties are requested to consider whether their heritage is already well represented on the List and if so, to slow down their rate of submission of further nominations by:

Resolution adopted by the 12th General Assembly of States Parties (1999).

a) spacing voluntarily their nominations according to conditions that they will define, and/or;

b) proposing only properties falling into categories still under-represented, and/or;

c) linking each of their nominations with a nomination presented by a State Party whose heritage is under-represented; or

d) deciding, on a voluntary basis, to suspend the presentation of new nominations.

**60.** States Parties whose heritage of Outstanding Universal Value is under-represented on the World Heritage List are requested to:

Resolution adopted by the 12th General Assembly of States Parties (1999).

a) give priority to the preparation of their Tentative Lists and nominations;

b) initiate and consolidate partnerships at the regional level based on the exchange of technical expertise;

c) encourage bilateral and multilateral cooperation so as to increase their expertise and the technical capacities of institutions in charge of the protection, safeguarding and management of their heritage; and,

d) participate, as much as possible, in the sessions of the World Heritage Committee.

**60bis**. The Committee has decided to apply the following annual limits and system of prioritization concerning Preliminary Assessments (see Section III):

a) Advisory Bodies will review up to one complete Preliminary Assessment request per State Party;

b) set at 35 the annual limit on the number of Preliminary Assessment requests to be reviewed by Advisory Bodies;

c) should the number of Preliminary Assessment requests exceed 35, the order of priorities applied will be the same as set in paragraph 61.c);

d) the States Parties co-authors of a future transboundary or transnational serial nomination can choose, amongst themselves and with a common understanding, the State Party which will be submitting the Preliminary Assessment request; and this Preliminary Assessment request can be registered exclusively within the ceiling of the bearing State Party.

**61.** The Committee has decided to apply the following mechanism concerning examination of nominations:

Decision 24 COM VI.2.3.3, Decision 28 COM 13.1 and 7 EXT.COM 4B.1 Decision 29 COM 18A Decision 31 COM 10 Decision 35 COM 8B.61 Decision 40 COM 11 Decision 43 COM 11[a] Decision 45 COM 12

a) examine one complete nomination per State Party, or two complete nominations per State Party if one of them was previously referred or deferred by the Committee;

b) set at 35 the annual limit on the number of nominations it will review, inclusive of nominations deferred and referred by previous sessions of the Committee, extensions (except minor modifications of limits of the property), transboundary and transnational serial nominations,

c) the following order of priorities will be applied in case the overall annual limit of 35 nominations is exceeded:

i) nominations of properties submitted by States Parties with no properties inscribed on the List,

ii) nominations of properties submitted by States Parties having up to 3 properties inscribed on the List,

iii) resubmitted referred and deferred nominations,

iv) nominations of properties that have been previously excluded due to the annual limit of 35 nominations and the application of these priorities,

v) nominations of properties submitted in the 5th year following the report by the Advisory Bodies on the related Preliminary Assessment (see Paragraph 122.g),

vi) nominations of properties for natural heritage,

vii) nominations of properties for mixed heritage,

viii) nominations of transboundary/transnational properties,

ix) nominations from States Parties in Africa, the Pacific and the Caribbean,

x) nominations of properties submitted by States Parties having ratified the *World Heritage Convention* during the last twenty years,

xi) nominations of properties submitted by States Parties that have not submitted nominations for five years or more,

xii) nominations of States Parties, former Members of the Committee, who accepted on a voluntary basis not to have a nomination reviewed by the Committee during their mandate. This priority will be applied for 4 years after the end of their mandate on the Committee,

xiii) when applying this priority system, date and, only when strictly necessary, the hour of receipt of full and complete nominations by the World Heritage Centre shall be used as a secondary factor to determine the priority between those nominations that would not be designated by the previous points. Decision 48 COM 8

d) the States Parties co-authors of a transboundary or transnational serial nomination can choose, amongst themselves and with a common understanding, the State Party which will be bearing this nomination; and this nomination can be registered exclusively within the ceiling of the bearing State Party.

## II.C Tentative Lists

Procedure and Format

**62.** A Tentative List is an inventory of those sites situated on its territory which each State Party considers suitable for nomination to the World Heritage List. States Parties should therefore include, in their Tentative Lists, details of those sites which they consider to be of potential Outstanding Universal Value and which they intend to nominate during the following years. Articles 1, 2 and 11(1) of the *World Heritage Convention.* Decision 39 COM 11

**63.** A nomination dossier will not be considered complete unless the nominated property has already been included on the State Party's Tentative List and has undergone a Preliminary Assessment. Decision 24 COM para.VI.2.3.2

**64.** States Parties are encouraged to prepare their Tentative Lists with the full, effective and gender-balanced participation of a wide variety of stakeholders and rights-holders, including site managers, local and regional governments, local communities, indigenous peoples, NGOs and other interested parties and partners. In the case of sites affecting the lands, territories or resources of indigenous peoples, States Parties shall consult and cooperate in good faith with the indigenous peoples concerned through their own representative institutions in order to obtain their free, prior and informed consent before including the sites on their Tentative List. Decision 43 COM 11A

**65.** States Parties shall submit Tentative Lists to the Secretariat, at least two weeks prior to the submission of a Preliminary Assessment request to the Secretariat for review by the Advisory Bodies. States Parties are encouraged to re-examine and re-submit their Tentative List at least every ten years. Decision 47 COM 8

**66.** States Parties are requested to submit their Tentative Lists in English or French using the standard formats in Annex 2A and Annex 2B (for transnational and transboundary future nominations), containing the name of the sites, their geographical location, a brief description of the sites, and justification of their potential Outstanding Universal Value. Decision 39 COM 11

**67.** The original duly signed version of the completed Tentative List shall be submitted by the State Party, to:

**UNESCO World Heritage Centre**
7, place de Fontenoy
75352 Paris 07 SP
France
Tel: +33 (0) 1 4568 1104
E-mail: wh-tentativelists@unesco.org

**68.** Upon reception of the Tentative Lists from the States Parties, the World Heritage Centre checks for compliance of the documentation with Annex 2. If the documentation is not considered in compliance with Annex 2, the World Heritage Centre refers it back to the State Party. When all information has been provided, the Tentative List is registered by the Secretariat and transmitted to the relevant Advisory Bodies for information. A summary of all Tentative Lists is presented annually to the Committee. The Secretariat, in consultation with the States Parties concerned, updates its records, in particular by removing from the Tentative Lists the inscribed properties and nominated properties which were not inscribed.

Decision 7 EXT.COM 4A

The Tentative Lists of States Parties are published by the World Heritage Centre on its website and/or in working documents in order to ensure transparency, access to information and to facilitate harmonization of Tentative Lists at regional and thematic levels.

Decision 41 COM 11

The sole responsibility for the content of each Tentative List lies with the State Party concerned. The publication of the Tentative Lists does not imply the expression of any opinion whatsoever of the World Heritage Committee or of the World Heritage Centre or of the Secretariat of UNESCO concerning the legal status of any country, territory, city or area or of its boundaries.

**69.** The Tentative Lists of States Parties are available at: https://whc.unesco.org/en/tentativelists

Decision 27 COM 8A

Tentative Lists as a planning and evaluation tool

**70.** Tentative Lists are a useful and important planning tool for States Parties, the World Heritage Committee, the Secretariat, and the Advisory Bodies, as they provide an indication of future nominations.

**71.** Tentative Lists should be established selectively and on the basis of evidence that supports potential Outstanding Universal Value. States Parties are encouraged to consult the analyses of both the World Heritage List and Tentative Lists prepared at the request of the Committee by ICOMOS and IUCN to identify the gaps in the World Heritage List. These analyses could enable States Parties to compare themes, regions, geo-cultural groupings and bio-geographic provinces for prospective World Heritage properties. States Parties are encouraged to seek as early as possible upstream advice from the Advisory Bodies during the development of their Tentative Lists as appropriate.

Decision 24 COM para. VI.2.3.2(ii)
Decision 39 COM 11
Documents WHC-04/28.COM/13.B I and II
https://whc.unesco.org/document/5297 (ICOMOS) and
https://whc.unesco.org/document/5298 (IUCN)

**72.** In addition, States Parties are encouraged to consult the specific thematic studies carried out by the Advisory Bodies (see paragraph 147). These studies are informed by a review of the Tentative Lists submitted by States Parties and by reports of meetings on the harmonization of Tentative Lists, as well as by other technical studies performed by the Advisory Bodies and qualified organizations and individuals. A list of studies already completed is available at: https://whc.unesco.org/en/globalstrategy

Thematic studies are different than the comparative analysis to be prepared by States Parties when nominating properties for inscription in the World Heritage List (see paragraph 132).

**73.** States Parties are encouraged to harmonize their Tentative Lists at regional and thematic levels. Harmonization of Tentative Lists is the process whereby States Parties, with the assistance of the Advisory Bodies, collectively assess their respective Tentative List to review gaps and identify common themes. The harmonization has considerable potential to generate fruitful dialogue between States Parties and different cultural communities, promoting respect for common heritage and cultural diversity and can result in improved Tentative Lists, new nominations from States Parties and cooperation amongst groups of States Parties in the preparation of nominations.

Decision 43 COM 11A

Assistance and Capacity Building for States Parties in the preparation of Tentative Lists

**74.** To implement the Global Strategy, cooperative efforts in capacity building and training for diverse groups of beneficiaries may be necessary to assist States Parties in acquiring and/or consolidating expertise in the preparation, updating and harmonization of their Tentative List and the preparation of nominations.

Decision 43 COM 11A

**75.** International Assistance may be requested by States Parties for the purpose of preparing, updating and harmonizing Tentative Lists (see Chapter VII). This can include use of the Upstream Process (see Paragraph 121).

**76.** The Advisory Bodies and the Secretariat will use the opportunity of evaluation missions to hold regional training workshops to assist under-represented States in the methods of preparation of their Tentative List and nominations.

Decision 24 COM VI.2.3.5(ii)

## II.D Criteria for the assessment of Outstanding Universal Value

These criteria were formerly presented as two separate sets of criteria - criteria (i) - (vi) for cultural heritage and (i) - (iv) for natural heritage.

The 6th extraordinary session of the World Heritage Committee decided to merge the ten criteria (Decision 6 EXT.COM 5.1)

**77.** The Committee considers a property as having Outstanding Universal Value (see paragraphs 49-53) if the property meets one or more of the following criteria. Nominated properties shall therefore:

(i) represent a masterpiece of human creative genius;

(ii) exhibit an important interchange of human values, over a span of time or within a cultural area of the world, on developments in architecture or technology, monumental arts, town-planning or landscape design;

(iii) bear a unique or at least exceptional testimony to a cultural tradition or to a civilization which is living or which has disappeared;

(iv) be an outstanding example of a type of building, architectural or technological ensemble or landscape which illustrates (a) significant stage(s) in human history;

(v) be an outstanding example of a traditional human settlement, land-use, or sea-use which is representative of a culture (or cultures), or human interaction with the environment especially when it has become vulnerable under the impact of irreversible change;

(vi) be directly or tangibly associated with events or living traditions, with ideas, or with beliefs, with artistic and literary works of outstanding universal significance. (The Committee considers that this criterion should preferably be used in conjunction with other criteria);

(vii) contain superlative natural phenomena or areas of exceptional natural beauty and aesthetic importance;

(viii) be outstanding examples representing major stages of earth's history, including the record of life, significant on-going geological processes in the development of landforms, or significant geomorphic or physiographic features;

(ix) be outstanding examples representing significant on-going ecological and biological processes in the evolution and development of terrestrial, fresh water, coastal and marine ecosystems and communities of plants and animals;

(x) contain the most important and significant natural habitats for in-situ conservation of biological diversity, including those containing threatened species of Outstanding Universal Value from the point of view of science or conservation.

**78.** To be deemed of Outstanding Universal Value, a property must also meet the conditions of integrity and/or authenticity and must have an adequate protection and management system to ensure its safeguarding.

## II.E Authenticity and/or integrity

Authenticity

**79.** Properties nominated under criteria (i) to (vi) must meet the conditions of authenticity. Annex 4, which includes the Nara Document on Authenticity, provides a practical basis for examining the authenticity of such properties and is summarized below.

**80.** The ability to understand the value attributed to the heritage depends on the degree to which information sources about this value may be understood as credible or truthful. Knowledge and understanding of these sources of information, in relation to original and subsequent characteristics of the cultural heritage, and their meaning as accumulated over time, are the requisite bases for assessing all aspects of authenticity. Decision 39 COM 11

**81.** Judgments about value attributed to cultural heritage, as well as the credibility of related information sources, may differ from culture to culture, and even within the same culture. The respect due to all cultures requires that cultural heritage must be considered and judged primarily within the cultural contexts to which it belongs.

**82.** Depending on the type of cultural heritage, and its cultural context, properties may be understood to meet the conditions of authenticity if their cultural values (as recognized in the nomination criteria proposed) are truthfully and credibly expressed through a variety of attributes including:

- form and design;
- materials and substance;
- use and function;
- traditions, techniques and management systems;
- location and setting;
- language, and other forms of intangible heritage;

- spirit and feeling; and
- other internal and external factors.

**83.** Attributes such as spirit and feeling do not lend themselves easily to practical applications of the conditions of authenticity, but nevertheless are important indicators of character and sense of place, for example, in communities maintaining tradition and cultural continuity.

**84.** The use of all these sources permits elaboration of the specific artistic, historic, social, and scientific dimensions of the cultural heritage being examined. "Information sources" are defined as all physical, written, oral, and figurative sources, which make it possible to know the nature, specificities, meaning, and history of the cultural heritage.

**85.** When the conditions of authenticity are considered in preparing a nomination for a property, the State Party should first identify all of the applicable significant attributes of authenticity. The statement of authenticity should assess the degree to which authenticity is present in, or expressed by, each of these significant attributes.

**86.** In relation to authenticity, the reconstruction of archaeological remains or historic buildings or districts is justifiable only in exceptional circumstances. Reconstruction is acceptable only on the basis of complete and detailed documentation and to no extent on conjecture.

Integrity

**87.** All properties nominated for inscription on the World Heritage List shall satisfy the conditions of integrity.

Decision 20 COM IX.13

**88.** Integrity is a measure of the wholeness and intactness of the natural and/or cultural heritage and its attributes. Examining the conditions of integrity, therefore requires assessing the extent to which the property:

a) includes all elements necessary to express its Outstanding Universal Value;

b) is of adequate size to ensure the complete representation of the features and processes which convey the property's significance;

c) suffers from adverse effects of development and/or neglect.

This should be presented in a statement of integrity.

**89.** For properties nominated under criteria (i) to (vi), the physical fabric of the property and/or its significant features should be in good condition, and the impact of deterioration processes controlled. A significant proportion of the elements necessary to convey the totality of the value conveyed by the property should be included. Relationships and dynamic functions present in cultural landscapes, historic towns or other living properties essential to their distinctive character should also be maintained.

Examples of the application of the conditions of integrity to properties nominated under criteria (i) - (vi) are under development.

**90.** For all properties nominated under criteria (vii) - (x), bio-physical processes and landform features should be relatively intact. However, it is recognized that no area is totally pristine and that all natural areas are in a dynamic state, and to some extent involve contact with people. Biological diversity and cultural diversity can be closely linked and interdependent and human activities, including those of traditional societies, local communities and indigenous peoples, often occur in natural areas. These activities may be consistent with the Outstanding Universal Value of the area where they are ecologically sustainable. Decision 43 COM 11A

**91.** In addition, for properties nominated under criteria (vii) to (x), a corresponding condition of integrity has been defined for each criterion.

**92.** Properties proposed under criterion (vii) should be of Outstanding Universal Value and include areas that are essential for maintaining the beauty of the property. For example, a property whose scenic value depends on a waterfall, would meet the conditions of integrity if it includes adjacent catchment and downstream areas that are integrally linked to the maintenance of the aesthetic qualities of the property.

**93.** Properties proposed under criterion (viii) should contain all or most of the key interrelated and interdependent elements in their natural relationships. For example, an "ice age" area would meet the conditions of integrity if it includes the snow field, the glacier itself and samples of cutting patterns, deposition and colonization (e.g. striations, moraines, pioneer stages of plant succession, etc.); in the case of volcanoes, the magmatic series should be complete and all or most of the varieties of effusive rocks and types of eruptions be represented.

**94.** Properties proposed under criterion (ix) should have sufficient size and contain the necessary elements to demonstrate the key aspects of processes that are essential for the long term conservation of the ecosystems and the biological diversity they contain. For example, an area of tropical rain forest would meet the conditions of integrity if it includes a certain amount of variation in elevation above sea level, changes in topography and soil types, patch systems and naturally regenerating patches; similarly a coral reef should include, for example, seagrass, mangrove or other adjacent ecosystems that regulate nutrient and sediment inputs into the reef.

**95.** Properties proposed under criterion (x) should be the most important properties for the conservation of biological diversity. Only those properties which are the most biologically diverse and/or representative are likely to meet this criterion. The properties should contain habitats for maintaining the most diverse fauna and flora characteristic of the bio-geographic province and ecosystems under consideration. For example, a tropical savannah would meet the conditions of integrity if it includes a complete assemblage of co-evolved herbivores and plants; an island ecosystem should include habitats for maintaining endemic biota; a property containing wide ranging species should be large enough to include the most critical habitats essential to ensure the survival of viable populations of those species; for an area containing migratory species, seasonal breeding and nesting sites, and migratory routes, wherever they are located, should be adequately protected.

## II.F Protection and management

**96.** Protection and management of World Heritage properties should ensure that their Outstanding Universal Value, including the conditions of integrity and/or authenticity at the time of inscription, are sustained or enhanced over time. A regular review of the general state of conservation of properties, and thus also their Outstanding Universal Value, shall be done within a framework of monitoring processes for World Heritage properties, as specified within the *Operational Guidelines*[3] .

**97.** All properties inscribed on the World Heritage List must have adequate long-term legislative, regulatory, institutional and/or traditional protection and management to ensure their safeguarding. This protection should include adequately delineated boundaries. Similarly States Parties should demonstrate adequate protection at the national, regional, municipal, and/or traditional level for the nominated property. They should append appropriate texts to the nomination with a clear explanation of the way this protection operates to protect the nominated property. Information on protection and management should also be included at the stage of the Preliminary Assessment.

Legislative, regulatory and contractual protection measures

**98.** Legislative and regulatory measures at national and local levels should assure the protection of the property from social, economic and other pressures or changes that might negatively impact the Outstanding Universal Value, including the integrity and/or authenticity of the property. States Parties should also assure the full and effective implementation of such measures. Decision 39 COM 11

Boundaries for effective protection

**99.** The delineation of boundaries is an essential requirement in the establishment of effective protection of nominated properties. Boundaries should be drawn to incorporate all the attributes that convey the Outstanding Universal Value and to ensure the integrity and/or authenticity of the property. Decision 39 COM 11

**100.** For properties nominated under criteria (i) - (vi), boundaries should be drawn to include all those areas and attributes which are a direct tangible expression of the Outstanding Universal Value of the property, as well as those areas which, in the light of future research possibilities, offer potential to contribute to and enhance such understanding.

**101.** For properties nominated under criteria (vii) - (x), boundaries should reflect the spatial requirements of habitats, species, processes or phenomena that provide the basis for their inscription on the World Heritage List. The boundaries should include sufficient areas immediately adjacent to the area of Outstanding Universal Value in order to protect the property's heritage values from direct effects of human encroachments and impacts of resource use outside of the nominated area.

[3] The processes of monitoring specified in the *Operational Guidelines* are Reactive Monitoring (see paragraphs 169-176) and Periodic Reporting (see paragraphs 199-210).

**102.** The boundaries of the nominated property may coincide with one or more existing or proposed protected areas, such as national parks or nature reserves, biosphere reserves or protected cultural or historic districts or other areas and territories. While such established areas for protection may contain several management zones, only some of those zones may satisfy requirements for inscription.

Decision 39 COM 11

Buffer zones

**103.** Wherever necessary for the proper protection of the property, an adequate buffer zone should be provided.

**104.** For the purposes of effective protection of the nominated property, a buffer zone is an area surrounding the nominated property which has complementary legal and/or customary restrictions placed on its use and development in order to give an added layer of protection to the property. This should include the immediate setting of the nominated property, important views and other areas or attributes that are functionally important as a support to the property and its protection. The area constituting the buffer zone should be determined in each case through appropriate mechanisms. Details on the size, characteristics and authorized uses of a buffer zone, as well as a map indicating the precise boundaries of the property and its buffer zone, should be provided in the nomination.

**105.** A clear explanation of how the buffer zone protects the property should also be provided.

**106.** Where no buffer zone is proposed, the nomination should include a statement as to why a buffer zone is not required.

**107.** Although buffer zones are not part of the nominated property, any modifications to or creation of buffer zones subsequent to inscription of a property on the World Heritage List should be approved by the World Heritage Committee using the procedure for a minor boundary modification (see paragraph 164 and Annex 11). The creation of buffer zones subsequent to inscription is normally considered to be a minor boundary modification[4].

Management systems

**108.** Each nominated property should have an appropriate management plan or other documented management system which must specify how the Outstanding Universal Value of a property should be preserved, preferably through participatory means.

**109.** The purpose of a management system is to ensure the effective protection of the nominated property for present and future generations.

[4] With regards to transnational/transboundary properties, any modification will need the agreement of all States Parties concerned.

**110.** An effective management system depends on the type, characteristics and needs of the nominated property and its cultural and natural context. Management systems may vary according to different cultural perspectives, the resources available and other factors. They may incorporate traditional practices, existing urban or regional planning instruments, and other planning control mechanisms, both formal and informal. Impact assessments for proposed interventions are essential for all World Heritage properties.

**111.** In recognizing the diversity mentioned above, common elements of an effective management system could include: Decision 39 COM 11 Decision 43 COM 11A

a) a thorough shared understanding of the property, its universal, national and local values and its socio-ecological context by all stakeholders, including local communities and indigenous peoples;

b) a respect for diversity, equity, gender equality and human rights and the use of inclusive and participatory planning and stakeholder consultation processes;

c) a cycle of planning, implementation, monitoring, evaluation and feedback;

d) an assessment of the vulnerabilities of the property to social, economic, environmental and other pressures and changes, including disasters and climate change, as well as the monitoring of the impacts of trends and proposed interventions;

e) the development of mechanisms for the involvement and coordination of the various activities between different partners and stakeholders;

f) the allocation of necessary resources;

g) capacity building;

h) an accountable, transparent description of how the management system functions.

**112.** Effective management involves a cycle of short, medium and long-term actions to protect, conserve and present the nominated property. An integrated approach to planning and management is essential to guide the evolution of properties over time and to ensure maintenance of all aspects of their Outstanding Universal Value. This approach goes beyond the property to include any buffer zone(s), as well as the wider setting. The wider setting may relate to the property's topography, natural and built environment, and other elements such as infrastructure, land use patterns, spatial organization, and visual relationships. It may also include related social and cultural practices, economic processes and other intangible dimensions of heritage such as perceptions and associations. Management of the wider setting is related to its role in supporting the Outstanding Universal Value. Its effective management may also contribute to sustainable development, through harnessing the reciprocal benefits for heritage and society. Decision 39 COM 11 Decision 43 COM 11A

**113.** Moreover, in the context of the implementation of the *Convention*, the World Heritage Committee has established a process of Reactive Monitoring (see Chapter IV) and a process of Periodic Reporting (see Chapter V).

**114.** In the case of serial properties, whether national or transnational, a management system or mechanisms for ensuring the coordinated management of the separate components are essential and should be documented in the nomination (see Paragraphs 137 -139).

**115.** [Deleted] Decision 39 COM 11

**116.** Where the intrinsic qualities of a nominated site are threatened by human action and yet meet the criteria and the conditions of authenticity or integrity set out in paragraphs 78-95, an action plan outlining the corrective measures required should be submitted with the nomination file. Should the corrective measures submitted by the nominating State Party not be taken within the time proposed by the State Party, the property will be considered by the Committee for delisting in accordance with the procedure adopted by the Committee (see Chapter IV.C). Decision 39 COM 11

**117.** States Parties are responsible for implementing effective management activities for a World Heritage property. States Parties should do so in close collaboration with property managers, the agency with management authority and other partners, local communities and indigenous peoples, rights-holders and stakeholders in property management, by developing, when appropriate, equitable governance arrangements, collaborative management systems and redress mechanisms. Decision 43 COM 11A

**118.** The Committee recommends that States Parties include disaster, climate change and other risk preparedness as an element in their World Heritage site management plans and training strategies. Decision 43 COM 11A

**118bis.** Notwithstanding Paragraphs 179 and 180 of the *Operational Guidelines*, States Parties shall ensure that Environmental Impact Assessments, Heritage Impact Assessments, and/or Strategic Environmental Assessments be carried out as a pre-requisite for development projects and activities that are planned for implementation within or around a World Heritage property. These assessments should serve to identify development alternatives, as well as both potential positive and negative impacts on the Outstanding Universal Value of the property and to recommend mitigation measures against degradation or other negative impacts on the cultural or natural heritage within the property or its wider setting. This will ensure the long-term safeguarding of the Outstanding Universal Value, and the strengthening of heritage resilience to disasters and climate change. Decision 43 COM 11A

Sustainable use

**119.** World Heritage properties may sustain biological and cultural diversity and provide ecosystem services and other benefits, which may contribute to environmental and cultural sustainability. Properties may support a variety of ongoing and proposed uses that are ecologically and culturally sustainable and which may enhance the quality of life and well-being of communities concerned. The State Party and its partners must ensure their use is equitable and fully respects the Outstanding Universal Value of the property. For some properties, human use would not be appropriate. Legislation, policies and strategies affecting World Heritage properties should ensure the protection of the Outstanding Universal Value, support the wider conservation of natural and cultural heritage, and promote and encourage the effective, inclusive and equitable participation of the communities, indigenous peoples Decision 43 COM 11A

and other stakeholders concerned with the property as necessary conditions to its sustainable protection, conservation, management and presentation.

## III PROCESS FOR THE INSCRIPTION OF PROPERTIES ON THE WORLD HERITAGE LIST

The Process for the inscription of properties on the World Heritage List starts with the inclusion of a site on the Tentative List (see Section II.C), then there are two phases in the preparation of a nomination, the Preliminary Assessment and the full nomination dossier.

### III.A Preparation of Nominations

**120.** Before States Parties begin to prepare a nomination of a site for inscription on the World Heritage List (i.e. before submission of a Preliminary Assessment request and completion of that Assessment), they should become familiar with the nomination cycle (including the requirement for a completed Preliminary Assessment), described in Paragraph 168. It is desirable to carry out initial preparatory work to establish that a site has the potential to justify Outstanding Universal Value, including integrity and/or authenticity, at the earliest stage, possibly well before the development of a full nomination (including a Preliminary Assessment) which could be expensive and time-consuming. Such preparatory work might include the collection of available information on the site, thematic studies, scoping studies on the potential for demonstrating Outstanding Universal Value, including integrity and/or authenticity, or an initial comparative study of the site in its regional or wider global context, including an analysis in the context of the Gap Studies produced by the Advisory Bodies. This work will help to establish the feasibility of a possible nomination and avoid the use of resources in the preparation of nominations that may be unlikely to succeed. States Parties are encouraged to seek upstream advice from the relevant Advisory Body(ies) as well as to contact the World Heritage Centre at the earliest opportunity to seek information and guidance. Before the submission of nominations, States Parties are also encouraged to avoid, through constructive dialogue, as much as feasible, potential issues which may concern other States Parties.

Decision 34 COM 12 (III) Report of the Expert meeting on Upstream Processes to Nominations: Creative Approaches in the Nomination Process" (Phuket: 2010)

Decision 36 COM 13.I
Decision 39 COM 11
Decision 43 COM 11A

**Upstream Process**

**121.** In relation to the nomination of sites for inscription on the World Heritage List, the voluntary "Upstream Process" comprises advice, consultation and analysis that occurs prior to the Preliminary Assessment and the preparation of a nomination dossier and is aimed at reducing the number of nominations that experience significant problems during the evaluation process. The basic principle of the Upstream Process is to enable the Advisory Bodies and the World Heritage Centre to provide guidance and capacity building directly to States Parties, throughout the whole process leading up to the preparation of a possible World Heritage nomination. For the upstream support to be effective, it should be undertaken from the earliest stage in the nomination process, at the moment of the preparation or revision of the States Parties' Tentative Lists. The Upstream Process can also take place after a site is included on the State Party's Tentative List (see Paragraphs 62-76), but before any Preliminary Assessment request is submitted. The Upstream

Process advice may be desk based, but could involve a site visit and/or holding a workshop.

The purpose of the advice given in the context of a nomination is limited to providing guidance on the technical merit of the nomination and the technical framework needed, in order to offer the State(s) Party(ies) the essential tools that enable it(them) to assess the feasibility and/or actions necessary to prepare a possible nomination.

The Upstream Process advice provided regarding a site will not prejudge the results of any future Preliminary Assessment.

The requirement to undergo a Preliminary Assessment shall be disregarded for nominations of sites which have been subject to an Upstream Process on a specific site under the condition that the related nomination dossier is submitted within five years following reception of the report of Upstream Process advice. In this case, once a nomination is submitted, the related report of Upstream Process advice shall be annexed to it. The report of Upstream Process advice concerning a specific site is relevant for up to five years. After this period, either a new Upstream Process or a Preliminary Assessment is required if a nomination is not submitted by 1 February on the fifth year following the transmission of the Report to the concerned State(s) Party(ies).

Requests for the Upstream Process shall be submitted by the annual deadline of **31 March**, using the official format (Annex 15 of the *Operational Guidelines*). Should the number of requests exceed the capacity, then the prioritization system as per Paragraph 61.c) will be applied.

**Preliminary Assessment**

**122.** The Preliminary Assessment is a mandatory desk-based process for all sites that may be nominated to the World Heritage List and is undertaken following a request by the relevant State(s) Party(ies). Information included in the Preliminary Assessment request should build on the information provided in the Tentative List and also on any Upstream Process advice and/or Preparatory Assistance and its outcome must be provided at least one year before a full nomination dossier can be submitted by the State(s) Party(ies).

In particular:

a) The Preliminary Assessment provides States Parties with an opportunity for enhanced dialogue with the Advisory Bodies, and it will help to establish the feasibility of a potential nomination and avoid the use of resources in the preparation of nominations that may be unlikely to succeed.

b) The Preliminary Assessment provides guidance on the potential of a site to justify Outstanding Universal Value, including integrity and/or authenticity, and, if information is provided, on the requirements for protection and management. The decision to prepare a full nomination dossier, regardless of the outcome of the Preliminary Assessment, will rest with the concerned State(s) Party(ies).

c) States Parties shall submit their Preliminary Assessment request to the World Heritage Centre according to the timetable set in paragraph 168, and using the standard format provided in Annex 3. The request shall be submitted in English or French, in paper and electronic formats (Word and/or PDF format) and in the required number of printed copies (same as for nomination dossiers): 2 identical copies for cultural and natural sites, and 3 identical copies for mixed sites and cultural landscapes.

d) On receipt of Preliminary Assessment requests from States Parties, the Secretariat will acknowledge receipt, check for completeness (in compliance with Annex 3) and register them. The Secretariat will forward, according to the timetable set in paragraph 168, complete Preliminary Assessment requests to the relevant Advisory Body(ies) for desk review. If necessary, the Advisory Body(ies) will request any additional information from the State(s) Party(ies), which should be submitted to the Secretariat. From the commencement of the Preliminary Assessment, the Advisory Body(ies) will initiate a dialogue with the concerned State(s) Party(ies) to establish a point of contact and agree on the process of exchange. States Parties are encouraged to appoint a technical focal point to ensure that dialogue is effective throughout the process, and to ensure that the conclusions of the Preliminary Assessment are communicated to the relevant stakeholders.

e) The Preliminary Assessment will be undertaken by ICOMOS and IUCN on a joint basis whenever relevant, and will be an independent desk review, which will include consultation with expert reviewers. No mission to the site will be undertaken (see Annex 6). Based on available information, the conclusions of the assessment will include an indication of whether the site may have potential to justify Outstanding Universal Value. If so, specific guidance and advice, in the form of recommendations, will be provided to assist the State(s) Party(ies) in the development of the nomination dossier. The Preliminary Assessment Report by the Advisory Bodies shall be provided to the State(s) Party(ies) via the Secretariat in one of the two working languages of the *Convention*.

f) The Preliminary Assessment Report by the Advisory Bodies is relevant for up to 5 years. A new Preliminary Assessment is required if a nomination is not submitted by 1 February on the fifth year following the transmission of the Report to the concerned State(s) Party(ies).

g) A State Party may withdraw a Preliminary Assessment request it has submitted, at any time. In such circumstances, any further consideration of a possible nomination will need to be subject to a new request for a Preliminary Assessment.

h) At each session of the World Heritage Committee, the Secretariat will provide a list of Preliminary Assessments requests received and those undertaken, but will not indicate the guidance given by the Advisory Bodies to the concerned State(s) Party(ies) in a completed Preliminary Assessment. However, once a nomination is submitted, the related Preliminary Assessment Report shall be annexed to it.

i) Both Upstream Process and Preliminary Assessment imply guidance at an early stage, prior to the preparation of a nomination, however they

are different mechanisms. The Upstream Process is not mandatory. The Preliminary Assessment is a mandatory phase possibly allowing access to the preparation of a nomination dossier. Within an Upstream Process a visit to the site may be possible, while the Preliminary Assessment is carried out exclusively on the basis of a desk review. The Upstream Process may provide general advice, in relation to revision of a Tentative List, while the Preliminary Assessment is undertaken on a single site (whether serial or not) already included on a State Party's Tentative List. While in general[5] the costs of Upstream Process requests are borne by the requesting State(s) Party(ies), the costs of Preliminary Assessments, being part of the nomination process, are included in the related evaluation process (see also Paragraph 168bis). In terms of sequence, Upstream Process advice should precede the Preliminary Assessment.

**Participation in the nomination process**

Decision 39 COM 11
Decision 43 COM 11A

**123.** Effective and inclusive participation in the nomination process of local communities, indigenous peoples, governmental, non-governmental and private organizations and other stakeholders is essential to enable them to have a shared responsibility with the State Party in the maintenance of the property. States Parties are encouraged to ensure that Preliminary Assessment requests involve appropriate stakeholders and rights-holders engagement. They are also encouraged to prepare nominations with the widest possible participation of stakeholders and shall demonstrate, as appropriate, that the free, prior and informed consent of indigenous peoples has been obtained, through, inter alia, making the nominations publicly available in appropriate languages and public consultations and hearings. Where appropriate, States Parties are also encouraged to consult potentially concerned States Parties, including neighbouring States Parties, to promote consensus, collaboration and to celebrate cultural diversity.

**Preparatory Assistance for the preparation of nominations**

**124.** Preparatory Assistance, as described in Chapter VII.E, may be requested by States Parties for the preparation of nominations, and in evaluating such requests consideration will be given to the outcome of Preliminary Assessments.

**Secretariat assistance throughout the nomination process**

**125.** States Parties are encouraged to contact the Secretariat, which can provide assistance throughout the nomination process.

**126.** The Secretariat can also provide:

a) guidance on mapping and cartographic requirements;

b) examples of successful nominations, of management and legislative provisions;

c) guidance for nominating different types/categories of sites;

d) guidance for nominating serial and transboundary sites (see Paragraphs 134-139).

[5] States Parties exempted: see Decision 41 COM 9A paragraph 14

**Deadlines for the submission of draft nominations and nomination dossiers**

**127.** States Parties may submit draft nomination dossiers to the Secretariat for comment and review at any time during the year. However, States Parties are strongly encouraged to transmit to the Secretariat by **30 September** of the preceding year (see Paragraph 168), the draft nomination dossiers that they wish to submit by the 1 February deadline. This submission of a draft nomination dossiers should include maps showing the boundaries for the proposed site. Draft nomination dossiers could be submitted either in electronic format or in printed version (only in 1 copy without annexes except for maps). In both cases they should be accompanied by a cover letter. Decision 37 COM 12.II

**128.** Nomination dossiers may be submitted **at any time during the year**, but only those nomination dossiers that are "**complete**" (see paragraph 132 and Annex 5) and received by the Secretariat on or before **1 February**[6] will be considered for inscription on the World Heritage List by the World Heritage Committee during the following year. Only nomination dossiers of sites included in the State Party's Tentative List and which have undergone a complete Preliminary Assessment will be examined by the Committee (see Paragraphs 63, 65 and 122). Decision 37 COM 12.II
Decision 39 COM 11

## III.B Format and content of nomination dossiers

**129.** The nomination dossier is the primary basis on which the Committee considers the inscription of properties on the World Heritage List. All relevant information should be included in the nomination dossier and it should be cross-referenced to the source of information. Nomination dossiers of properties for inscription on the World Heritage List should be prepared in accordance with the format set out in Annex 5.

**130.** The format includes the following sections:

1. Identification of the nominated property
2. Description of the nominated property
3. Justification for Inscription
4. State of conservation and factors affecting the nominated property
5. Protection and Management
6. Monitoring
7. Documentation
8. Contact Information of responsible authorities
9. Signature on behalf of the State Party(ies)

**131.** Nomination dossiers to the World Heritage List are evaluated on content rather than on appearance of the dossier or the quantity of annexes.

**132.** For a nomination dossier to be considered as "**complete**", the following requirements (see format in Annex 5, including Explanatory Notes for each section, and Paragraph 128) are to be met: Decision 37 COM 12.II
Decision 39 COM 11
Decision 43 COM 11A

Executive Summary

[6] If 1 February falls on a weekend, the nomination dossier must be received by 17h00 GMT the preceding Friday.

An Executive Summary shall include essential information (see Annex 5) extracted from the main text of the nomination dossier including a reduced size version of the map(s) indicating the boundaries of the nominated property and of the buffer zone (where appropriate) and the draft Statement of Outstanding Universal Value (the same text presented in Section 3.3 of the main text of the nomination dossier).

1. Identification of the nominated property

The boundaries of the nominated property shall be clearly defined, unambiguously distinguishing between the nominated property and any buffer zone (when present) (see Paragraphs 103-107). Maps shall be sufficiently detailed to determine precisely which area of land and/or water is nominated. Officially up-to-date published topographic maps of the State Party annotated to show the nominated property boundaries and any buffer zone (when present) shall be provided if available in printed version. A nomination dossier shall be considered "incomplete" if it does not include clearly defined boundaries.

2. Description of the nominated property

The Description of the nominated property shall include the identification of the nominated property and an overview of its history and development. All component parts that are mapped shall be identified and described. In particular, where serial properties are nominated, each of the component parts shall be clearly described.

The History and Development of the nominated property shall describe how the nominated property has reached its present form and the significant changes that it has undergone. This information shall provide the important facts needed to support and give substance to the argument that the nominated property meets the criteria of Outstanding Universal Value and conditions of integrity and/or authenticity.

3. Justification for inscription

This section must make clear why the nominated property is considered to be of Outstanding Universal Value.

The text in sections from 3.1.a to 3.1.e should contain more detailed information to support the text of the proposed Statement of Outstanding Universal Value (section 3.3).

Section 3.1.b shall indicate the World Heritage criteria (see Paragraph 77) under which the nominated property is proposed, together with a clearly stated argument for the use of each criterion. Statements of integrity and (when cultural criteria are proposed) of authenticity shall be included and shall demonstrate how the nominated property satisfies the conditions outlined in Paragraphs 78-95.

In section 3.2, a comparative analysis of the nominated property in relation to similar properties, whether or not on the World Heritage List, both at the national and international levels, shall be provided. The comparative analysis shall explain the importance of the nominated property in its national and international context.

The comparative analyses prepared by States Parties when nominating properties for inscription in the World Heritage List should not be confused with the thematic studies prepared by the Advisory Bodies at the request of the Committee (paragraph 148 below)

Decision 7 EXT.COM 4A

In section 3.3, a proposed Statement of Outstanding Universal Value (see Paragraphs 49–53 and 155) of the nominated property prepared by the State Party shall make clear why the property is considered to merit inscription on the World Heritage List.

4. State of conservation and factors affecting the nominated property

This section shall include accurate information on the present state of conservation of the nominated property (including information on its physical condition of the property and conservation measures in place). It shall also include a description of the factors affecting the nominated property (including threats). Information provided in this section constitutes the baseline data which are necessary to monitor the state of conservation of the nominated property in the future.

5. Protection and management

Protection: Section 5 shall include the list of the legislative, regulatory, contractual, planning, institutional and/or traditional measures most relevant to the protection of the nominated property and provide a detailed analysis of the way in which this protection actually operates. Legislative, regulatory, contractual planning and/or institutional texts, or an abstract of the texts, shall also be attached in English or French.

Management: An appropriate management plan or other management system is essential and shall be provided in the nomination dossier. Assurances of the effective implementation of the management plan or other management system are also expected. Sustainable development principles should be integrated into the management system, for all types of natural, cultural and mixed nominated properties, including their buffer zones and wider setting.

A copy of the management plan or documentation of the management system shall be annexed to the nomination dossier. If the management plan exists only in a language other than English or French, an English or French detailed description of its provisions shall be annexed.

A detailed analysis or explanation of the management plan or a documented management system shall be provided in Section 5.e of the nomination dossier.

A nomination dossier which does not include the above-mentioned documents is considered incomplete unless other documents guiding the management of the nominated property until the finalization of the management plan are provided.

6. Monitoring

States Parties shall include the key indicators in place and/or proposed to measure and assess the state of conservation of the nominated property, the factors affecting it, conservation measures at the nominated property, the periodicity of their examination, and the identity of the responsible authorities.

7. Documentation

All documentation necessary to substantiate the nomination dossier shall be provided. In addition to what is indicated above, this shall include a) images of a quality suitable for printing (digital photographs at 300 dpi minimum, and if essential, supplementary film, video or other audio visual material); and b) image/audiovisual inventory and authorization form (see Annex 5, point 7.a). The main text of the nomination dossier shall be transmitted in printed form as well as in electronic format (Word and/or PDF format preferred).

8. Contact information of responsible authorities

Detailed contact information of responsible authorities shall be provided.

9. Signature on behalf of the State Party

The main text of the nomination dossier shall conclude with the original signature of the official empowered to sign it on behalf of the State Party.

10. Number of printed copies required (including annexed maps)

Nomination dossiers of cultural and natural properties (excluding cultural landscapes): 2 identical copies

Nomination dossiers of mixed properties and cultural landscapes: 3 identical copies

11. Paper and electronic formats

Nomination dossiers shall be presented on A4-size paper and in electronic format (Word and/or PDF format). A nomination dossier shall not be considered submitted until its paper format is received by the Secretariat.

12. Sending

States Parties shall submit the nomination dossiers in English or French duly signed, to:

**UNESCO World Heritage Centre**
7, place de Fontenoy
75352 Paris 07 SP
France
Tel: +33 (0) 1 4568 1104
E-mail: wh-nominations@unesco.org

**133.** The Secretariat will retain all supporting documentation (maps, plans, photographic material, etc.) submitted with the nomination dossier.

## III.C Requirements for nomination of different types of nominated properties

Nominated transboundary properties

**134.** A nominated property may be located: Decision 7 EXT.COM 4A

a) on the territory of a single State Party, or

b) on the territory of all concerned States Parties having adjacent borders (nominated transboundary property).

**135.** Wherever possible, nomination dossiers of transboundary sites should be prepared (see Annex 2B) and submitted by States Parties jointly in conformity with Article 11.3 of the *Convention*. The States Parties concerned shall establish a joint management committee or similar body to oversee the management of the whole nominated transboundary property.

**136.** Extensions to an existing World Heritage property located in one State Party may be proposed to become a transboundary property, with the consent of the State Party on the territory of which the existing World Heritage property is located.

Nominated serial properties

**137.** Nominated serial property includes two or more component parts related by clearly defined links:

a) Component parts should reflect cultural, social or functional links over time that provide, where relevant, landscape, ecological, evolutionary or habitat connectivity.

b) Each component part should contribute to the Outstanding Universal Value of the nominated property as a whole in a substantial, scientific, readily defined and discernible way, and may include, inter alia, intangible attributes. The resulting Outstanding Universal Value should be easily understood and communicated.

c) Consistently, and in order to avoid an excessive fragmentation of component parts, the process of nomination of the property, including the selection of the component parts, should take fully into account the overall manageability and coherence of the nominated property (see Paragraph 114).

and provided the series as a whole – and not necessarily its individual component parts–is of Outstanding Universal Value.

**138.** A serial nominated property may occur: Decision 7 EXT.COM 4A

a) on the territory of a single State Party (nominated serial national property); or

b) within the territory of different States Parties, which need not be contiguous and is nominated with the consent of all States Parties concerned (nominated serial transnational property).

**138bis.** The States Parties concerned shall establish a joint management committee or similar body to oversee the management of the whole of a nominated serial transnational property (see Paragraph 114). Extension to an existing World Heritage property located in one State Party may be proposed to become a transnational property, with the consent of the State Party on the territory of which the existing World Heritage property is located.

Decision 41 COM 8B.50

**139.** States Parties planning a group of transnational serial nomination dossiers linked by the same unifying cultural and/or natural concept and phased over different cycles are encouraged to prepare an agreed nomination strategy before their official submission, in order to inform the Committee of their intentions and to ensure better planning. In such cases, the nomination strategy should be discussed at the Preliminary Assessment stage and annexed to the subsequent nomination dossiers.

### III.D Registration of nomination dossiers

**140.** On receipt of nomination dossiers from States Parties, the Secretariat will acknowledge receipt, check for completeness and register them. The Secretariat will forward complete nomination dossiers to the relevant Advisory Bodies for evaluation. The Secretariat will also make available the electronic format of the main text of the nomination dossiers on the World Heritage Centre's website. If necessary, the Advisory Bodies will request additional information from the State(s) Party(ies), which will be submitted to and registered by the World Heritage Centre. The timetable for registration and processing of nomination dossiers is detailed in Paragraph 168.

Decision 39 COM 11
Decision 18 EXT.COM 4

**141.** The Secretariat establishes and submits at each Committee session a list of all nomination dossiers received, including the date of reception, an indication of their status "complete" or "incomplete", as well as the date at which they are considered as "complete" in conformity with paragraphs 128, 132 and Annex 5.

Decisions 26 COM 14
Decision 28 COM 14B.57
Decision 39 COM 11

**142.** A nomination dossier passes through a cycle between the time of its submission and the decision by the World Heritage Committee. This cycle normally lasts one and a half years between submission in February of Year 1 and the decision of the Committee in June/July of Year 2.

### III.E Evaluation of nomination dossiers by the Advisory Bodies

**143.** The Advisory Bodies will evaluate whether or not properties nominated by States Parties have Outstanding Universal Value, meet the conditions of integrity and (when relevant) of authenticity and meet the requirements of protection and management. The procedures and format of ICOMOS and IUCN evaluations are described in Annex 6.

Decision 39 COM 11

**144.** Evaluations of cultural heritage nomination dossiers will be carried out by ICOMOS.

**145.** Evaluations of natural heritage nomination dossiers will be carried out by IUCN.

**146.** In the case of nomination dossiers of cultural properties in the category of "cultural landscapes", the evaluation will be carried out by ICOMOS in consultation with IUCN, as appropriate. For mixed properties, the evaluation will be carried out jointly by ICOMOS and IUCN.

**147.** As requested by the World Heritage Committee or as necessary, ICOMOS and IUCN will carry out thematic studies to evaluate potential nominated properties in their regional, global or thematic context. These studies should be informed by a review of the Tentative Lists submitted by States Parties and by reports of meetings on the harmonization of Tentative Lists, as well as by other technical studies performed by the Advisory Bodies and qualified organizations and individuals. A list of those studies already completed may be found on the Web addresses of the Advisory Bodies. These studies should not be confused with the comparative analysis to be prepared by States Parties in nominating properties for inscription on the World Heritage List (see Paragraph 132).

**148.** The following principles must guide the evaluations and presentations of ICOMOS and IUCN. The evaluations and presentations should:

Decision 28 COM 14B.57
Decision 30 COM 13
Decision 39 COM 11

a) adhere to the *World Heritage Convention* and the relevant *Operational Guidelines* and any additional policies set out by the Committee in its decisions;

b) be objective, rigorous and scientific including in considering all information provided to the Advisory Bodies regarding a nomination;

c) be conducted to a consistent standard of professionalism, equity and transparency throughout the evaluation process in consultation and dialogue with nominating States Parties;

d) comply to standard format, both for evaluations and presentations, to be agreed with the Secretariat and include the names of all experts who participated in the evaluation process, except desk reviewers who provide confidential reviews, and, in an annex, a detailed breakdown of all costs and expenses related to the evaluation;

e) involve regional experts familiar with the subject;

f) indicate clearly and separately whether the property has Outstanding Universal Value, meets the conditions of integrity and/or authenticity, a management plan/system and legislative protection;

g) evaluate each property systematically according to all relevant criteria, including its state of conservation, relatively, that is, by comparison with that of other properties of the same type, both inside and outside the State Party's territory;

h) consider previous Upstream Process advice, if provided, and the outcome of the Preliminary Assessment and include references to Committee decisions and requests concerning the nomination under consideration;

i) not take into account or include any information submitted by the State Party after **28 February**, in the year in which the nomination is considered. The State Party should be informed when information has arrived after the deadline and is not being taken into account in the evaluation. This deadline should be rigorously enforced; and

j) provide a justification for their views through a list of references (literature) consulted, as appropriate.

**149.** The Advisory Bodies are requested to forward to States Parties, with copy to the World Heritage Centre for distribution to the Chair of the World Heritage Committee, by **31 January** of each year, a short interim report outlining the status and any issues relevant to evaluations, and detailing any requests for supplementary information, in one of the two working languages of the *Convention*. Decision 7 EXT.COM 4B.1 Decision 39 COM 11

**150.** Letters from the concerned States Parties, submitted in the appropriate form in Annex 12, detailing the factual errors that might have been identified in the evaluation of their nomination made by the Advisory Bodies, must be received by the World Heritage Centre no later than 14 days before the opening of the session of the Committee with copies to the relevant Advisory Bodies. The letters shall be made available as an annex to the documents for the relevant agenda item, and no later than the first day of the Committee session. The World Heritage Centre and the Advisory Bodies may add their comments to the letters, in the relevant section of the form, before they are made available. Decision 7 EXT.COM 4B.1 Decision 37 COM 12.II

**151.** ICOMOS and IUCN make their recommendations under three categories:

a) properties which are **recommended for inscription** without reservation;

b) properties which are **not recommended** for inscription;

c) nominations which are recommended for **referral** or **deferral**.

### III.F Withdrawal of nominations

**152.** A State Party may **withdraw** a nomination it has submitted at any time prior to the Committee session at which it is scheduled to be examined. The State Party should inform the Secretariat in writing of its intention to withdraw the nomination. If the State Party so wishes it can resubmit a nomination for the site, which will be considered as a new nomination according to the procedures and timetable outlined in paragraph 168.

**152bis.** In the case of transnational/transboundary nominations, if one or more nominating State(s) Party(ies) inform the Secretariat in writing of its/their intention to withdraw all the component parts or the whole area of the site situated on its/their territory(ies), the Secretariat shall immediately notify all the other nominating State(s) Party(ies) and the nomination process for the site in its entirety shall be considered concluded. If the State(s) Party(ies) so wish it/they can resubmit a nomination for the site, which will be considered as a new nomination according to the procedures and timetable outlined in Paragraph 168.

### III.G Decision of the World Heritage Committee

**153.** The World Heritage Committee decides whether a property should or should not be inscribed on the World Heritage List, referred or deferred.

Inscription

**154.** When deciding to inscribe a property on the World Heritage List, the Committee, guided by the Advisory Bodies, adopts a Statement of Outstanding Universal Value for the property.

**155.** The Statement of Outstanding Universal Value should include a summary of the Committee's determination that the property has Outstanding Universal Value, identifying the criteria under which the property was inscribed, including the assessments of the conditions of integrity, and, for cultural and mixed properties, authenticity. It should also include a statement on the protection and management in force and the requirements for protection and management for the future. The Statement of Outstanding Universal Value shall be the basis for the future protection and management of the property. Decision 39 COM 11

Where necessary, the protection and management part of the Statement of Outstanding Universal Value may be updated by the World Heritage Committee, in consultation with the State Party and further to a review by the Advisory Bodies. Such updates could be made periodically further to the outcomes of Periodic Reporting cycles, or at any Committee session, if required.

The World Heritage Centre will automatically keep the Statements of Outstanding Universal Value updated further to subsequent decisions taken by the Committee concerning a change of name of the property and change of surface further to minor boundary modifications and correct any factual errors as agreed with the relevant Advisory Bodies.

In the framework of the Gender Equality Priority of UNESCO, the use of gender-neutral language in the preparation of Statements of Outstanding Universal Value is encouraged.

**156.** At the time of inscription, the Committee may also make other recommendations concerning the protection and management of the World Heritage property.

**157.** The Statement of Outstanding Universal Value (including the criteria for which a specific property is inscribed on the World Heritage List) will be set out by the Committee in its reports and publications.

Decision not to inscribe

**158.** If the Committee decides that a property should **not be inscribed** on the World Heritage List, the nomination may not be presented to the Committee again except in exceptional circumstances. These exceptional circumstances may include new discoveries, new scientific information about the property, or different criteria not presented in the original nomination. In these cases, a new nomination shall be submitted.

Referral of Nominations

**159.** Nominations which the Committee decides **to refer** back to the State Party for additional information may be resubmitted to the following Committee session for examination. Referral means that the requested additional information is necessary to determine the Outstanding Universal Value of the nominated property, which only occurs at the time a property is inscribed on the World Heritage List (see Paragraph 154). The referral procedure does not involve a mission to the site by the Advisory Body(ies) and it shall not involve change to criteria nor substantial change of area(s)/component(s) from those proposed in the original nomination dossier. In the referral procedure there is no submission of a new nomination dossier and the additional information that reactivates the referred nomination must be received by the Secretariat by **1 February**[7] of the year in which examination by the Committee is desired. The Secretariat will immediately transmit it to the relevant Advisory Bodies for evaluation. A referred nomination which is not presented to the Committee within three years of the original Committee decision will be considered as a new nomination when it is resubmitted for examination, following the procedures and timetable outlined in paragraph 168. States Parties might seek advice from the relevant Advisory Body(ies) and/or the World Heritage Centre to discuss how the recommendations of the Committee might be addressed.

Decision 39 COM 11

Deferral of Nominations

**160.** The Committee may decide **to defer** a nomination for more in-depth assessment or study, or a substantial revision by the State Party. Should the State Party decide to resubmit the deferred nomination in any subsequent year, it must be received by the Secretariat by **1 February** [8] . This resubmission shall not be subject to Preliminary Assessment, unless the Committee decides otherwise. These nominations will then be reevaluated (evaluated again) by the relevant Advisory Bodies during the course of the full year and a half evaluation cycle including an evaluation mission according to the procedures and timetable outlined in paragraph 168. States Parties are encouraged to seek advice from the relevant Advisory Body and/or the World Heritage Centre to discuss how the recommendations of the Committee might be addressed. Where required, the States Parties may wish to consider inviting an Advisory mission.

Decision 39 COM 11

### III.H Nomination dossiers to be processed on an emergency basis

**161.** The normal timetable and definition of completeness for the submission and processing of nomination dossiers will not apply in the case of properties which would be in Danger, as a result of having suffered damage or facing serious and specific dangers from natural events or human activities, which would constitute an emergency situation for which an immediate decision by the Committee is necessary to ensure their safeguarding, and which, according to the report of the relevant Advisory Bodies, may unquestionably justify Outstanding Universal Value. Such nominations will be processed on an emergency basis and their examination is included in the agenda of the next Committee session. These properties may be inscribed on the World

Decision 37 COM 12.II

[7] If 1 February falls on a weekend, the nomination dossier must be received by 17h00 GMT the preceding Friday.

[8] If 1 February falls on a weekend, the nomination dossier must be received by 17h00 GMT the preceding Friday.

Heritage List. They shall, in that case, be simultaneously inscribed on the List of World Heritage in Danger (see Paragraphs 177-191).

**162.** The procedure for nomination dossiers to be processed on an emergency basis is as follows: Decision 37 COM 12.II

a) A State Party presents a nomination dossier with the request for processing on an emergency basis. The State Party shall have already included, or immediately include, the site on its Tentative List.

b) The nomination dossier shall:

i) describe the nominated property and identify precisely its boundaries;

ii) justify its Outstanding Universal Value according to the criteria;

iii) justify its integrity and/or authenticity;

iv) describe its protection and management system;

v) describe the nature of the emergency, and the nature and extent of the damage or specific danger and show that immediate action by the Committee is necessary to ensure the safeguarding of the nominated property.

c) The Secretariat immediately transmits the nomination dossier to the relevant Advisory Bodies, requesting an assessment of the qualities of the nominated property which may justify its Outstanding Universal Value, of the nature of the danger and the urgency of a decision by the Committee. A field visit may be necessary if the relevant Advisory Bodies consider it appropriate and if the time allows.

d) When reviewing the nomination dossier, the Committee will also consider:

vi) allocation of International Assistance to complete the nomination dossier; and

vii) follow-up missions as necessary by the Secretariat and the relevant Advisory Bodies as soon as possible after inscription to fulfil the Committee's recommendations.

## III.I Modifications to the boundaries, to the criteria used to justify inscription or to the name of a World Heritage property

Minor modifications to the boundaries

**163.** A minor modification is one which does not have a significant impact on the extent of the property nor affects its Outstanding Universal Value.

**164.** If a State Party wishes to request a minor modification to the boundaries of a property already on the World Heritage List, it must be prepared in compliance with the format of Annex 11 and must be received by **1 February**[9] by the Committee through the Secretariat, which will seek the evaluation of the relevant Advisory Bodies on whether this can be considered a minor modification or not. The Secretariat shall then submit the Advisory Bodies' evaluation to the World Heritage Committee. The Committee may approve such a modification, or it may consider that the modification to the boundary is sufficiently significant as to constitute a significant boundary modification of the property, in which case the procedure for new nominations will apply.

Decision 39 COM 11

Significant modifications to the boundaries

**165.** If a State Party wishes to significantly modify the boundaries of a property already on the World Heritage List, the State Party shall submit this proposal as if it was a new nomination, including the requirement to be previously included on the Tentative List (see Paragraphs 63 and 65), and having undergone a Preliminary Assessment (see Paragraph 122). The requirement to undergo a Preliminary Assessment shall be disregarded when the Committee explicitly encourages in a decision a significant boundary modification not involving modifications to the criteria. This nomination dossier shall be presented by **1 February**[10] and will be evaluated in the full year and a half cycle of evaluation according to the procedures and timetable outlined in Paragraph 168. This provision applies to extensions, as well as reductions.

Decision 39 COM 11

Modifications to the criteria used to justify inscription on the World Heritage List

**166.** Where a State Party wishes to have the property inscribed under additional, fewer or different criteria other than those used for the original inscription, it shall submit this request as if it was a new nomination, including the requirement to be previously included on the Tentative List (see Paragraphs 63 and 65), and having undergone a Preliminary Assessment (see Paragraph 122). This nomination must be received by **1 February**[11] and will be evaluated in the full year and a half cycle of evaluation according to the procedures and timetable outlined in Paragraph 168. Properties recommended will only be evaluated under the new criteria and will remain on the World Heritage List even if unsuccessful in having additional criteria recognized.

Decision 39 COM 11

Modification to the name of a World Heritage property

**167.** A State Party [12]may request that the Committee authorize a modification to the name of a property already inscribed on the World Heritage List. A request for a modification to the name along with a short justification shall be received by the Secretariat **at least 3 months prior to the meeting of the Committee**.

[9] If 1 February falls on a weekend, the nomination dossier must be received by 17h00 GMT the preceding Friday.

[10] If 1 February falls on a weekend, the nomination dossier must be received by 17h00 GMT the preceding Friday.

[11] If 1 February falls on a weekend, the nomination dossier must be received by 17h00 GMT the preceding Friday.

[12] In case of transnational/transboundary properties, any modification will need the agreement of all States Parties concerned.

### III.J Timetable – overview

**168.**

**Preliminary Assessment Phase** Decision 39 COM 11

| Timetable | Procedures |
|---|---|
| **15 September (Year 1)** | Deadline by which complete Preliminary Assessment requests must be received in printed format by the Secretariat to be transmitted to the relevant Advisory Bodies for review (see Paragraph 122.c).<br><br>Preliminary Assessment requests shall be received by 17h00 GMT, or, if the date falls on a weekend by 17h00 GMT the preceding Friday.<br><br>Preliminary Assessment requests received after this date will be examined in a future cycle. |
| **15 October (Year 1)** | Deadline by which the Secretariat acknowledges receipt of a Preliminary Assessment request and informs the State Party whether it is considered complete.<br><br>Preliminary Assessment requests that are not complete (see Annex 3) will not be transmitted to the relevant Advisory Body(ies) for review. If a Preliminary Assessment request is incomplete, the State Party concerned will be advised of information required to complete it by the deadline of 15 September of the following year.<br><br>Preliminary Assessment requests that are complete are transmitted to the relevant Advisory Body(ies) for assessment. |
| **October (Year 1) - September (Year 2)** | Desk review by the Advisory Body(ies).<br><br>If necessary, the Advisory Body(ies) will request any additional information from the State(s) Party(ies), which should be submitted to the Secretariat. |
| **1 October (Year 2)** | The relevant Advisory Bodies deliver their assessments to the Secretariat for transmission to the concerned States Parties. |

[The years concerning the Preliminary Assessment Phase and the Nomination Dossier Phase are not consecutive. A minimum of 12 months shall occur between reception of the Preliminary Assessment outcome and the submission of the related Nomination Dossier.]

**Nomination Dossier Phase**

| Timetable | Procedures |
|---|---|
| **30 September (before Year 1)** | Voluntary deadline for receipt of draft nomination dossiers from States Parties by the Secretariat. |
| **15 November (before Year 1)** | Secretariat to respond to the nominating State Party with comments and review of the draft nomination dossier |

| | |
|---|---|
| | indicating missing information required and corrections to make. |
| **1 February Year 1** | Deadline by which complete nomination dossiers must be received in printed format by the Secretariat to be transmitted to the relevant Advisory Bodies for evaluation (see Paragraph 132).<br><br>Nomination dossiers shall be received by 17h00 GMT, or, if the date falls on a weekend by 17h00 GMT the preceding Friday.<br><br>Nomination dossiers received after this date will be examined in a future cycle. |
| **1 February** –<br><br>**1 March Year 1** | Registration, assessment of completeness and transmission to the relevant Advisory Bodies.<br><br>The Secretariat registers each nomination dossier, acknowledges receipt to the nominating State Party and inventories its contents. The Secretariat will inform the nominating State Party whether or not the nomination dossier is complete.<br><br>Nomination dossiers that are not complete (see paragraph 132) will not be transmitted to the relevant Advisory Bodies for evaluation. If a nomination dossier is incomplete, the State Party concerned will be advised of information required to complete the nomination dossier by the deadline of 1 February of the following year in order for the nomination dossier to be examined in a future cycle.<br><br>Nomination dossiers that are complete are transmitted to the relevant Advisory Bodies for evaluation.<br><br>The Secretariat will also make available the electronic format of the text of the nomination dossiers on the World Heritage Centre's website. |
| **1 March Year 1** | Deadline by which the Secretariat informs the State Party of the receipt of a nomination dossier, whether it is considered complete and whether it has been received by 1 February. |
| **March Year 1 – May Year 2** | Evaluation by the Advisory Bodies |
| **31 January Year 2** | The Advisory Bodies are requested to forward to States Parties, with copy to the World Heritage Centre for distribution to the Chair of the World Heritage Committee, by **31 January** of Year 2 a short interim report outlining the status of and any issues relevant to evaluations, and detailing any requests for supplementary information, in one of the two working languages of the *Convention*. |
| **28 February Year 2** | Deadline by which additional information requested by the relevant Advisory Bodies shall be submitted by the State Party to them via the Secretariat. |

| | |
|---|---|
| | Additional information shall be submitted in the same number of copies and electronic formats as specified in Paragraph 132 to the Secretariat. To avoid confusing new and old texts, if the additional information submitted concerns changes to the main text of the nomination dossier, the State Party shall submit these changes in an amended version of the original text. The changes shall be clearly identified. An electronic version of this new text shall accompany the paper version. |
| **Six weeks prior to the annual World Heritage Committee session Year 2** | The relevant Advisory Bodies deliver their evaluations and recommendations to the Secretariat for transmission to the World Heritage Committee as well as to States Parties. |
| **At least 14 days before the opening of the annual World Heritage Committee session Year 2** | Correction of factual errors by States Parties.<br><br>The concerned States Parties can send, at least 14 days before the opening of the session of the Committee, a letter to the Chairperson, with copies to the Advisory Bodies, detailing the factual errors they might have identified in the evaluation of their nomination dossier made by the Advisory Bodies. |
| **Annual session of the World Heritage Committee (June/July) Year 2** | The Committee examines the nomination dossiers and makes its decisions. |
| **Immediately following the annual session of the World Heritage Committee** | Notification to the States Parties<br><br>The Secretariat notifies all States Parties whose nomination dossiers have been examined by the Committee of the relevant decisions of the Committee.<br><br>Following the decision of the World Heritage Committee to inscribe a property on the World Heritage List, the Secretariat writes to the State Party and site managers providing a map of the area inscribed and the Statement of Outstanding Universal Value. |
| **Immediately following the annual session of the World Heritage Committee** | The Secretariat publishes the updated World Heritage List every year following the annual session of the Committee.<br><br>The name of the States Parties having nominated the properties inscribed on the World Heritage List are presented in the published form of the List under the following heading: "Contracting State having submitted the nomination of the property in accordance with the *Convention*". |
| **In the month following the closure of the annual session of the World Heritage Committee** | The Secretariat forwards the published report of all the decisions of the World Heritage Committee to all States Parties. |

### III.K Financing of evaluation of nominations

**168bis**. States Parties submitting new nomination dossiers are expected to make voluntary contributions towards funding evaluation of nominations by the Advisory Bodies, taking into account the average costs of evaluations as indicated by the Secretariat in the document related to the World Heritage Fund presented at each Committee session. The same principle applies to the submission of Preliminary Assessment requests. The modalities are as follows:

Decision 43 COM 11A
Decision 43 COM 14

a) The contributions shall be made to a dedicated sub-account of the World Heritage Fund;

b) No contributions would be expected from Least Developed Countries or Low-Income Economies (as defined by the United Nations Economic and Social Council's Committee for Development Policy), Lower Middle-Income Countries as defined by the World Bank, Small Island Developing States and States Parties in conflict or post-conflict situations;

c) The contributions are expected to be made after the Preliminary Assessment or the nomination dossier enters the evaluation cycle upon a positive outcome of the completeness check;

d) This mechanism shall not impact the objective evaluation of sites by the Advisory Bodies, nor the order of priority as defined in the *Operational Guidelines* to be used when handling nominations.

## IV PROCESS FOR MONITORING THE STATE OF CONSERVATION OF WORLD HERITAGE PROPERTIES

### IV.A Reactive Monitoring

Definition of Reactive Monitoring

**169.** Reactive Monitoring is the reporting by the Secretariat, other sectors of UNESCO and the Advisory Bodies to the Committee on the state of conservation of specific World Heritage properties that are under threat. To this end, the States Parties shall submit specific reports and impact studies each time exceptional circumstances occur or work is undertaken which may have an impact on the Outstanding Universal Value of the property or its state of conservation.

Decision 39 COM 11

Reactive Monitoring is also foreseen in reference to properties inscribed, or to be inscribed, on the List of World Heritage in Danger as set out in paragraphs 177-191. Reactive Monitoring is also foreseen in the procedures for the eventual deletion of properties from the World Heritage List as set out in paragraphs 192-198.

These reports shall be submitted to the World Heritage Committee through the Secretariat, using the standard format in Annex 13, in English or French:

a) by 1 December of the year preceding the examination of the property by the Committee, for the properties inscribed on the World Heritage List,

b) by 1 February of the year of examination of the property by the Committee, for the properties inscribed on the List of World Heritage in Danger, and for specific cases of utmost urgency.

Objective of Reactive Monitoring

**170.** When adopting the process of Reactive Monitoring, the Committee was particularly concerned that all possible measures should be taken to prevent the deletion of any property from the List and was ready to offer technical cooperation as far as possible to States Parties in this connection.

Article 4 of the Convention:

*"Each State Party to this Convention recognizes that the duty of ensuring the identification, protection, conservation, presentation and transmission to future generations of the cultural and natural heritage referred to in Articles 1 and 2 and situated on its territory, belongs primarily to that State...".*

**171.** The Committee recommends that States Parties cooperate with the Advisory Bodies which have been asked by the Committee to carry out monitoring and reporting on its behalf on the progress of work undertaken for the preservation of properties inscribed on the World Heritage List.

Information received from States Parties and/or other sources

**172.** The World Heritage Committee invites the States Parties to the *Convention* to inform the Committee, through the Secretariat, of their intention to undertake or to authorize in an area protected under the *Convention* major restorations or new constructions which may affect the Outstanding Universal Value of the property. Notice should be given as soon as possible (for instance, before drafting basic documents for specific projects) and before making any decisions that would be difficult to reverse, so that the Committee may assist in seeking appropriate solutions to ensure that the Outstanding Universal Value of the property is fully preserved.

**173.** The World Heritage Committee requests that reports of missions to review the state of conservation of the World Heritage properties include:

Decision 27 COM 7B.106

a) an indication of threats or significant improvement in the conservation of the property since the last report to the World Heritage Committee;

b) any follow-up to previous decisions of the World Heritage Committee on the state of conservation of the property;

c) information on any threat or damage to or loss of Outstanding Universal Value, integrity and/or authenticity for which the property was inscribed on the World Heritage List.

**174.** When the Secretariat receives information that a property inscribed has seriously deteriorated, or that the necessary corrective measures have not been taken within the time proposed, from a source other than the State Party concerned, it will, as far as possible, verify the source and the contents of the information in consultation with the State Party concerned and request its comments.

Decision by the World Heritage Committee

**175.** The Secretariat will request the relevant Advisory Bodies to forward comments on the information received.

**176.** The information received, together with the comments of the State Party and the Advisory Bodies, will be brought to the attention of the Committee in the form of a state of conservation report for each property, which may take one or more of the following steps:

Decision 39 COM 11
Decision 43 COM 11A

a) it may decide that the property has not seriously deteriorated and that no further action should be taken;

b) when the Committee considers that the property has seriously deteriorated, but not to the extent that its restoration is impossible, it may decide that the property be maintained on the List, provided that the State Party takes the necessary measures to restore the property within a reasonable period of time. The Committee may also decide that technical cooperation be provided under the World Heritage Fund for work connected with the restoration of the property, proposing to the State Party to request such assistance, if it has not already been done; in some circumstances States Parties may wish to invite an Advisory mission by the relevant Advisory Body(ies) or other organization(s) or expert(s) to seek advice on necessary measures to reverse deterioration and address threats;

c) when the requirements and criteria set out in Paragraphs 177-182 are met, the Committee may decide to inscribe the property on the List of World Heritage in Danger according to the procedures set out in Paragraphs 183-189;

d) when there is evidence that the property has deteriorated to the point where it has irretrievably lost those characteristics which determined its inscription on the List, the Committee may decide to delete the property from the List. Before any such action is taken, the Secretariat will inform the State Party concerned. Any comments which the State Party may make will be brought to the attention of the Committee;

e) when the information available is not sufficient to enable the Committee to take one of the measures described in a), b), c) or d) above, the Committee may decide that the Secretariat be authorized to take the necessary action to ascertain, in consultation with the State Party concerned, the present condition of the property, the dangers to the property and the feasibility of adequately restoring the property. Such measures may include the sending of a Reactive Monitoring mission or the consultation of specialists, or through an Advisory mission. The Secretariat shall report to the Committee on the results of its action. In case an emergency action is required, the Committee may authorize its financing from the World Heritage Fund through an emergency assistance request.

## IV.B The List of World Heritage in Danger

Guidelines for the inscription of properties on the List of World Heritage in Danger

**177.** In accordance with Article 11, paragraph 4, of the *Convention*, the Committee may inscribe a property on the List of World Heritage in Danger when the following requirements are met:

a) the property under consideration is on the World Heritage List;

b) the property is threatened by serious and specific danger;

c) major operations are necessary for the conservation of the property;

d) assistance under the *Convention* has been requested for the property; the Committee is of the view that its assistance in certain cases may most effectively be limited to messages of its concern, including the message sent by inscription of a property on the List of World Heritage in Danger and that such assistance may be requested by any Committee member or the Secretariat.

Criteria for the inscription of properties on the List of World Heritage in Danger

**178.** A World Heritage property - as defined in Articles 1 and 2 of the *Convention* - can be inscribed on the List of World Heritage in Danger by the Committee when it finds that the condition of the property corresponds to at least one of the criteria in either of the two cases described below.

**179.** In the case of **cultural properties**:

a) ASCERTAINED DANGER - The property is faced with specific and proven imminent danger, such as:

i) serious deterioration of materials;

ii) serious deterioration of structure and/or ornamental features;

iii) serious deterioration of architectural or town-planning coherence;

iv) serious deterioration of urban or rural space, or the natural environment;

v) significant loss of historical authenticity;

vi) important loss of cultural significance.

b) POTENTIAL DANGER - The property is faced with threats which could have deleterious effects on its inherent characteristics. Such threats are, for example:

i) modification of juridical status of the property diminishing the degree of its protection;

ii) lack of conservation policy;

iii) threatening effects of regional planning projects;

iv) threatening effects of town planning;

v) outbreak or threat of armed conflict;

vi) threatening impacts of climatic, geological or other environmental factors.

**180.** In the case of **natural properties**:

Decision 39 COM 11

a) ASCERTAINED DANGER The property is faced with specific and proven imminent danger, such as:

i) A serious decline in the population of the endangered species or the other species of Outstanding Universal Value for which the property was legally established to protect, either by natural factors such as disease or by human-made factors such as poaching.

ii) Severe deterioration of the natural beauty or scientific value of the property, as by human settlement, construction of reservoirs which flood important parts of the property, industrial and agricultural development including use of pesticides and fertilizers, major public works, mining, pollution, logging, firewood collection, etc.

iii) Human encroachment on boundaries or in upstream areas which threaten the integrity of the property.

b) POTENTIAL DANGER The property is faced with major threats which could have deleterious effects on its inherent characteristics. Such threats are, for example:

i) a modification of the legal protective status of the area;

ii) planned resettlement or development projects within the property or so situated that the impacts threaten the property;

iii) outbreak or threat of armed conflict;

iv) the management plan or management system is lacking or inadequate, or not fully implemented.

v) threatening impacts of climatic, geological or other environmental factors.

**181.** In addition, the threats and/or their detrimental impacts on the integrity of the property must be those which are amenable to correction by human action. In the case of cultural properties, both natural factors and human-made factors may be threatening, while in the case of natural properties, most threats will be human-made and only very rarely a natural factor (such as an epidemic disease) will threaten the integrity of the property. In some cases, the threats and/or their detrimental impacts on the integrity of the property may be corrected by administrative or legislative action, such as the cancelling of a major public works project or the improvement of legal status.

Decision 39 COM 11

**182.** The Committee may wish to bear in mind the following supplementary factors when considering the inclusion of a cultural or natural property in the List of World Heritage in Danger:

a) Decisions which affect World Heritage properties are taken by Governments after balancing all factors. The advice of the World Heritage Committee can often be decisive if it can be given before the property becomes threatened.

b) Particularly in the case of ascertained danger, the physical or cultural deteriorations to which a property has been subjected should be judged according to the intensity of its effects and analyzed case by case.

c) Above all, in the case of potential danger to a property, one should consider that:

i) the threat should be appraised according to the normal evolution of the social and economic framework in which the property is situated;

ii) it is often impossible to assess certain threats such as the threat of armed conflict as to their effect on cultural or natural properties;

iii) some threats are not imminent in nature, but can only be anticipated, such as demographic growth.

d) Finally, in its appraisal, the Committee should take into account any cause of unknown or unexpected origin which endangers a cultural or natural property.

Procedure for the inscription of properties on the List of World Heritage in Danger

**183.** When considering the inscription of a property on the List of World Heritage in Danger, the Committee shall develop, and adopt, as far as possible, in consultation with the State Party concerned, a "Desired state of conservation for the removal of the property from the List of World Heritage in Danger", and a programme for corrective measures.

**184.** In order to develop the programme of corrective measures referred to in the previous paragraph, the Committee shall request the Secretariat to ascertain, as far as possible in cooperation with the State Party concerned, the present condition of the property, the dangers to the property and the feasibility of undertaking corrective measures. The Committee may further decide to send a Reactive Monitoring mission from the relevant Advisory Bodies or other organizations to visit the property, evaluate the nature and extent of the threats and propose the measures to be taken. In some circumstances, the State Party may wish to invite an Advisory mission to provide advice and guidance.

Decision 39 COM 11

**185.** The information received, together with the comments as appropriate of the State Party and the relevant Advisory Bodies or other organizations, will be brought to the attention of the Committee by the Secretariat.

**186.** The Committee shall examine the information available and take a decision concerning the inscription of the property on the List of World Heritage in Danger. Any such decision shall be taken by a majority of two-thirds of the Committee members present and voting. The Committee will then define the programme of corrective action to be taken. This programme will be proposed to the State Party concerned for immediate implementation.

**187.** The State Party concerned shall be informed of the Committee's decision and public notice of the decision shall immediately be issued by the Committee, in accordance with Article 11.4 of the *Convention*.

**188.** The Secretariat publishes the updated List of World Heritage in Danger in printed form and is also available at: https://whc.unesco.org/en/danger

**189.** The Committee shall allocate a specific, significant portion of the World Heritage Fund to financing of possible assistance to World Heritage properties inscribed on the List of World Heritage in Danger.

Regular review of the state of conservation of properties on the List of World Heritage in Danger

**190.** The Committee shall review annually the state of conservation of properties on the List of World Heritage in Danger. This review shall include such monitoring procedures and expert missions as might be determined necessary by the Committee.

**191.** On the basis of these regular reviews, the Committee shall decide, in consultation with the State Party concerned, whether:

a) additional measures are required to conserve the property;

b) to delete the property from the List of World Heritage in Danger if the property is no longer under threat;

c) to consider the deletion of the property from both the List of World Heritage in Danger and the World Heritage List if the property has deteriorated to the extent that it has lost those characteristics which determined its inscription on the World Heritage List, in accordance with the procedure set out in paragraphs 192-198.

## IV.C Procedure for the eventual deletion of properties from the World Heritage List

**192.** The Committee adopted the following procedure for the deletion of properties from the World Heritage List in cases: Decision 39 COM 11

a) where the property has deteriorated to the extent that it has lost those characteristics which determined its inclusion in the World Heritage List; and

b) where the intrinsic qualities of a World Heritage property were already threatened at the time of its nomination by human action and where the necessary corrective measures as outlined by the State Party at the time, have not been taken within the time proposed (see paragraph 116).

**193.** When a property inscribed on the World Heritage List has seriously deteriorated, or when the necessary corrective measures have not been taken within the time proposed, the State Party on whose territory the property is situated should so inform the Secretariat.

**194.** When the Secretariat receives such information from a source other than the State Party concerned, it will, as far as possible, verify the source and the contents of the information in consultation with the State Party concerned and request its comments.

**195.** The Secretariat will request the relevant Advisory Bodies to forward comments on the information received.

**196.** The Committee will examine all the information available and will take a decision. Any such decision shall, in accordance with Article 13 (8) of the *Convention*, be taken by a majority of two-thirds of its members present and voting. The Committee shall not decide to delete any property unless the State Party has been consulted on the question.

**197.** The State Party shall be informed of the Committee's decision and public notice of this decision shall be immediately given by the Committee.

**198.** If the Committee's decision entails any modification to the World Heritage List, this modification will be reflected in the next updated List that is published.

## V PERIODIC REPORTING ON THE IMPLEMENTATION OF THE *WORLD HERITAGE CONVENTION*

### V.A Objectives

**199.** States Parties are requested to submit reports to the UNESCO General Conference through the World Heritage Committee, on the legislative and administrative provisions they have adopted and other actions which they have taken for the application of the *Convention*, including the state of conservation of the World Heritage properties located on their territories.

Article 29 of the *World Heritage Convention* and Resolutions of the 11th session of the General Assembly of States Parties (1997) and the 29th session of the UNESCO General Conference.

**200.** Periodic Reporting is a self-reporting process and should be led as far as possible by the States Parties in each region. The Secretariat coordinates and facilitates the Periodic Reporting Process at the global level. States Parties may request expert advice from the Advisory Bodies and the Secretariat, which may also (with agreement of the States Parties concerned) commission further expert advice.

Decision 41 COM 11

**201.** Periodic Reporting serves four main purposes:

a) to provide an assessment of the application of the *World Heritage Convention* by the State Party;

b) to provide an assessment as to whether the Outstanding Universal Value of the properties inscribed on the World Heritage List is being maintained over time;

c) to provide up-dated information about the World Heritage properties to record the changing circumstances and state of conservation of the properties; and

d) to provide a mechanism for regional cooperation and exchange of information and experiences between States Parties concerning the implementation of the *Convention* and World Heritage conservation.

**202.** Periodic Reporting is important for more effective longterm conservation of the properties inscribed, as well as to strengthen the credibility of the implementation of the *Convention*. It is also an important tool for assessing the implementation by States Parties and World Heritage properties of policies adopted by the World Heritage Committee and the General Assembly.

Decision 41 COM 11

### V.B Procedure and Format

**203.** States Parties submit periodic reports for examination by the World Heritage Committee. During a Periodic Reporting cycle, States Parties report by region(s) in the following order:

Decision 22 COM VI.7
Decision 41 COM 11
Decision 47 COM 10
Decision 48 COM 10

- Arab States and Africa;
- Asia and the Pacific and Latin America and the Caribbean; and
- Europe and North America

**204.** Each cycle concludes withs a period for reflection and evaluation. This period allows the Periodic Reporting process to be assessed and revised as appropriate before a new cycle is initiated. The World Heritage Committee may also decide to use the reflection to initiate the development and publication of a Global World Heritage Report.

Decision 48 COM 10

**205.** At appropriate intervals, and whenever deemed necessary, the World Heritage Committee adopts and revises Monitoring Indicators and an Analytical Framework for Periodic Reporting.

**205bis.** The Periodic Reporting process is used as an opportunity for regional exchange and cooperation and to enhance active coordination and synchronization between States Parties, particularly in the case of transboundary and transnational properties.

Decision 41 COM 11

**206.** The Periodic Reporting questionnaire is an online tool to be completed by the respective national focal points and managers of the World Heritage properties, as appropriate.

a) **Section I** refers to the legislative and administrative provisions which the State Party has adopted and other actions which it has taken for the application of the *Convention*, together with details of the experience acquired in this field. This particularly concerns the general obligations defined in specific articles of the *Convention*.

b) **Section II** refers to the state of conservation of specific World Heritage properties located on the territory of the State Party concerned. This Section should be completed for each World Heritage property.

The format of this questionnaire was reviewed further to the Third Cycle of Periodic Reporting and was adopted by the World Heritage Committee at its 48th session (Busan, 2026).

Decision 41 COM 10A

**206bis.** The Periodic Reporting format may be reviewed following each cycle of Periodic Reporting. An outline of the format is contained in Annex 7 to the *Operational Guidelines*.

Decision 41 COM 11

**207.** In order to facilitate management and analysis of information, States Parties are requested to submit reports, in English or French, using the online tool provided on the website of the World Heritage Centre. The online tool of the full questionnaire can be accessed here: https://whc.unesco.org/en/periodicreporting/

Decision 41 COM 11

### V.C Evaluation and Follow Up

**208.** The Secretariat and the Advisory Bodies facilitate the States Parties to consolidate national reports into Regional State of the World Heritage reports.

Decision 41 COM 11
Decision 48 COM 10

**209.** The World Heritage Committee carefully reviews issues raised in Periodic Reports and advises the States Parties of the regions concerned on matters arising from them.

**210.** States Parties, working in partnership with the Secretariat and the Advisory Bodies, develop regional action plans and submit them for examination. These action plans are adopted as follow-up to Periodic Reports and are regularly reviewed by the Committee based on the needs of States Parties identified in Periodic Reports. They should accurately reflect the needs of World Heritage in the region and facilitate the granting of International Assistance.

Decision 36 COM 13.I
Decision 41 COM 11
Decision 48 COM 10

## VI ENCOURAGING SUPPORT FOR THE *WORLD HERITAGE CONVENTION*

### VI.A Objectives

Article 27 of the *World Heritage Convention*

**211.** The objectives are:

Decision 43 COM 11A

a) to enhance capacity building and research;

b) to raise the general public's awareness, understanding and appreciation of the need to preserve cultural and natural heritage;

c) to enhance the function of World Heritage in the life of the community; and

Article 5(a) of the *World Heritage Convention*

d) to increase equitable, inclusive and effective participation of local and national populations, including indigenous peoples, in the protection and presentation of heritage.

### VI.B Capacity building and research

**212.** The Committee seeks to develop capacity building within the States Parties in conformity with its Strategic Objectives and the World Heritage Capacity Building Strategy adopted by the Committee.

Budapest Declaration on World Heritage (2002)

Decision 43 COM 11A

<u>The World Heritage Capacity Building Strategy</u>

**213.** Recognizing the high level of skills and multidisciplinary approach necessary for the protection, conservation, and presentation of the World Heritage, the Committee has adopted the World Heritage Capacity Building Strategy. The definition of capacity building identifies three broad areas where capacities reside and for which audiences for capacity building need targeting: practitioners, institutions, and communities and networks. The World Heritage Capacity Building Strategy provides a framework of action, and orients actors at the international, regional, or national levels to create regional and national capacity building strategies in addition to individual capacity building activities. The actions can be taken up by the many actors who currently provide or could provide capacity building activities for the benefit of World Heritage. The primary goal of the Capacity Building Strategy is to ensure that necessary skills are developed by a wide range of actors for better implementation of the *Convention*. In order to avoid overlap and effectively implement the Strategy, the Committee will ensure links to other initiatives such as the Global Strategy for a Representative, Balanced and Credible World Heritage List and Periodic Reporting. The Committee will annually review relevant capacity building issues, assess capacity

World Heritage Capacity Building Strategy adopted by the World Heritage Committee at its 35th session (UNESCO, 2011) (see Document WHC-11/35.COM/9B).

Decision 43 COM 11A

building needs, review annual reports on capacity building initiatives, and make recommendations for future capacity building initiatives.

National capacity building strategies and regional co-operation

**214.** States Parties are encouraged to ensure that there is a gender-balanced representation of their professionals and specialists at all levels and that they are adequately trained. To this end, States Parties are encouraged to develop national capacity building strategies and include regional cooperation for training as part of their strategies. Development of such regional and national strategies can be assisted by the Advisory Bodies and the various UNESCO Category 2 Centres related to World Heritage, taking into consideration the World Heritage Capacity Building Strategy. Decision 43 COM 11A

**214bis**. States Parties are encouraged to develop educational and capacity building programmes that harness the reciprocal benefits of the *Convention* for heritage and society. The programmes may be based on innovation and local entrepreneurship, and aimed in particular at medium/small/micro scale levels, to promote sustainable and inclusive economic benefits for local communities and indigenous peoples and to identify and promote opportunities for public and private investment in sustainable development projects, including those that promote use of local materials and resources and foster local cultural and creative industries and safeguarding intangible heritage associated with World Heritage properties. Decision 43 COM 11A

Research

**215.** The Committee develops and coordinates international cooperation in the area of research needed for the effective implementation of the *Convention*. States Parties are also encouraged to make resources available to undertake research, since knowledge and understanding are fundamental to the identification, management, and monitoring of World Heritage properties. States Parties are encouraged to support scientific studies and research methodologies, including traditional and indigenous knowledge held by local communities and indigenous peoples, with all necessary consent. Such studies and research are aimed at demonstrating the contribution that the conservation and management of World Heritage properties, their buffer zones and wider setting make to sustainable development, such as in conflict prevention and resolution, including, where relevant, by drawing on traditional ways of dispute resolution that may exist within communities. Decision 43 COM 11A

International Assistance

**216.** Training and Research Assistance may be requested by States Parties from the World Heritage Fund (see Chapter VII).

## VI.C Awareness-raising and education

Awareness-raising

**217.** States Parties are encouraged to raise awareness of the need to preserve World Heritage in their own countries. In particular, they should ensure that World Heritage status is adequately marked and promoted on-site.

**218.** The Secretariat provides assistance to States Parties in developing activities aimed at raising public awareness of the *Convention* and informing the public of the dangers threatening World Heritage. The Secretariat advises States Parties regarding the preparation and implementation of on-site promotional and educational projects to be funded through International Assistance. The Advisory Bodies and appropriate State agencies may also be solicited to provide advice on such projects.

Education

**219.** The World Heritage Committee encourages and supports the development of educational materials, activities and programmes.

International Assistance

**220.** States Parties are encouraged to develop quality educational activities related to World Heritage through a variety of learning environments tailored to each audience with, wherever possible, the participation of schools, universities, museums and other local and national educational authorities.

Article 27(1) of the *World Heritage Convention*

Decision 43 COM 11A

**221.** The Secretariat, in cooperation with the UNESCO Education Sector and other partners, produces and publishes a World Heritage Educational Resource Kit, "World Heritage in Young Hands", for use in secondary schools around the world. The Kit is adaptable for use at other educational levels.

"World Heritage in Young Hands" is available at https://whc.unesco.org/en/whed ucation/

**222.** International Assistance may be requested by States Parties from the World Heritage Fund for the purpose of developing and implementing awareness-raising and educational activities or programmes (see Chapter VII).

## VII THE WORLD HERITAGE FUND AND INTERNATIONAL ASSISTANCE

### VII.A The World Heritage Fund

**223.** The World Heritage Fund is a trust fund, established by the *Convention* in conformity with the provisions of the Financial Regulations of UNESCO. The resources of the Fund consist of compulsory and voluntary contributions made by States Parties to the *Convention*, and any other resources authorized by the Fund's regulations.

Article 15 of the *World Heritage Convention*

**224.** The financial regulations for the Fund are set out in document WHC/7 available at https://whc.unesco.org/en/financialregulations

### VII.B Mobilization of other technical and financial resources and partnerships in support of the *World Heritage Convention*

**225.** To the extent possible, the World Heritage Fund should be used to mobilize additional funds for International Assistance from other sources.

**226.** The Committee decided that contributions offered to the World Heritage Fund for international assistance campaigns and other UNESCO projects for any property inscribed on the World Heritage List shall be accepted and used as International Assistance pursuant to Section V of the *Convention*, and in conformity with the modalities established for carrying out the campaign or project.

**227.** States Parties are invited to provide support to the *Convention* in addition to obligatory contributions paid to the World Heritage Fund. This voluntary support can be provided through additional contributions to the World Heritage Fund or direct financial and technical contributions to properties.

Article 15(3) of the *World Heritage Convention*

**228.** States Parties are encouraged to participate in international fundraising campaigns launched by UNESCO and aimed at protecting World Heritage.

**229.** States Parties and others who anticipate making contributions towards these campaigns or other UNESCO projects for World Heritage properties are encouraged to make their contributions through the World Heritage Fund.

**230.** States Parties are encouraged to promote the establishment of national, public and private foundations or associations aimed at raising funds to support World Heritage conservation efforts.

Article 17 of the *World Heritage Convention*

**231.** The Secretariat provides support in mobilizing financial and technical resources for World Heritage conservation and actively engages in resource mobilization, including through developing partnerships with public and private institutions in conformity with the decisions and the strategies adopted by the World Heritage Committee and UNESCO regulations.

"Comprehensive Partnership Strategy" including "Separate strategies for engagement with individual categories of partners" 192 EX/5.INF Decision 43 COM 11A

**232.** The Secretariat should refer to UNESCO's "Comprehensive Partnership Strategy" to govern external fundraising in favour of the World Heritage Fund. This document is available at http://en.unesco.org/partnerships

Decision 39 COM 11

## VII.C International Assistance

**233.** The *Convention* provides International Assistance to States Parties for the protection of the world cultural and natural heritage located on their territories and inscribed, or potentially suitable for inscription on the World Heritage List. International Assistance should be seen as supplementary to national efforts for the conservation and management of World Heritage and Tentative List properties when adequate resources cannot be secured at the national level.

See Articles 13 (1)(2) and Articles 19-26 of the *World Heritage Convention*

**234.** International Assistance is primarily financed from the World Heritage Fund, established under the *World Heritage Convention*. The Committee determines the budget for International Assistance on a biennial basis.

Section IV of the *World Heritage Convention*

**235.** The World Heritage Committee coordinates and allocates types of International Assistance in response to State Party requests. These types of International Assistance, described in the summary table set out below, in order of priority are:

Decision 30 COM 14A
Decision 36 COM 13.I

a) Emergency assistance;

b) Conservation and Management assistance (incorporating assistance for training and research, technical cooperation and promotion and education);

c) Preparatory assistance.

## VII.D Principles and priorities for International Assistance

**236.** Priority is given to International Assistance for properties inscribed on the List of World Heritage in Danger. The Committee created a specific budget line to ensure that a significant portion of assistance from the World Heritage Fund is allocated to properties inscribed on the List of World Heritage in Danger.

Article 13(1) of the *World Heritage Convention*

**237.** States Parties in arrears of payment of their compulsory or voluntary contributions to the World Heritage Fund are not eligible for International Assistance, it being understood that this provision does not apply to requests for emergency assistance.

Decision 13 COM XII.34

**238.** To support its Strategic Objectives, the Committee also allocates International Assistance in conformity with the priorities set out in its decisions and in the Regional Programmes it adopts as a follow-up to Periodic Reports (see para. 210).

Decision 26 COM 17.2
Decision 26 COM 20
Decision 26 COM 25.3
Decision 36 COM 13.I

**239.** In addition to the priorities outlined in paragraphs 236-238 above, the following considerations govern the decisions of the Committee in granting International Assistance:

Decision 43 COM 11A

a) the likelihood that the assistance will have a catalytic and multiplier effect ("seed money") and promote financial and technical contributions from other sources;

b) when funds available are limited and a selection has to be made, preference is given to:

Decision 31 COM 18B

- a Least Developed Country or Low Income Economy as defined by the United Nations Economic and Social Council's Committee for Development Policy, or
- a Lower Middle Income Country as defined by the World Bank, or
- a Small Island Developing State (SIDS), or
- a State Party in a post-conflict situation;

c) the urgency of the protective measures to be taken at World Heritage properties;

d) whether the legislative, administrative and, wherever possible, financial commitment of the recipient State Party is available to the activity;

e) the impact of the activity on furthering the Strategic Objectives or on the implementation of policies adopted by the Committee, such as the Policy Document for the Integration of a Sustainable Development Perspective into the Processes of the World Heritage Convention or the Policy Document on the Impact of Climate Change on World Heritage properties;

Paragraph 26 of *Operational Guidelines*

f) the degree to which the activity responds to needs identified through the reactive monitoring process and/or the analysis of regional Periodic Reports;

Decision 20 COM XII

g) the exemplary value of the activity in respect to scientific research and the development of cost-effective conservation techniques;

h) the cost of the activity and expected results;

65% of the total International Assistance budget is set aside for cultural properties and 35% for natural properties

i) the educational value both for the training of experts and for the general public; and

Decision 31 COM 18B
Decision 36 COM 13.I
Decision 37 COM 12.II

j) the inclusive nature of the activity, in particular as concerns gender equality and the involvement of local communities and indigenous peoples.

**240.** A balance will be maintained in the allocation of resources between cultural and natural heritage and between Conservation and Management and Preparatory Assistance. This balance is reviewed and decided upon on a regular basis by the Committee and during the second year of each biennium by the Chairperson or the World Heritage Committee.

## VII.E Summary Table

Decision 36 COM 13.I
Decision 30 COM 13.13
Decision 43 COM 11A
Decision 46 COM 12

**241.**

| Type of international assistance | Purpose | Budget ceilings per request | Deadline for submission of request | Authority for approval |
|---|---|---|---|---|
| **Emergency Assistance** | This assistance may be requested to address ascertained or potential threats facing properties included on the List of World Heritage in Danger and the World Heritage List which have suffered severe damage or are in imminent danger of severe damage due to sudden, unexpected phenomena. Such phenomena may include land subsidence, extensive fires, explosions, flooding or human-made disasters including war. This assistance does not concern cases of damage or deterioration caused by gradual processes of decay, pollution or erosion. It addresses emergency situations strictly relating to the conservation of a World Heritage property (see Decision 28 COM 10B 2.c). It may be made available, if necessary, to more than one World Heritage property in a single State Party (see Decision 6 EXT. COM 15.2). The budget ceilings relate to a single World Heritage property.<br><br>The assistance may be requested to :<br><br>(i) undertake emergency measures for the safeguarding of the property;<br><br>(ii) draw up an emergency plan for the property. | Up to US$ 10.000<br><br>Between US$ 10.001 and 75.000 | At any time<br><br>At any time | Director of the World Heritage Centre<br><br>Chairperson of the Committee |

| Type of international assistance | Purpose | Budget ceilings per request | Deadline for submission of request | Authority for approval |
|---|---|---|---|---|
| **Preparatory assistance** | This assistance may be requested to (in order of priority):<br><br>(i) prepare or update national Tentative Lists of properties suitable for inscription on the World Heritage List; a commitment will be required from the State Party to nominate in priority on these lists sites recognized in approved thematic advice, such as the thematic studies prepared by the Advisory Bodies, as corresponding to gaps on the List;<br><br>(ii) organize meetings for the harmonization of national Tentative Lists within the same geo-cultural area;<br><br>(iii) prepare nominations of properties for inscription on the World Heritage List, including preparatory work such as collection of basic information, scoping studies of the potential for demonstration of Outstanding Universal Value, including integrity or authenticity, comparative studies of the property in relation to other similar properties (see 3.2 of Annex 5), including analysis in the context of the Gap Studies produced by the Advisory Bodies. Priority will be given to requests for sites recognized in approved thematic advice as corresponding to gaps on the List and/or for sites where preliminary investigations have shown that further inquiries would be justified, especially in the case of States Parties whose heritage is unrepresented or under-represented on the World Heritage List;<br><br>(iv) prepare requests for Conservation & Management assistance for consideration by the World Heritage Committee. | Up to US$ 10.000<br><br>Between US$ 10.001 and 40.000 | At any time<br><br>31 October | Director of the World Heritage Centre<br><br>Chairperson of the Committee |
| **Conservation and Management Assistance**<br><br>(incorporating Training and Research assistance, Technical co-operation assistance and Promotion and education assistance) | This assistance may be requested for:<br><br>(i) the training of staff and specialists at all levels in the fields of identification, monitoring, conservation, management and presentation of World Heritage, with an emphasis on group training;<br><br>(ii) scientific research benefiting World Heritage properties or studies on the scientific and technical problems of conservation, management, and presentation of World Heritage properties;<br><br>(iii) establishment/revision of national policies or legal frameworks on heritage preservation benefitting World Heritage properties;<br><br>Note: Requests for support for individual training courses from UNESCO should be submitted on the standard "Application for fellowship" form available from the Secretariat.<br><br>(iv) provision of experts, technicians and skilled labour for the conservation, management, and presentation of properties inscribed on the List of World Heritage in Danger and the World Heritage List;<br><br>(v) supply of equipment which the State Party requires for the conservation, management, and presentation of properties inscribed on the List of World Heritage in Danger and the World Heritage List;<br><br>(vi) low-interest or interest-free loans for undertaking activities for the conservation, management, and presentation of properties inscribed on the List of | Only for requests falling under items (i) to (vi):<br><br>Up to US$ 10.000<br><br>Between US$ 10.001 and 40.000<br><br>Over US$ 40.000 | Only for requests falling under items (i) to (vi):<br><br>At any time<br><br>31 October<br><br>31 October | Only for requests falling under items (i) to (vi)<br><br>Director of the World Heritage Centre<br><br>Chairperson of the Committee<br><br>Committee |

| Type of international assistance | Purpose | Budget ceilings per request | Deadline for submission of request | Authority for approval |
|---|---|---|---|---|
| | World Heritage in Danger and the World Heritage List, which may be repayable on a long-term basis;<br><br>(vii) At the regional and international levels for programmes, activities and the holding of meetings that could:<br><br>- help to create interest in the *Convention* within the countries of a given region;<br><br>- create a greater awareness of the different issues related to the implementation of the *Convention* to promote more active involvement in its application;<br><br>- be a means of exchanging experiences;<br><br>- stimulate joint education, information and promotional programmes and activities, especially when they involve the participation of young people in World Heritage conservation activities;<br><br>(viii) At the national level for:<br><br>- meetings specifically organized to make the *Convention* better known, especially amongst young people, or for the creation of national World Heritage associations, in accordance with Article 17 of the *Convention*;<br><br>- the preparation and discussion of education and information material (such as brochures, publications, exhibitions, films, multimedia tools) for the general promotion of the *Convention* and the World Heritage List and not for the promotion of a particular property, and especially for young people. | Only for requests falling under items (vii) and (viii):<br><br>Up to US$ 10,000 | Only for requests falling under items (vii) and (viii):<br><br>At any time | Only for requests falling under items (vii) and (viii):<br><br>Director of the World Heritage Centre |

## VII.F Procedure and format

**242.** All States Parties submitting requests for international assistance are encouraged to consult the Secretariat and the Advisory Bodies during the conceptualization, planning and elaboration of each request. To facilitate States Parties' work, examples of successful international assistance requests may be provided upon request.

**243.** The application form for International Assistance is presented in Annex 8 and the types, amounts, deadlines for submission and the authorities responsible for approval are outlined in the summary table in Chapter VII.E.

**244.** The request should be submitted in English or French, duly signed and transmitted by the National Commission for UNESCO, the State Party Permanent Delegation to UNESCO and/or appropriate governmental Department or Ministry to the following address:

**UNESCO World Heritage Centre**
7, place de Fontenoy
75352 Paris 07 SP
France
Tel: +33 (0) 1 4568 1104
E-mail: wh-intassistance@unesco.org

**245.** Requests for international assistance may be submitted by electronic mail by the State Party or by filling the online format on the World Heritage Centre's website at: https://whc.unesco.org; they must be accompanied by an officially signed copy. Decision 43 COM 11A

**246.** It is important that all information requested in this application form is provided. If appropriate or necessary, requests may be supplemented by additional information, reports, etc.

### VII.G Assessment of International Assistance requests

**247.** Provided they are complete, all requests are assessed by the Secretariat irrespective of the amount requested. In addition, requests with a budget above US$ 30,000 are assessed as follows: Decision 43 COM 11A

a) By ICOMOS for requests for cultural heritage (all types of assistance) and ICCROM (all types of assistance except Preparatory assistance);

b) By IUCN for requests for natural heritage;

c) By ICOMOS and IUCN for requests for mixed heritage (all types of assistance) and ICCROM (all types of assistance except Preparatory assistance).

The Secretariat processes requests for Emergency assistance within up to 10 working days.

Whenever necessary, the Secretariat may consult the Advisory Bodies, for the assessment of requests with a budget under US$ 30,000.

ICOMOS, IUCN and ICCROM will be consulted on all requests which specifically demand the involvement of one or more Advisory Bodies in the respective project.

**248.** [Deleted] Decision 43 COM 11A

**249.** [Deleted] Decision 43 COM 11A

**250.** [Deleted] Decision 43 COM 11A

**251.** The criteria used for the assessment of international assistance requests are outlined in Annex 9. Decision 31 COM 18B
Decision 43 COM 11A

**252.** A panel composed of representatives of the World Heritage Centre Regional Desks and the Advisory Bodies, and if possible, the Chairperson of the World Heritage Committee or, in observer capacity, a person designated by the Chairperson, meets once or twice a year to examine the International Assistance requests of more than US$ 10,000, except those for Emergency Assistance, and to make recommendations to the Chairperson and/or the Committee. Decision 31 COM 18B
Decision 36 COM 13.I
Decision 43 COM 11A
Decision 46 COM 12

**253.** The Chairperson is not authorized to approve requests submitted by his/her own country. These will be examined by the Committee.

**254.** All requests for Preparatory Assistance or Conservation and Management Assistance of more than US$ 10,000 should be received by the Secretariat on or before **31 October**. Incomplete forms which do not come back duly completed by 30 November will be sent back to the States Parties for submission to a next cycle. Complete requests are examined by a first panel held in January. Requests for which the panel issues a positive or a negative recommendation will be submitted to the Chairperson/Committee for decision. A second panel may be held at least eight weeks before the Committee session for requests which were revised since the first panel. Requests sent back for a substantial revision will be examined by the panel depending on their date of receipt. Requests requiring only minor revision and no further examination by the panel must come back within the year when they were examined first; otherwise they will be sent again to a next panel. The chart detailing the submission process is attached in Annex 8.

Decision 36 COM 13.I
Decision 43 COM 11A
Decision 46 COM 12

### VII.H Contractual Arrangements

**255.** Agreements are established between UNESCO and the concerned State Party or its representative(s) for the implementation of the approved International Assistance requests in conformity with UNESCO regulations, following the work plan and budget breakdown described in the originally approved request.

### VII.I Evaluation and follow-up of International Assistance

**256.** The monitoring and evaluation of the implementation of the International Assistance requests will take place within 3 months of the activities' completion. The results of these evaluations will be collated and maintained by the Secretariat in collaboration with the Advisory Bodies and examined by the Committee on a regular basis.

**257.** The Committee reviews the implementation, evaluation and follow-up of International Assistance in order to evaluate the International Assistance effectiveness and to redefine its priorities.

## VIII THE WORLD HERITAGE EMBLEM

### VIII.A Preamble

**258.** At its second session (Washington, 1978), the Committee adopted the World Heritage Emblem which had been designed by Mr. Michel Olyff. This Emblem symbolizes the interdependence of cultural and natural properties: the central square is a form created by humans and the circle represents nature, the two being intimately linked. The Emblem is round, like the world, but at the same time it is a symbol of protection. It symbolizes the *Convention*, signifies the adherence of States Parties to the *Convention*, and serves to identify properties inscribed in the World Heritage List. It is associated with public knowledge about the *Convention* and is the imprimatur of the *Convention*'s credibility and prestige. Above all, it is a representation of the universal values for which the *Convention* stands.

Decision 43 COM 11A

**259.** The Committee decided that the Emblem proposed by the artist could be used, in any colour or size, depending on the use, the technical possibilities and considerations of an artistic nature. However, when the emblem is used in a linked logo block with the UNESCO logo, the graphical standards of the UNESCO Secretariat must be strictly followed. The Emblem should always carry the text "WORLD HERITAGE. PATRIMOINE MONDIAL". The space occupied by "PATRIMONIO MUNDIAL" can be used for its translation into the national language of the country where the Emblem is to be used. Decision 45 COM 12




**260.** In order to ensure the Emblem benefits from as much visibility as possible while preventing improper uses, the Committee at its twenty-second session (Kyoto, 1998) adopted "Guidelines and Principles for the Use of the World Heritage Emblem" as set out in the following paragraphs. In addition, a "Table of Uses" (Annex 14) provides complementary guidance. Decision 39 COM 11

**261.** Although there is no mention of the Emblem in the *Convention*, its use has been promoted by the Committee to identify properties protected by the *Convention* and inscribed on the World Heritage List since its adoption in 1978.

**262.** The World Heritage Committee is responsible for determining the use of the World Heritage Emblem and for making policy prescriptions regarding how it may be used. Since the adoption by the UNESCO General Conference in October 2007 of the *Directives concerning the Use of the Name, Acronym, Logo and Internet Domain Names of UNESCO*[13], it is strongly encouraged to use the World Heritage Emblem as part of a linked logo block accompanied by UNESCO's logo, whenever feasible. When the emblem is used in a linked logo block with the UNESCO logo, the graphical standards of the UNESCO Secretariat must be strictly followed. The use of the World Heritage Emblem alone remains however possible, in line with the present Guidelines and with the Table of Uses (Annex 14).

Decision 39 COM 11
Decision 45 COM 12

**263.** As requested by the Committee at its 26th session (Budapest, 2002), the World Heritage Emblem, with and without its surrounding text, has been notified and accepted on 21 May 2003 by the Paris Union Member states under Article 6ter of the Paris *Convention* for the Protection of Industrial Property, adopted in 1883 and revised at Stockholm in 1967. Therefore, UNESCO has recourse to Paris Convention Member States' domestic systems to prevent the use of the World Heritage Emblem where such use falsely suggests a connection with UNESCO, the *World Heritage Convention*, or any other abusive use.

Decision 26 COM 15
Decision 39 COM 11

**264.** The Emblem also has fund-raising potential that can be used to enhance the marketing value of products with which it is associated. A balance is needed between the Emblem's use to further the aims of the *Convention* and optimize knowledge of the *Convention* worldwide and the need to prevent its abuse for inaccurate, inappropriate, and unauthorized commercial or other purposes.

**265.** The Guidelines and Principles for the Use of the Emblem and modalities for quality control should not become an obstacle to cooperation for promotional activities. Authorities responsible for reviewing and deciding on uses of the Emblem may base their decisions on the parameters developed below and those contained in the Table of Uses (Annex 14).

Decision 39 COM 11

### VIII.B Applicability

**266.** The Guidelines and Principles proposed herein cover all proposed uses of the Emblem by:

Decision 39 COM 11
Decision 45 COM 12

a) The UNESCO Secretariat;

b) Agencies or National Commissions, responsible for implementing the *Convention* in each State Party;

c) World Heritage properties;

d) Other contracting parties, especially those operating for predominantly commercial purposes.

[13] The most recent version of the *Directives concerning the Use of the Name, Acronym, Logo and Internet Domain Names of UNESCO* is found in the annex to Resolution 86 of the 34th session of the General Conference (34 C/Resolution 86) or at http://unesdoc.unesco.org/images/0015/001560/156046e.pdf

### VIII.C Responsibilities of States Parties

**267.** States Parties to the *Convention* should take all possible measures to prevent the use of the Emblem in their respective countries by any group or for any purpose not explicitly recognized by the Committee. States Parties are encouraged to make full use of national legislation including Trade Mark Laws.

### VIII.D Increasing proper uses of the World Heritage Emblem

**268.** Properties inscribed on the World Heritage List should be marked with the emblem jointly with the UNESCO logo, which should, however, be placed in such a way that they do not visually impair the property in question.

Production of plaques to commemorate the inscription of properties on the World Heritage List

**269.** Once a property is inscribed on the World Heritage List, the State Party should place a plaque, whenever possible, to commemorate this inscription. These plaques are designed to inform the public of the country concerned and foreign visitors that the property visited has a particular value which has been recognized by the international community. In other words, the property is exceptional, of interest not only to one nation, but also to the whole world. However, these plaques have an additional function which is to inform the general public about the *World Heritage Convention,* or at least about the World Heritage concept and the World Heritage List.

**270.** The Committee has adopted the following Guidelines for the production of these plaques:

a) the plaque should be so placed that it can easily be seen by visitors, without disfiguring the property;

b) the UNESCO - World Heritage linked logo should appear on the plaque; Decision 45 COM 12

c) the text should mention the property's Outstanding Universal Value; in this regard it might be useful to give a short description of the property's outstanding characteristics. States Parties may, if they wish, use the descriptions appearing in the various World Heritage publications or in the World Heritage exhibit, and which may be obtained from the Secretariat;

d) the text should make reference to the *World Heritage Convention* and particularly to the World Heritage List and to the international recognition conferred by inscription on this List (however, it is not necessary to mention at which session of the Committee the property was inscribed); it may be appropriate to produce the text in several languages for properties which receive many foreign visitors.

**271.** The Committee proposes the following text as an example:

"(Name of property) has been inscribed upon the World Heritage List of the *Convention concerning the Protection of the World Cultural and Natural Heritage*. Inscription on this List confirms the Outstanding Universal Value of a cultural or natural property which deserves protection for the benefit of all humanity."

**272.** This text could be then followed by a brief description of the property concerned.

**273.** Furthermore, the national authorities should encourage World Heritage properties to make a broad use of the Emblem such as on their letterheads, brochures and staff uniforms.

**274.** Third parties which have received the right to produce communication products related to the *World Heritage Convention* and World Heritage properties must give the Emblem proper visibility. They should avoid creating a different Emblem or logo for that particular product.

### VIII.E Principles on the use of the World Heritage Emblem

**275.** The responsible authorities are henceforth requested to use the following principles in making decisions on the use of the Emblem: Decision 39 COM 11

a) The Emblem should be utilized for all projects substantially associated with the work of the *Convention*, including, to the maximum extent technically and legally possible, those already approved and adopted, in order to promote the *Convention*.

b) A decision to approve use of the Emblem should be linked strongly to the quality and content of the product with which it is to be associated, not on the volume of products to be marketed or the financial return expected. The main criterion for approval should be the educational, scientific, cultural, or artistic value of the proposed product related to World Heritage principles and values. Approval should not routinely be granted to place the Emblem on products that have no, or extremely little, educational value, such as cups, T-shirts, pins, and other tourist souvenirs. Exceptions to this policy will be considered for special events, such as meetings of the Committee and ceremonies at which plaques are unveiled.

c) Any decision with respect to authorizing the use of the Emblem must be completely unambiguous and in keeping with the explicit and implicit goals and values of the *World Heritage Convention*.

d) Except when authorized in accordance with these principles, it is not legitimate for commercial entities to use the Emblem directly on their own material to show their support for World Heritage. The Committee recognizes, however, that any individual, organization, or company is free to publish or produce whatever they consider to be appropriate regarding World Heritage properties, but official authorization to do so under the World Heritage Emblem remains the exclusive prerogative of the Committee, to be exercised as prescribed in these Guidelines and Principles and in the Table of Uses.

e) Use of the Emblem by other contracting parties should normally only be authorized when the proposed use deals directly with World Heritage properties. Such uses may be granted after approval by the national authorities of the countries concerned.

f) In cases where no specific World Heritage properties are involved or are not the principal focus of the proposed use, such as general seminars and/or workshops on scientific issues or conservation techniques, use may be granted only upon express approval in accordance with these Guidelines and Principles and with the Table of Uses. Requests for such uses should specifically document the manner in which the proposed use is expected to enhance the work of the *Convention*.

g) Permission to use the Emblem should not be granted to travel agencies, airlines, or to any other type of business operating for predominantly commercial purposes, except under exceptional circumstances and when manifest benefit to the World Heritage generally or particular World Heritage properties can be demonstrated. Requests for such use should require approval in accordance with these Guidelines and Principles and with the Table of Uses. Such requests should be approved by the national authorities concerned, and be defined within the framework of specific partnership agreements with UNESCO/World Heritage Centre.

"Comprehensive Partnership Strategy" including "Separate strategies for engagement with individual categories of partners" 192 EX/5.INF and PACT Strategy (Document WHC-13/37.COM/5D)

Decision 37 COM 5D

The Secretariat is not to accept any advertising, travel, or other promotional considerations from travel agencies or other, similar companies in exchange or in lieu of financial remuneration for use of the Emblem.

h) When commercial benefits are anticipated, the Secretariat should ensure that the World Heritage Fund receives a fair share of the revenues and conclude a contract or other agreement that documents the nature of the understandings that govern the project and the arrangements for provision of income to the Fund. In all cases of commercial use, any staff time and related costs for personnel assigned by the Secretariat or other reviewers, as appropriate, to any initiative, beyond the nominal, must be fully covered by the party requesting authorization to use the Emblem.

National authorities are also called upon to ensure that their properties or the World Heritage Fund receive a fair share of the revenues and to document the nature of the understandings that govern the project and the distribution of any proceeds.

i) If sponsors are sought for manufacturing products whose distribution the Secretariat considers necessary, the choice of partner or partners should be consistent, at a minimum, with the criteria set forth in the "Comprehensive Partnership Strategy" including "Separate strategies for engagement with individual categories or partners" 192 EX/5.INF and PACT Strategy (Document WHC-13/37.COM/5D) and with such further fund-raising guidance as the Committee may prescribe. The necessity for such products should be clarified and justified in written presentations that will require approval in such manner as the Committee may prescribe.

j) The sale of goods or services bearing the name, acronym, logo and/or Internet domain name of UNESCO combined with the World Heritage Emblem chiefly for profit shall be regarded as "commercial use" for the purpose of the *Operational Guidelines*. Such use must be expressly authorized by the Director-General, under a specific contractual arrangement (definition adapted from 2007 UNESCO Logo Directives. Art III.2.1.3).

## VIII.F Authorization procedure for the use of the World Heritage Emblem

Simple agreement of the national authorities

**276.** National authorities may grant the use of the Emblem to a national entity, provided that the project, whether national or international, involves only World Heritage properties located on the same national territory. National authorities' decision should be guided by the Guidelines and Principles and by the Table of Uses.

Decision 39 COM 11

**277.** States Parties are invited to provide the Secretariat with the names and addresses of the authorities in charge of managing the use of the Emblem.

Circular letter dated 14 April 1999. https://whc.unesco.org/circs/circ99-4e.pdf

Agreement requiring quality control of content

**278.** Any other request for authorization to use the Emblem should adopt the following procedure:

Decision 39 COM 11

a) A request indicating the objective of the use of the Emblem, its duration and territorial validity, should be addressed to the Director of the World Heritage Centre.

b) The Director of the World Heritage Centre has the authority to grant the use of the Emblem in accordance with the Guidelines and Principles. For cases not covered, or not sufficiently covered, by the Guidelines and Principles and by the Table of Uses, the Director refers the matter to the Chairperson who, in the most difficult cases, might wish to refer the matter to the Committee for final decision. A yearly report on the authorized uses of the Emblem will be submitted to the World Heritage Committee.

c) Authorization to use the Emblem in major products to be widely distributed over an undetermined period of time is conditional upon obtaining the manufacturer's commitment to consult with countries concerned and secure their endorsement of texts and images illustrating properties situated in their territory, at no cost to the Secretariat, together with the proof that this has been done. The text to be approved should be provided in either one of the official languages of the Committee or in the language of the country concerned. A draft model to be used by States Parties to authorize the use of the Emblem to third parties appears below.

Content Approval Form:

[**Name of responsible national body**], officially identified as the body responsible for approving the content of the texts and photos relating to the World Heritage properties located in the territory of [**name of country**], hereby confirms to [**name of producer**] that the text and the images that it has submitted for the [**name of property(ies)**] World Heritage property(ies) are [**approved**] [**approved subject to the following changes requested**] [**are not approved**]

(delete whatever entry does not apply, and provide, as needed, a corrected copy of the text or a signed list of corrections).

Notes:

It is recommended that the initials of the responsible national official be affixed to each page of text.

The National Authorities are given one month from their acknowledged receipt in which to authorize the content, following which the producers may consider that the content has been tacitly approved, unless the responsible National Authorities request in writing a longer period.

Texts should be supplied to the National Authorities in one of the two official languages of the Committee, or in the official language (or in one of the official languages) of the country in which the properties are located, at the convenience of both parties.

d) After having examined the request and considered it as acceptable, the Secretariat may establish an agreement with the partner.

e) If the Director of the World Heritage Centre judges that a proposed use of the Emblem is not acceptable, the Secretariat informs the requesting party of the decision in writing.

### VIII.G Right of States Parties to exert quality control

**279.** Authorization to use the Emblem is inextricably linked to the requirement that the national authorities may exert quality control over the products with which it is associated.

a) The States Parties to the *Convention* are the only parties authorized to approve the content (images and text) of any distributed product appearing under the World Heritage Emblem with regard to the properties located in their territories.

b) States Parties that protect the Emblem legally must review these uses.

c) Other States Parties may elect to review proposed uses or refer such proposals to the Secretariat. States Parties are responsible for identifying an appropriate national authority and for informing the Secretariat whether they wish to review proposed uses or to identify uses that are inappropriate. The Secretariat maintains a list of responsible national authorities.

## IX INFORMATION SOURCES

### IX.A Information archived by the Secretariat

**280.** The Secretariat maintains a database of all documents of the World Heritage Committee and the General Assembly of States Parties to the *World Heritage Convention*. This database is available at: https://whc.unesco.org/en/documents.

**281.** The Secretariat ensures that copies of Tentative Lists submissions, Preliminary Assessment requests, World Heritage nominations, including copies of maps and relevant information received from States Parties are archived in hard copy and in electronic format where possible. The Secretariat also arranges for the archiving of relevant information relating to inscribed properties, including evaluations and other documents developed by the Advisory Bodies, any correspondence and reports received from States Parties (including Reactive Monitoring and Periodic Reports) and correspondence and material from the Secretariat and World Heritage Committee.

**282.** Archived material will be kept in a form appropriate to long-term storage. Provisions will be made for the storage of paper copies and electronic copies, as relevant. Provision will be made for copies to be provided to States Parties as requested.

**283.** Nomination dossiers of those properties inscribed on the World Heritage List by the Committee will be made available for consultation. States Parties are urged to upload a copy of the nomination on their own websites and inform the Secretariat of this action. States Parties preparing nominations may wish to use such information as guides for identifying and elaborating nomination of properties within their own territories.

**284.** Advisory Body evaluations and Committee decisions concerning each inscribed property are available on the World Heritage Centre's website on the page dedicated to each property of the World Heritage List. For the sites not inscribed on the List, the Advisory Body evaluation is available on the World Heritage Centre's website on the page dedicated to the Committee session when the nomination was examined. Decision 43 COM 11A

### IX.B Specific Information for World Heritage Committee members and other States Parties

**285.** The Secretariat maintains two electronic mailing lists: one for Committee members (wh-committee@unesco.org) and one for all States Parties (wh-states@unesco.org). States Parties are requested to supply all appropriate email addresses for the establishment of these lists. These electronic mailing lists, which supplement but do not replace the traditional means of notifying States Parties, allow the Secretariat to communicate, in a timely manner, announcements about the availability of documents, changes to meeting schedules, and other issues relevant to Committee members and other States Parties.

**286.** Specific information targeted at Committee members, other States Parties and Advisory Bodies is available on the World Heritage Centre's website (https://whc.unesco.org) with restricted access. Decision 43 COM 11A

**287.** The Secretariat also maintains a database of decisions of the Committee and resolutions of the General Assembly of States Parties. These are available at: https://whc.unesco.org/en/decisions Decision 28 COM 9

## IX.C Information and publications available to the public

**288.** The Secretariat provides access to information labelled as publicly available and copyright free on World Heritage properties and other relevant matters, wherever possible.

**289.** Information on issues related to World Heritage is available on the Secretariat's website (https://whc.unesco.org), on the websites of the Advisory Bodies and in libraries. A list of online databases and links to relevant webpages can be found in the Bibliography.

**290.** The Secretariat produces a wide variety of World Heritage publications, including the World Heritage List, the List of World Heritage in Danger, Brief Descriptions of World Heritage properties, World Heritage Paper series, newsletters, brochures and information kits. In addition, other information materials aimed specifically at experts and the general public are also developed. The list of World Heritage publications can be found in the Bibliography or at: https://whc.unesco.org/en/publications

These information materials are distributed to the public directly or through the national and international networks established by States Parties or by World Heritage partners.

# ANNEXES

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World Heritage Convention

**MODEL INSTRUMENT**
**OF RATIFICATION / ACCEPTANCE**

**WHEREAS** the Convention concerning the Protection of the World Cultural and Natural Heritage was adopted on 16 November 1972 by the General Conference of UNESCO at its seventeenth session;

**NOW THEREFORE** the Government of _____ having considered the aforesaid Convention, hereby [ratifies the same and undertake faithfully to carry out
[accepts
the stipulations therein contained.

**IN WITNESS WHEREOF**, I have signed and sealed this instrument.

Done at _____ this _____day of _____ 20_____.

*(Seal)* *Signature of Head of State,*

*Prime Minister or*

*Minister of Foreign Affairs*

- The model instrument of ratification / acceptance is available from the UNESCO World Heritage Centre and at: https://whc.unesco.org/en/convention/
- The original signed version of the completed form should be sent, preferably with an official translation in English or French, to: Director-General, UNESCO, 7 place de Fontenoy, 75352 Paris 07 SP, France

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World Heritage Convention

**MODEL INSTRUMENT OF ACCESSION**

**WHEREAS** the Convention concerning the Protection of the World Cultural and Natural Heritage was adopted on 16 November 1972 by the General Conference of UNESCO at its seventeenth session;

**NOW THEREFORE** the Government of _____ having considered the aforesaid *Convention*, hereby accedes the same and undertake faithfully to carry out the stipulations therein contained.

**IN WITNESS WHEREOF**, I have signed and sealed this instrument.

Done at _____ this _____day of _____ 20_____.

*(Seal)* *Signature of Head of State,*

*Prime Minister or*

*Minister of Foreign Affairs*

- The model instrument of accession is available from the UNESCO World Heritage Centre and at: https://whc.unesco.org/en/convention/
- The original signed version of the completed form should be sent, preferably with an official translation in English or French, to: Director-General, UNESCO, 7 place de Fontenoy, 75352 Paris 07 SP, France

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World Heritage Convention

**TENTATIVE LIST**
**SUBMISSION FORMAT**

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**STATE PARTY:** **DATE OF SUBMISSION:**

**Submission prepared by:**

Name: E-mail:

Address: Fax:

Institution: Telephone:

**Name of Property:**

**State, Province or Region:**

**Latitude and Longitude, or UTM coordinates:**

**DESCRIPTION:**

**Justification of Outstanding Universal Value:**
(Preliminary identification of the values of the property which merit inscription on the World Heritage List)

**Criteria met** [see Paragraph 77 of the *Operational Guidelines*]:
(Please tick the box corresponding to the proposed criteria and justify the use of each below)

| (i) | (ii) | (iii) | (iv) | (v) | (vi) | (vii) | (viii) | (ix) | (x) |
|---|---|---|---|---|---|---|---|---|---|

**Statements of authenticity and/or integrity** [see Paragraphs 78-95 of the *Operational Guidelines*]:

**Comparison with other similar properties:**
(The comparison should outline similarities with other properties on the World Heritage List or not, and the reasons that make the property stand out)

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- The Tentative List submission format is available from the UNESCO World Heritage Centre and at: https://whc.unesco.org/en/tentativelists
- Further guidance on the preparation of Tentative Lists can be found in Paragraphs 62-67 of the *Operational Guidelines*.
- An example of a completed Tentative List submission format can be found at: https://whc.unesco.org/en/tentativelists
- All Tentative Lists submitted by States Parties are available at: https://whc.unesco.org/en/tentativelists
- The original signed version of the completed Tentative List submission format should be sent in English or French to: UNESCO World Heritage Centre, 7 place de Fontenoy, 75352 Paris 07 SP, France
- States Parties are encouraged to also submit this information in electronic format (USB Flash Drive or CD-Rom) or by e-mail to wh-tentativelists@unesco.org

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World Heritage Convention

# TENTATIVE LIST SUBMISSION FORMAT FOR FUTURE TRANSNATIONAL AND TRANSBOUNDARY NOMINATIONS

**STATE PARTY:** **DATE OF SUBMISSION:**

**Submission[14] prepared by:**

Name: E-mail:

Title:

Address: Fax:

Institution: Telephone:

**1.a Name of the transnational/transboundary future nomination[15] :**

**1.b Other States Parties participating:**

**1.c Name(s) of the national component part(s):**

**1.d State, Province or Region:**

**1.e Latitude and Longitude, or Universal Transverse Mercator (UTM) coordinates:**

**2.a Brief Description of the transnational/transboundary future nomination[16] :**

**2.b Description of the component part(s):**

**3. JUSTIFICATION FOR OUTSTANDING UNIVERSAL VALUE [17] OF THE FUTURE NOMINATION AS A WHOLE:**
(Preliminary identification of the values of the future nomination as a whole which merit inscription on the World Heritage List)

**3.a Criteria met**[18] [see Paragraph 77 of the *Operational Guidelines*]:
(Please tick the box corresponding to the proposed criteria and justify the use of each below)

| (i) | (ii) | (iii) | (iv) | (v) | (vi) | (vii) | (viii) | (ix) | (x) |
|---|---|---|---|---|---|---|---|---|---|

[14] This submission will be valid only when all the States Parties indicated in Section 1.b have sent their submissions.

[15] The text provided in this section should be identical in all submissions of the States Parties involved in the presentation of the same transnational / transboundary future nomination.

[16] In case of transnational/transboundary properties any modification will need the agreement of all States Parties concerned.

[17] In case of transnational/transboundary properties any modification will need the agreement of all States Parties concerned.

[18] In case of transnational/transboundary properties any modification will need the agreement of all States Parties concerned.

**3.b Statements of authenticity and/or integrity** [see Paragraphs 79-95 of the *Operational Guidelines*]:

**3.c.1 Justification of the selection of the component part(s) in relation to the future nomination as a whole:**

**3.c.2 Comparison with other similar properties[19] :**
(This comparison should outline the similarities with other properties inscribed or not on the World Heritage List, and the reasons for the exceptional character of the future nomination).

[19] In case of transnational/transboundary properties any modification will need the agreement of all States Parties concerned.

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World Heritage Convention

# REQUEST FORMAT FOR A PRELIMINARY ASSESSMENT OF A POTENTIAL NOMINATION TO THE WORLD HERITAGE LIST

(in compliance with Paragraph 122 of the *Operational Guidelines*)

- The Request Format for a Preliminary Assessment of a Potential Nomination to the World Heritage List is available at the following Web address:
  https://whc.unesco.org/en/nominations
- Further guidance on the preparation of the Preliminary Assessment Request Format can be found in Section III of the *Operational Guidelines*
- The original signed version of the completed Preliminary Assessment Request Format should be sent in English or French to:
  **UNESCO World Heritage Centre**
  7, place de Fontenoy
  75352 Paris 07 SP
  France
  Telephone: +33 (0) 1 4568 11 04
- States Parties must also submit this information in electronic format (USB Key or by e-mail to wh-nominations@unesco.org)

Note: In preparing the request for Preliminary Assessment, States Parties should use this format but delete the explanatory notes.

| PRELIMINARY ASSESSMENT REQUEST FORMAT | EXPLANATORY NOTES |
|---|---|
| **1. IDENTIFICATION OF THE POTENTIAL NOMINATED PROPERTY** | |
| **1.a Country (and State Party if different)** | |
| **1.b State, Province or Region** | |
| **1.c Name of potential nominated property** | Do not to exceed 200 characters, including spaces and punctuation. |
| **1.d Latitude and Longitude coordinates** | In this space provide the latitude and longitude coordinates of a point at the approximate centre of the potential nominated property.<br><br>In the case of potential serial nominated property, provide a table showing the name of each component part, its region and the coordinates of its centre point. |
| **1.e Map showing the features/attributes of the potential nominated property** | Provide as a minimum a simple map with a scale and legend to show where the potential nominated property is situated and another map showing where its potential main heritage features and attributes are located.<br><br>Where more detailed maps (including GIS shapefiles) are available these are welcome and should be included. If proposals for boundaries and buffer zones exist, also provide maps of these. |
| **1.f Name and date of submission of the potential nominated property on the Tentative List of the relevant State(s) Party(ies) as registered by the Secretariat** | Indicate precisely the title of the Tentative List entry to which the potential nominated property relates. |
| **1.g Has the potential nominated property received funds from the International Assistance mechanism?** | If so, briefly explain the scope of the International Assistance provided, specify the date and include any documentation on the advice provided by the Advisory Bodies. |
| **1.h Has the potential nominated property received advice through Upstream Process mechanism?** | If so, briefly explain the scope of the advice provided, specify the date and include any documentation on the advice provided by the Advisory Bodies. |
| **1.i International designations** | Indicate if the potential nominated property, as a whole or part of it, is internationally recognized as significant under other global conventions and programmes relating to the protection of cultural and natural heritage (see Paragraph 44).<br><br>Maximum word length: 500 words |

| 2. DESCRIPTION OF THE POTENTIAL NOMINATED PROPERTY | |
|---|---|
| **2.a Summary description and history of the potential nominated property** | Provide a brief description of the potential nominated property, including its main heritage features/attributes and relevant geographic characteristics (see Explanatory Notes of Section 2.a of Annex 5).<br><br>Provide a brief description of the history of the potential nominated property, including significant events and development of its main heritage features/attributes.<br><br>Maximum word length: 3000 words |
| **2.b Status of the research and historical documentation related to the nominated property** | Provide a brief description of the extent of documentation and relevant research available on the potential nominated property, including the following elements: when it has begun, what are the major sources, if published/unpublished, whether major research is ongoing, potential identified gaps in the knowledge including the language in which this information is available. Provide at least one reference that provides a good description of the heritage values of the potential nominated property.<br><br>Maximum word length: 500 words |
| **2.c Settings of the potential nominated property** | Bearing in mind that attributes and features that convey the potential Outstanding Universal Value should be located within the nominated property, describe the immediate and wider settings (see Paragraphs 104, 112 and 118bis) of the potential nominated property and how they support the potential Outstanding Universal Value (including any particular relationship in terms of the values and attributes in the potential nominated property).<br><br>Maximum word length: 500 words |

| 3. SIGNIFICANCE OF THE POTENTIAL NOMINATED PROPERTY | |
|---|---|
| **3.1.a Global significance of the potential nominated property** | Explain the reasons for which this potential nominated property could be considered globally significant within the context of the *World Heritage Convention*.<br><br>Maximum word length: 500 words |
| **3.1.b Which World Heritage criteria could be relevant to justify the potential Outstanding Universal Value of the potential nominated property?** [see Paragraph 77 of the *Operational Guidelines*]:<br><br>☐ (i) ☐ (ii) ☐ (iii) ☐ (iv) ☐ (v) ☐ (vi) ☐ (vii) ☐ (viii) ☐ (ix) ☐ (x)<br><br>(Tick the box corresponding to the proposed criteria and provide a brief explanation to justify the use of each, not exceeding 100 words per selected criteria.) | |

| **3.2. Nomination Strategy** | |
|---|---|
| **3.2.a Are you considering a potential serial nomination?**<br>**Yes/No** | If yes, provide an explanation and a rationale for the serial approach.<br>Maximum word length: 500 words |
| **3.2.b Are you considering a potential transboundary or transnational nomination?**<br>**Yes/No** | If yes, provide information on whether a nomination strategy has been planned and what will be the proposed approach.<br>Maximum word length: 500 words |
| **3.2.c Are you considering nominating the area as a Cultural Landscape?**<br>**Yes/No** | If yes, provide an explanation and a rationale for the approach (see Paragraphs 47, 47bis and 47ter of the *Operational Guidelines*).<br>Maximum word length: 500 words |

| **4. INTEGRITY** | |
|---|---|
| **4.a Inclusion of attributes in the potential nominated property** | Describe the main attributes/elements which would be included within the boundaries of the potential nominated property, in order to fully understand and express its potential Outstanding Universal Value.<br>Are there any important features or attributes that have been compromised or lost from the area, such as heavily modified ecosystems, extinct species etc.? If so, provide details.<br>For potential serial nominated properties, explain how the proposed component parts contribute to the potential Outstanding Universal Value of the site as a whole.<br>Maximum word length: 750 words |
| **4.b Conservation status of the attributes, and factors affecting the potential nominated property** | Provide information on the condition of the attributes of the potential nominated property, including where relevant physical fabric, processes and associations. Does the potential nominated property suffer from any actual or potential adverse effects of development and/or neglect? How have such factors been addressed in order to remove/reduce their negative impact?<br>Maximum word length: 750 words |

| **5. AUTHENTICITY [**for potential properties proposed under criteria (i) to (vi) only**]** | |
|---|---|
| **5.a Attributes and Information sources** | Describe how each of the relevant attributes convey truthfully and credibly the values expressed in the proposed criteria (see paragraphs 79 to 86).<br>Maximum word length: 750 words |
| **5.b Changes to the relevant attributes** | Describe what type or degree of change to the relevant attributes has occurred that may reduce their ability to convey potential Outstanding Universal Value.<br>Maximum word length: 750 words |

| 6. FRAMEWORK FOR COMPARATIVE ANALYSIS | |
|---|---|
| **6.a Approach proposed to comparative analysis** | Outline the main factors that you consider need to be taken into account in order to develop a relevant comparative analysis in relation to the potential Outstanding Universal Value of the potential nominated property.<br>In this section outline briefly the main elements of the comparative framework that you think need to be adopted (such as the biogeographic or the geo-cultural context, or the particular type of natural or cultural phenomenon that the potential nominated property represents).<br>Maximum word length: 1500 words |
| **6.b Comparison with other similar properties or sites** | List the most relevant comparable areas, which have been considered concerning the potential nominated property (including which properties on the World Heritage List, on Tentative Lists, or more widely are the most relevant comparable sites).<br>Describe briefly how the potential nominated property would differ from properties already inscribed on the World Heritage List, or sites included on the Tentative Lists or other sites.<br>Maximum word length: 1000 words |
| **6.c Selection of component parts for potential serial nominated properties** | In the case of potential serial nominated properties, provide details of the approach used to select their component parts and the rationale for the selection.<br>Maximum word length: 500 words |
| **6.d Gaps and underrepresented heritage on the World Heritage List** | Identify whether the potential nominated property addresses a particular gap or underrepresented area or theme on the World Heritage List and how it would contribute to achieving a more balanced and representative World Heritage List.<br>Indicate if the potential nominated property is mentioned in the thematic studies of the Advisory Bodies.<br>Maximum 500 words. |

| 7. PROTECTION AND MANAGEMENT | |
|---|---|
| **7.a Protection status** | Describe the current legislative and regulatory measures at national and local level.<br>Describe any protection measures that apply to the immediate and wider settings of the potential nominated property.<br>Maximum word length: 500 words |
| **7.b Management status** | In case a management system is in place, or is envisaged, for the conservation of the potential nominated property, provide a brief description of its organization, priorities, conservation measures and the adequacy of resources (capacity and financial) available.<br>In case the potential nominated property already has a management plan, or other documented management system, attach a copy of the most recent version. |

| | |
|---|---|
| | In the case of potential serial nominated properties, provide information on whether an overall management framework for all components parts is already in place or envisaged.<br>Maximum word length: 500 words |
| **7.c Engagement of indigenous peoples and local communities** | If the potential nominated property might affect the lands, territories or resources of indigenous peoples and/or local communities, explain how they are represented, and in how far they have participated in the preparation of the Tentative List and the Preliminary Assessment request (see paragraphs 64 and 123). Demonstrate, as appropriate, that the free, prior and informed consent of indigenous peoples has been obtained, through, *inter alia*, making the planned nomination publicly available in appropriate languages and public consultations and hearings.<br>Maximum word length: 500 words |
| **7.d Additional key questions and issues** | Describe any issues or difficulties, which have been identified to date in considering a possible World Heritage nomination, or any specific area(s) where advice is required in considering the potential nominated property.<br>Maximum word length: 500 words |

| **8. CONTACT INFORMATION OF RESPONSIBLE AUTHORITIES** | |
|---|---|
| **8. Name and contact information of official local institution/agency/organization** | Institution/Agency/Organization:<br>Address:<br>Tel:<br>E-mail:<br>Web address: |

| **9. SIGNATURE** | |
|---|---|
| **9. Signature on behalf of the State Party** | The Preliminary Assessment request should conclude with the signature of the official empowered to sign it on behalf of the State Party. |

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**AUTHENTICITY IN RELATION TO THE *WORLD HERITAGE CONVENTION***

## INTRODUCTION

This Annex reproduces the Nara Document on Authenticity, drafted by the 45 participants to the Nara Conference on Authenticity in Relation to the *World Heritage Convention*, held at Nara, Japan, from 1-6 November 1994. The Nara Conference was organized in cooperation with UNESCO, ICCROM and ICOMOS.

The World Heritage Committee examined the report of the Nara meeting on Authenticity at its 18th session (Phuket, Thailand, 1994) (see document WHC-94/CONF.003/16).

Subsequent expert meetings have enriched the concept of authenticity in relation to the *World Heritage Convention* (see Bibliography of the *Operational Guidelines*).

## I. THE NARA DOCUMENT ON AUTHENTICITY

### *Preamble*

1. *We, the experts assembled in Nara (Japan), wish to acknowledge the generous spirit and intellectual courage of the Japanese authorities in providing a timely forum in which we could challenge conventional thinking in the conservation field, and debate ways and means of broadening our horizons to bring greater respect for cultural and heritage diversity to conservation practice.*

2. *We also wish to acknowledge the value of the framework for discussion provided by the World Heritage Committee's desire to apply the test of authenticity in ways which accord full respect to the social and cultural values of all societies, in examining the outstanding universal value of cultural properties proposed for the World Heritage List.*

3. *The Nara Document on Authenticity is conceived in the spirit of the Charter of Venice, 1964, and builds on it and extends it in response to the expanding scope of cultural heritage concerns and interests in our contemporary world.*

4. *In a world that is increasingly subject to the forces of globalization and homogenization, and in a world in which the search for cultural identity is sometimes pursued through aggressive nationalism and the suppression of the cultures of minorities, the essential contribution made by the consideration of authenticity in conservation practice is to clarify and illuminate the collective memory of humanity.*

### *Cultural Diversity and Heritage Diversity*

5. *The diversity of cultures and heritage in our world is an irreplaceable source of spiritual and intellectual richness for all humankind. The protection and enhancement of cultural and heritage diversity in our world should be actively promoted as an essential aspect of human development.*

6. *Cultural heritage diversity exists in time and space, and demands respect for other cultures and all aspects of their belief systems. In cases where cultural values appear to be in conflict, respect for cultural diversity demands acknowledgment of the legitimacy of the cultural values of all parties.*

7. *All cultures and societies are rooted in the particular forms and means of tangible and intangible expression which constitute their heritage, and these should be respected.*

8. *It is important to underline a fundamental principle of UNESCO, to the effect that the cultural heritage of each is the cultural heritage of all. Responsibility for cultural heritage and the management of it*

*belongs, in the first place, to the cultural community that has generated it, and subsequently to that which cares for it. However, in addition to these responsibilities, adherence to the international charters and conventions developed for conservation of cultural heritage also obliges consideration of the principles and responsibilities flowing from them. Balancing their own requirements with those of other cultural communities is, for each community, highly desirable, provided achieving this balance does not undermine their fundamental cultural values.*

***Values and authenticity***

9. *Conservation of cultural heritage in all its forms and historical periods is rooted in the values attributed to the heritage. Our ability to understand these values depends, in part, on the degree to which information sources about these values may be understood as credible or truthful. Knowledge and understanding of these sources of information, in relation to original and subsequent characteristics of the cultural heritage, and their meaning, is a requisite basis for assessing all aspects of authenticity.*

10. *Authenticity, considered in this way and affirmed in the Charter of Venice, appears as the essential qualifying factor concerning values. The understanding of authenticity plays a fundamental role in all scientific studies of the cultural heritage, in conservation and restoration planning, as well as within the inscription procedures used for the World Heritage Convention and other cultural heritage inventories.*

11. *All judgements about values attributed to cultural properties as well as the credibility of related information sources may differ from culture to culture, and even within the same culture. It is thus not possible to base judgements of values and authenticity within fixed criteria. On the contrary, the respect due to all cultures requires that heritage properties must be considered and judged within the cultural contexts to which they belong.*

12. *Therefore, it is of the highest importance and urgency that, within each culture, recognition be accorded to the specific nature of its heritage values and the credibility and truthfulness of related information sources.*

13. *Depending on the nature of the cultural heritage, its cultural context, and its evolution through time, authenticity judgements may be linked to the worth of a great variety of sources of information. Aspects of the sources may include form and design, materials and substance, use and function, traditions and techniques, location and setting, and spirit and feeling, and other internal and external factors. The use of these sources permits elaboration of the specific artistic, historic, social, and scientific dimensions of the cultural heritage being examined.*

***Appendix 1: Suggestions for follow-up***

1. *Respect for cultural and heritage diversity requires conscious efforts to avoid imposing mechanistic formulae or standardized procedures in attempting to define or determine authenticity of particular monuments and sites.*

2. *Efforts to determine authenticity in a manner respectful of cultures and heritage diversity requires approaches which encourage cultures to develop analytical processes and tools specific to their nature and needs. Such approaches may have several aspects in common:*

   *- efforts to ensure assessment of authenticity involve multidisciplinary collaboration and the appropriate utilisation of all available expertise and knowledge;*

   *- efforts to ensure attributed values are truly representative of a culture and the diversity of its interests, in particular monuments and sites;*

   *- efforts to document clearly the particular nature of authenticity for monuments and sites as a practical guide to future treatment and monitoring;*

   *- efforts to update authenticity assessments in light of changing values and circumstances.*

3. *Particularly important are efforts to ensure that attributed values are respected, and that their determination included efforts to build, as far as possible, a multidisciplinary and community consensus concerning these values.*

4. *Approaches should also build on and facilitate international co-operation among all those with an interest in conservation of cultural heritage, in order to improve global respect and understanding for the diverse expressions and values of each culture.*

5. *Continuation and extension of this dialogue to the various regions and cultures of the world is a prerequisite to increasing the practical value of consideration of authenticity in the conservation of the common heritage of humankind.*

6. *Increasing awareness within the public of this fundamental dimension of heritage is an absolute necessity in order to arrive at concrete measures for safeguarding the vestiges of the past. This means developing greater understanding of the values represented by the cultural properties themselves, as well as respecting the role such monuments and sites play in contemporary society.*

***Appendix 2: Definitions***

*Conservation: all efforts designed to understand cultural heritage, know its history and meaning, ensure its material safeguard and, as required, its presentation, restoration and enhancement. (Cultural heritage is understood to include monuments, groups of buildings and sites of cultural value as defined in Article 1 of the World Heritage Convention).*

*Information sources: all material, written, oral and figurative sources which make it possible to know the nature, specifications, meaning and history of the cultural heritage.*

## II. CHRONOLOGICAL BIBLIOGRAPHY - ON AUTHENTICITY

Publications which preceded the Nara meeting and which helped prepare the ground for the authenticity discussion which took place in Nara:

Larsen, Knut Einar, *A note on the authenticity of historic timber buildings with particular reference to Japan,* Occasional Papers for the World Heritage Convention, ICOMOS, December 1992.

Larsen, Knut Einar, *Authenticity and Reconstruction: Architectural Preservation in Japan,* Norwegian Institute of Technology, Vols. 1-2, 1993.

Preparatory meeting for the Nara Meeting, held in Bergen, Norway, 31 January - 1 February 1994:

Larsen, Knut Einar and Marstein, Nils (ed.), *Conference on authenticity in relation to the World Heritage Convention Preparatory workshop,* Bergen, Norway, 31 January - 2 February 1994*,* Tapir Forlag, Trondheim 1994.

The Nara meeting, 1-6 November 1994, Nara, Japan:

Larsen, Knut Einar with an editorial group (Jokilehto, Lemaire, Masuda, Marstein, Stovel), *Nara conference on authenticity in relation to the World Heritage Convention. Conférence de Nara sur l'authenticité dans le cadre de la Convention du Patrimoine Mondial*. Nara, Japan, 1-6 November 1994, Proceedings published by UNESCO - World Heritage Centre, Agency for Cultural Affairs of Japan, ICCROM and ICOMOS, 1994.

The Nara meeting brought together 45 experts from 26 countries and international organizations from around the world. Their papers are contained in the volume cited above, as is the Nara document prepared in a working group of 12 meeting participants and edited by Raymond Lemaire and Herb Stovel. This volume of Proceedings invites members of ICOMOS and others to extend the discussions of the Nara Document issues to other regions of the world.

Significant post-Nara regional meetings (as of January 2005):

*Authenticity and Monitoring, October 17-22, 1995,* Cesky Krumlov, Czech Republic*,* ICOMOS European Conference, 1995.

The European ICOMOS Conference of 17-22 October, 1995 which took place in Cesky Krumlov, Czech Republic brought together 18 European members of ICOMOS to present national views of the application of authenticity concepts from 14 countries. A synthesis of presentations affirmed the importance of authenticity within the analytical processes we apply to conservation problems as a means of assuring truthful, sincere and honest approaches to conservation problems, and gave emphasis to strengthening the notion of dynamic conservation in order to apply authenticity analysis appropriately to cultural landscapes and urban settings.

*Interamerican symposium on authenticity in the conservation and management of the cultural heritage*, US/ICOMOS, The Getty Conservation Institute, San Antonio, Texas 1996.

This Authenticity meeting which took place in San Antonio, Texas, USA in March 1996, brought together participants from ICOMOS national committees of North, Central and South America to debate the application of the concepts of Nara. The meeting adopted the *Declaration of San Antonio*, which discussed the relationship between authenticity and identity, history, materials, social value, dynamic and static sites, stewardship and economics, and contained recommendations extending "proofs" of authenticity to include *reflection of its true value, integrity, context, identity, use and function,* as well as recommendations pertinent to different typologies of sites.

Saouma-Forero, Galia, (edited by), *Authenticity and integrity in an African context: expert meeting, Great Zimbabwe*, Zimbabwe, 26-29 May 2000, UNESCO - World Heritage Centre, Paris 2001.

The Great Zimbabwe meeting organised by the World Heritage Centre (26-29 May 2000) focused attention on both authenticity and integrity in an African context. Eighteen speakers looked at issues arising in

management of both cultural and natural heritage properties. The meeting resulted in the publication cited above, which includes a set of recommendations coming from meeting participants. Among recommendations were suggestions to include *management systems, language, and other forms of intangible heritage* among attributes expressing authenticity, and an emphasis given to the place of local communities in the sustainable heritage management process.

Reconstruction discussions in the context of the *World Heritage Convention* (as of January 2005):

*The Riga Charter on authenticity and historical reconstruction in relationship to cultural heritage adopted by regional conference,* Riga, 24 October 2000*,* Latvian National Commission for UNESCO - World Heritage Centre, ICCROM.

Incerti Medici, Elena and Stovel, Herb, *Authenticity and historical reconstruction in relationship with cultural heritage, regional conference, Riga, Latvia, October 23-24 2000: summary report*, UNESCO - World Heritage Centre, Paris, ICCROM, Rome 2001.

Stovel, Herb, *The Riga Charter on authenticity and historical reconstruction in relationship to cultural heritage, Riga, Latvia, October 2000*, in *Conservation and management of archaeological sites,* Vol. 4, n. 4, 2001.

*Alternatives to historical reconstruction in the World Heritage Cities,* Tallinn, 16-18 May 2002, Tallinn Cultural Heritage Department, Estonia National Commission for UNESCO, Estonia National Heritage Board.

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**FORMAT FOR THE NOMINATION OF PROPERTIES FOR INSCRIPTION ON THE WORLD HERITAGE LIST**

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# This Format must be used for all nomination dossiers

- The Nomination Format is available at https://whc.unesco.org/en/nominations/
- Further guidance on the preparation of nomination dossiers can be found in Section III of the *Operational Guidelines*
- The original signed version of the completed Nomination Format should be sent in English or French to
  **UNESCO World Heritage Centre**
  7, place de Fontenoy
  75352 Paris 07 SP
  France
  Telephone: +33 (0) 1 4568 1104
  E-mail: wh-nominations@unesco.org

**Executive Summary**

This information, to be provided by the State Party, will be updated by the Secretariat following the decision by the World Heritage Committee. It will then be returned to the State Party confirming the basis on which the property is inscribed on the World Heritage List.

| | |
|---|---|
| **State Party** | |
| **State, Province or Region** | |
| **Name of nominated property** | |
| **Geographical coordinates to the nearest second** | |
| **Textual description of the boundary(ies) of the nominated property** | |
| **A4 or A3 size map(s) of the nominated property, showing boundaries and buffer zone (if present)** | Attach A4 or A3 size map(s) which should be the reduced size version of the original copies of topographic or cadastral maps showing the nominated property and buffer zone (if present) at the largest scale available included or annexed to the nomination. |
| **Criteria under which property is nominated (itemize criteria)**<br>(see Paragraph 77 of the *Operational Guidelines)* | |
| **Cultural Landscape** | Indicate whether the property is nominated as a cultural landscape (YES) or (NO)<br><br>(see Paragraphs 47, 47bis and 47ter) |
| **Draft Statement of Outstanding Universal Value** (text should clarify what is considered to be the Outstanding Universal Value embodied by the nominated property, approximately 1-2 page format) | According to the paragraph 155, the Statement of Outstanding Universal Value should be composed of:<br><br>a) Brief synthesis<br>b) Justification for Criteria<br>c) Statement of Integrity (for all properties)<br>d) Statement of authenticity for properties nominated under criteria (i) to (vi)<br>e) Requirements for protection and management<br><br>See format in Annex 10 |
| **Name and contact information of official local institution/agency/organization** | Institution/Agency/Organization:<br>Address:<br>Tel:<br>Fax:<br>E-mail:<br>Web address: |

## Properties for inscription on the World Heritage List

Note: In preparing the nomination dossier, States Parties should use this format but delete the explanatory notes.

| NOMINATION FORMAT | | EXPLANATORY NOTES |
|---|---|---|
| **1.** | **Identification of the nominated property** | Together with Sections 2 and 3, this is the most important section in the nomination. It must make clear to the Committee precisely where the nominated property is located and how it is geographically defined. In the case of serial nominated properties, insert a table that shows the name of the component part, region (if different for different components), coordinates, area and buffer zone. Other fields could also be added (page reference or map number, etc.) that differentiate the several components. |
| **1.a** | **Country (and State Party if different)** | |
| **1.b** | **State, Province or Region** | |
| **1.c** | **Name of nominated property** | This is the official name of the nominated property that will appear in published material about World Heritage. It should be concise. Do not exceed 200 characters, including spaces and punctuation.<br>In the case of serial nominated properties (see Paragraphs 137 - 139 of the *Operational Guidelines*), give a name for the **ensemble** (e.g., *Baroque Churches of the Philippines*). Do not include the name of the component parts of a serial nominated property, which should be included in a table as part of 1.d and 1.f. |
| **1.d** | **Geographical coordinates to the nearest second** | In this space provide the latitude and longitude coordinates (to the nearest second) or UTM coordinates (to the nearest 10 metres) of a point at the approximate centre of the nominated property. Do not use other coordinate systems. If in doubt, please consult the Secretariat.<br>In the case of serial nominated properties, provide a table showing the name of each component part, its region (or nearest town as appropriate), and the coordinates of its centre point. Coordinate format examples:<br>N 45° 06' 05" W 15° 37' 56" or<br>UTM Zone 18 Easting: $^{5}$45670<br>Northing: $^{45}$86750 |

| Id n° | Name of the component part | Region(s) / District(s) | Coordinates of the central point | Area of nominated component part (ha) | Area of the Buffer Zone (ha) | Map N° |
|---|---|---|---|---|---|---|
| 001 | | | | | | |
| 002 | | | | | | |
| 003 | | | | | | |
| 004 | | | | | | |
| Etc. | | | | | | |
| | | | **Total area (in hectares)** | ha | ha | |

| NOMINATION FORMAT | EXPLANATORY NOTES |
|---|---|
| **1.e Maps and plans, showing the boundaries of the nominated property and buffer zone** | Annex to the nomination, and list below with scales and dates:<br><br>(i) Original copies of topographic maps showing the property nominated, at the largest scale available which shows the entire nominated property. The boundaries of the nominated property and buffer zone should be clearly marked. The boundaries of zones of special legal protection from which the nominated property benefits should be recorded on maps to be included under the protection and management section of the nomination text. Multiple maps may be necessary for serial nominated properties (see table in 1.d). The maps provided should be at the largest available and practical scale to allow the identification of topographic elements such as neighbouring settlements, buildings and routes in order to allow the clear assessment of the impact of any proposed development within, adjacent to, or on the boundary line. The choice of the adequate scale is essential to clearly show the boundaries of the nominated property and shall be in relation to the category of site that is proposed for inscription: cultural sites would require cadastral maps, while natural sites or cultural landscapes would require topographic maps (normally 1:25 000 to 1:50 000 scale).<br><br>Utmost care is needed with the width of boundary lines on maps, as thick boundary lines may make the actual boundary of the nominated property ambiguous.<br><br>All maps should be capable of being geo-referenced, with a minimum of three points on opposite sides of the maps with complete sets of coordinates. The maps, untrimmed, should show scale, orientation, projection, datum, nominated property name and date. If possible, maps should be sent rolled and not folded.<br><br>Geographic Information in digital form is encouraged if possible, suitable for incorporation into a GIS (Geographic Information System), however, this may not substitute the submission of printed maps. In this case the delineation of the boundaries (nominated property and buffer zone) should be presented in vector form, prepared at the largest scale possible. The State Party is invited to contact the Secretariat for further information concerning this option.<br><br>(ii) Plans and specially prepared maps of the nominated property showing individual features are helpful and may also be annexed.<br><br>To facilitate copying and presentation to the Advisory Bodies and the World Heritage Committee A4 (or "letter") size reduction and a digital image file of the principal maps should also be included in the main text of the nomination dossier if possible.<br><br>Where no buffer zone is proposed, the main text of the nomination dossier must include a statement as to why a buffer zone is not required for the proper protection of the nominated property. |
| **1.f Area of nominated property (ha.) and proposed buffer zone (ha.)**<br><br>Area of nominated property: _____ ha<br>Buffer zone _____ ha<br>Total _____ ha | In the case of **serial nominated properties** (see Paragraphs 137-139 of the *Operational Guidelines*), insert a table that shows the name of the component part, region (if different for different components), coordinates, area of each component part and buffer zone.<br><br>The serial nominated properties table should also be used to show the size of each component part separately and of the buffer zone(s). |

| NOMINATION FORMAT | EXPLANATORY NOTES |
|---|---|
| **2. Description** | |
| **2.a Description of nominated property**<br><br>[This section should not exceed 16,000 words (about 50 A4 pages) for a single site nomination or 24,000 words (about 75 A4 pages) for a serial site nomination] | This section should begin with a description of the nominated property at the date of nomination. It should refer to all the significant features of the nominated property.<br><br>In the case of a cultural nominated property this section will include a description of whatever elements make the nominated property culturally significant. It could include a description of any building or buildings and their architectural style, date of construction, materials, etc. This section should also describe important aspects of the setting such as gardens, parks etc. For a rock art site, for example, the description should refer to the rock art as well as the surrounding landscapes. In the case of an historic town or district, it is not necessary to describe each individual building, but important public buildings should be described individually and an account should be given of the planning or layout of the area, its street pattern and so on.<br><br>In the case of a natural nominated property this section should deal with important physical attributes, geology, habitats, species and population size, and other significant ecological features and processes. Species lists should be provided where practicable, and the presence of threatened or endemic taxa should be highlighted. The extent and methods of the use of natural resources should be described.<br><br>In the case of cultural landscapes, it will be necessary to produce a description under all the matters mentioned above. Special attention should be paid to the interaction of people and nature.<br><br>The entire nominated property identified in section 1 (Identification of the Nominated Property) should be described. In the case of serial nominated properties (see Paragraphs 137-139 of the *Operational Guidelines*), each of the component parts should be separately described.<br><br>This section should contain a list and short description of the main attributes.<br><br>Nominated properties are required to demonstrate their potential Outstanding Universal Value through their attributes. Attributes convey the potential Outstanding Universal Value and enable an understanding of that value. These attributes will be the focus of protection and management actions, and institutional arrangements, and their spatial distribution and respective protection requirements will inform the boundary of the property.<br><br>Attributes can be physical qualities or fabric, but can also include processes, associated with a property, that impact on physical qualities, such as natural or agricultural processes, social arrangements or cultural practices that have shaped distinctive landscapes. For natural properties they can be specific landscape features, areas of habitat, flagship species, aspects relating to environmental quality (such as intactness, high/pristine environmental quality), scale and naturalness of habitats, and size and viability of wildlife populations. |
| **2.b History and Development**<br><br>[This section should not exceed 6,400 words (about 20 A4 pages)] | The History and Development of the nominated property shall describe how the nominated property has reached its present form and the significant changes that it has undergone. This information shall provide the important facts needed to support and give substance to the argument that the nominated property meets the criteria of |

| NOMINATION FORMAT | EXPLANATORY NOTES |
|---|---|
| | Outstanding Universal Value and conditions of integrity and/or authenticity. |
| **3. Justification for Inscription[20]** | The justification should be set out under the following sections.<br>This section must make clear why the nominated property is considered to be of "Outstanding Universal Value".<br>The whole of this section of the nomination should be written with careful reference to the requirements of the *Operational Guidelines*. It should not include detailed descriptive material about the nominated property or its management, which are addressed in other sections, but should convey the key aspects that are relevant to the definition of the Outstanding Universal Value of the nominated property. |
| **3.1.a Brief synthesis** | The brief synthesis should comprise (i) a summary of factual information and (ii) a summary of qualities.<br>The summary of factual information sets out the geographical and historical context and the main features. The summary of qualities should present to decision-makers and the general public the potential Outstanding Universal Value that needs to be sustained, and should also include a summary of the attributes that convey its potential Outstanding Universal Value, and need to be protected, managed and monitored. The summary should relate to all stated criteria in order to justify the nomination. The brief synthesis thus encapsulates the whole rationale for the nomination and proposed inscription. |
| **3.1.b Criteria under which inscription is proposed (and justification for inscription under these criteria)** | See Paragraph 77 of the *Operational Guidelines.*<br>Provide a separate justification for each criterion cited.<br>State briefly how the property meets those criteria under which it has been nominated (where necessary, make reference to the "description" and "comparative analysis" sections of the nomination, but do not duplicate the text of these sections) and describe for each criterion the relevant attributes. |
| **3.1.c Statement of Integrity** | The statement of integrity should demonstrate that the nominated property fulfils the conditions of integrity set out in Section II.D of the *Operational Guidelines*, which describe these conditions in greater detail.<br>The *Operational Guidelines* set out the need to assess the extent to which the nominated property:<br>• includes all elements necessary to express its Outstanding Universal Value;<br>• is of adequate size to ensure the complete representation of the features and processes which convey the property's significance;<br>• suffers from adverse effects of development and/or neglect (Paragraph 88).<br>The *Operational Guidelines* provide specific guidance in relation to the various World Heritage criteria, which is important to understand (Paragraphs 89–95). |

[20] See also paragraphs 132 and 133.

| NOMINATION FORMAT | EXPLANATORY NOTES |
|---|---|
| **3.1.d Statement of Authenticity (for nominations made under criteria (i) to (vi)** | The statement of authenticity should demonstrate that the nominated property fulfils the conditions of authenticity set out in Section II.D of the *Operational Guidelines*, which describe these conditions in greater detail.<br><br>This section should summarise information that may be included in more detail in section 4 of the nomination (and possibly in other sections), and should not reproduce the level of detail included in those sections.<br><br>Authenticity only applies to cultural properties and to the cultural aspects of 'mixed' properties.<br><br>The *Operational Guidelines* state that 'properties may be understood to meet the conditions of authenticity if their cultural values (as recognized in the nomination criteria proposed) are truthfully and credibly expressed through a variety of attributes' (Paragraph 82).<br><br>The *Operational Guidelines* suggest that the following types of attributes might be considered as conveying or expressing Outstanding Universal Value:<br><br>• form and design;<br>• materials and substance;<br>• use and function;<br>• traditions, techniques and management systems;<br>• location and setting;<br>• language and other forms of intangible heritage;<br>• spirit and feeling; and<br>• other internal/external factors. |
| **3.1.e Protection and management requirements** | This section should summarise information that may be included in more detail in section 5 of the nomination dossier (and also potentially in sections 4 and 6), and should not reproduce the level of detail included in those sections.<br><br>This section should set out how the requirements for protection and management will be met, in order to ensure that the Outstanding Universal Value of the nominated property is maintained over time. It should include both details of an overall framework for protection and management, and the identification of specific long-term expectations for the protection of the nominated property.<br><br>The text in this section should first provide an overview of the protection and management system. This should include the necessary protection mechanisms, management systems and/or management plans (whether currently in place or in need of establishment) that will protect and conserve the attributes that carry Outstanding Universal Value, and address the threats to and vulnerabilities of the nominated property. These could include the presence of strong and effective legal protection, a clearly documented management system, including relationships with key stakeholders or user groups, adequate staff and financial resources, key requirements for presentation (where relevant), and effective and responsive monitoring.<br><br>Secondly this section needs to acknowledge any long-term challenges for the protection and management of the nominated property and state how addressing these will be a long-term strategy. It will be relevant to refer to the most significant threats to the nominated property, and to vulnerabilities and negative changes in authenticity and/or integrity that have been highlighted, and to set |

| NOMINATION FORMAT | EXPLANATORY NOTES |
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| | out how protection and management will address these vulnerabilities and threats and mitigate any adverse changes.<br><br>As an official statement, recognised by the World Heritage Committee, this section of the Statement of Outstanding Universal Value should convey the most important commitments that the State Party is making for the long-term protection and management of the nominated property. |
| **3.2 Comparative Analysis** | The nominated property should be compared to similar properties, whether on the World Heritage List or not. The comparison should outline the similarities the nominated property has with other properties and the reasons that make the nominated property stand out. The comparative analysis should aim to explain the importance of the nominated property both in its national and international context (see Paragraph 132). Comparisons should be made with properties expressing the same values as the nominated property, and within a defined geo-cultural area (cultural properties) or globally (natural properties). The combination of values and attributes on which the comparative analysis is based must match the key aspects that are relevant to the definition of the Outstanding Universal Value of the nominated property conveyed in the rest of section 3.<br><br>The purpose of the comparative analysis is to show that there is room on the List using existing thematic studies and the gap analysis.<br><br>In the case of serial nominated properties, text needs to set out the rationale for choosing the component parts, in terms of comparing them with other similar component parts and justifying the choice made.<br><br>The comparative analysis must conclude by drawing conclusions. |
| **3.3 Draft Statement of Outstanding Universal Value (see annex 10)** | A Statement of Outstanding Universal Value is the official statement adopted by the World Heritage Committee at the time of inscription of a property on the World Heritage List. When the World Heritage Committee agrees to inscribe a property on the World Heritage List, it also agrees on a Statement of Outstanding Universal Value that encapsulates why the property is considered to be of Outstanding Universal Value, how it satisfies the relevant criteria, the conditions of integrity and (for cultural properties) authenticity, and how it meets the requirements for protection and management in order to sustain Outstanding Universal Value in the long-term.<br><br>Statements of Outstanding Universal Value should be concise and are set out in a standard format. They should help to raise awareness regarding the value of the property, guide the assessment of its state of conservation and inform protection and management. Once adopted by the Committee, the Statement of Outstanding Universal Value is displayed at the property and on the UNESCO World Heritage Centre's website.<br><br>The main sections of a Statement of Outstanding Universal Value are the following:<br><br>a) Brief synthesis<br>b) Justification for Criteria<br>c) Statement of Integrity (for all properties)<br>d) Statement of authenticity for properties nominated under criteria (i) to (vi)<br>e) Requirements for protection and management |

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| **4. State of Conservation and factors affecting the nominated property** | |
| **4.a Present state of conservation** | The information presented in this section constitutes the base-line data necessary to monitor the state of conservation of the nominated property in the future. Information should be provided in this section on the physical condition of the nominated property, any threats to the potential Outstanding Universal Value of the nominated property and conservation measures in place (see Paragraph 132). The state of conservation of the attributes as identified in section 2.a and 3.1.a. should be described, including attributes which have been lost or whose condition is compromised.<br><br>For example, in a historic town or area, buildings, monuments or other structures needing major or minor repair works, should be indicated as well as the scale and duration of any recent or forthcoming major repair projects.<br><br>In the case of a natural nominated property, data on species trends or the integrity of eco-systems should be provided. This is important because the nomination will be used in future years for purposes of comparison to trace changes in the condition of the property.<br><br>For the indicators and statistical benchmarks used to monitor the state of conservation of the property see section 6 below. |
| **4.b Factors affecting the nominated property** | This section should firstly provide information on all the factors which are likely to affect or threaten the Outstanding Universal Value of a nominated property. Secondly, it should describe the proposed management response to those factors that may negatively affect the nominated property. A list of factors that may be relevant is available at https://whc.unesco.org/en/factors/ |
| **4.b (i) Development pressures and management response** | Describe development pressures affecting the nominated property and the management response to avert impacts on the nominated property's authenticity and/or integrity from factors such as:<br><br>- Buildings and Development (e.g. housing, commercial development, including tourism);<br><br>- Transportation infrastructure (e.g. ground transport, air transport);<br><br>- Utilities or service infrastructure (e.g. renewable and/or non-renewable energy facilities);<br><br>- Biological resource use/modification (e.g. fishing, agriculture, forestry);<br><br>- Physical resource extraction (e.g. mining, quarrying, oil and gas, water extraction).<br><br>For more details on these factors, see https://whc.unesco.org/en/factors/ |
| **4.b (ii) Environmental pressures, natural disasters and risk preparedness** | List and summarize major factors of environmental deterioration and foreseeable natural disasters, such as:<br><br>- Local conditions affecting physical fabric (e.g. wind, humidity, temperature, dust);<br>- Invasive/alien species or hyper-abundant species (e.g. translocated species, hyper-abundant species, invasive/alien terrestrial, freshwater and/or marine species); |

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| | - Pollution (e.g. marine, surface and/or ground water pollution);<br>- Climate change and severe weather events (e.g. storms, flooding, desertification);<br>- Sudden ecological or geological events (e.g. volcanic eruptions, earthquakes, tsunami/tidal wave).<br><br>For more details on these factors, see https://whc.unesco.org/en/factors/<br><br>As applicable, include information on contingency plans. |
| **4.b (iii) Visitation, other human activities and sustainable use** | Provide the status of visitation to the nominated property (notably available baseline data; patterns of use, including concentrations of activity in parts of the nominated property; and activities planned in the future). Describe projected levels of visitation due to inscription or other factors. Define the carrying-capacity of the nominated property and how its management could be enhanced to meet the current or expected visitor numbers and related development pressure without adverse effects. Consider possible forms of deterioration of the nominated property due to visitor pressure and behaviour including those affecting its intangible attributes. Further factors that may be considered as applicable include:<br>- Social/cultural uses of heritage (e.g. Ritual/spiritual/religious and associative uses, uses by Indigenous Peoples, changes in traditional ways of life and knowledge system);<br>- Other human activities (e.g. illegal activities, deliberate destruction of heritage, war).<br><br>For more details on these factors, see https://whc.unesco.org/en/factors/ |
| **5. Protection and Management of the nominated property** | This section of the main text of the nomination dossier is intended to provide a clear picture of the legislative, regulatory, contractual, planning, institutional and/ or traditional measures (see Paragraph 132 of the Operational Guidelines) and the management plan or other management system (Paragraphs 108 to 118 of the Operational Guidelines) that is in place to protect and manage the nominated property as required by the World Heritage Convention. It should deal with policy aspects, legal status and protective measures and with the practicalities of day-to-day administration and management. |
| **5.a Stakeholders** | Identify stakeholders, including owners, inhabitants, indigenous peoples and local communities, governmental, non-governmental and private stakeholders and rights-holders, as applicable. |
| **5.a (i) Ownership and inhabitants** | Indicate the major categories of land ownership (including State, Provincial, private, community, traditional, customary and non-governmental ownership, etc.), and give the best available statistics or estimate of the number of inhabitants living within the nominated property and any buffer zone(s). Indicate the year this estimate or count was made.<br><br>Estimated population located within<br><br>The nominated property_____ Year_____<br><br>The buffer zone_____ Year_____ |
| **5.a (ii) Indigenous Peoples** | If the nominated property might affect the lands, territories or resources of indigenous peoples, demonstrate whether their free, prior |

| NOMINATION FORMAT | EXPLANATORY NOTES |
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| | and informed consent to the nomination has been obtained, through, inter alia, making the nomination publicly available in appropriate languages and public consultations and hearings (Paragraph 123).<br><br>Demonstrate the extent of consultation and collaboration with indigenous peoples, as applicable, in the management of the nominated property (Paragraphs 111 and 117). |
| **5.a (iii) Participation** | Demonstrate the extent of participation in the nomination process of stakeholders and right-holders through, inter alia, making the nomination publicly available in appropriate languages and through public consultations and hearings.<br><br>Equally demonstrate the extent of consultation and collaboration with stakeholders and right-holders in the management of the nominated property (see Paragraphs 12, 119, 123 and 211). |
| **5.b Protective designation** | List the relevant legal, regulatory, contractual, planning, institutional and/ or traditional status of the nominated property: For example, national or provincial park; historic monument, protected area under national law or custom; or other designation.<br><br>Provide the year of designation and the legislative act(s) under which the status is provided.<br><br>If the document cannot be provided in English or French, an English or French executive summary should be provided highlighting the key provisions. |
| **5.c Means of implementing protective measures** | Describe how the protection afforded by its legal, regulatory, contractual, planning, institutional and/ or traditional status indicated in section 5.b. actually works. |
| **5.d Existing plans related to municipality and region in which the nominated property is located (e.g., regional or local plan, conservation plan, tourism development plan)** | List the agreed plans which have been adopted with the date and agency responsible for preparation. The relevant provisions should be summarized in this section. A copy of the plan should be included as an attached document as indicated in section 7.b.<br><br>If the plans exist only in a language other than English or French, an English or French executive summary should be provided highlighting the key provisions. |
| **5.e Property management plan or other management system** | As noted in Paragraphs 132 of the *Operational Guidelines*, an appropriate management plan or other management system is essential and shall be provided in the nomination. Assurances of the effective implementation of the management plan or other management system are also expected. Sustainable development principles should be integrated into the management system.<br><br>A copy of the management plan or documentation of the management system shall be annexed to the nomination, in English or French as indicated in section 7.b.<br><br>If the management plan exists only in a language other than English or French, an English or French detailed description of its provisions shall be annexed. Give the title, date and author of management plans annexed to this nomination.<br><br>A detailed analysis or explanation of the management plan or a documented management system shall be provided.<br><br>A timetable for the implementation of the management plan is recommended. |

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| **5.f** | **Sources and levels of finance** | Show the sources and level of funding which are available to the nominated property on an annual basis. An estimate could also be given of the adequacy or otherwise of resources available, in particular identifying any gaps or deficiencies or any areas where assistance may be required. |
| **5.g** | **Sources of expertise and training in conservation and management techniques** | Indicate the expertise and training which are available from national authorities or other organizations to the nominated property. |
| **5.h** | **Visitor facilities and infrastructure** | The section should describe the inclusive facilities available on site for visitors and demonstrate that they are appropriate in relation to the protection and management requirements of the nominated property. It should set out how the facilities and services will provide effective and inclusive presentation of the nominated property to meet the needs of visitors, including in relation to the provision of safe and appropriate access to the site. The section should consider visitor facilities that may include interpretation/explanation (signage, trails, notices or publications, guides); museum/exhibition devoted to the nominated property, visitor or interpretation centre; and/or potential use of digital technologies and services (overnight accommodation; restaurant; car parking; lavatories; search and rescue; etc.). |
| **5.i** | **Policies and programmes related to the presentation and promotion of the nominated property** | This section refers to Articles 4 and 5 of the *Convention* regarding the presentation and transmission to future generations of the cultural and natural heritage. States Parties are encouraged to provide information on the policies and programmes for the presentation and promotion of the nominated property. |
| **5.j** | **Staffing levels and expertise (professional, technical, maintenance)** | Indicate the skills and qualifications available needed for the good management of the nominated property, including in relation to visitation and future training needs. |
| **6.** | **Monitoring** | This section of the nomination is intended to provide the evidence for the state of conservation of the nominated property which can be reviewed and reported on regularly so as to give an indication of trends over time. |
| **6.a** | **Key indicators for measuring state of conservation** | List in table form those key indicators that have been chosen as the measure of the state of conservation of the whole nominated property (see section 4.a above). Indicate the periodicity of the review of these indicators and the location where the records are kept. They could be representative of an important aspect of the nominated property and relate as closely as possible to the Statement of Outstanding Universal Value. Where possible they could be expressed numerically and where this is not possible they could be of a kind which can be repeated, for example by taking a photograph from the same point. Examples of good indicators are the:<br>(i) number of species, or population of a keystone species on a natural property;<br>(ii) percentage of buildings requiring major repair in a historic town or district;<br>(iii) number of years estimated to elapse before a major conservation programme is likely to be completed;<br>(iv) stability or degree of movement in a particular building or element of a building; |

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| | (v) rate at which encroachment of any kind on a property has increased or diminished. |

| Indicator | Periodicity | Location of Records |
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| **6.b Administrative arrangements for monitoring property** | Give the name and contact information of the agency(ies) responsible for the monitoring referenced in 6.a. |
| **6.c Results of previous reporting exercises** | List, with a brief summary, earlier reports on the state of conservation of the nominated property and provide extracts and references to published sources (for example, reports submitted in compliance with international agreements and programmes, e.g., Ramsar, MAB). |
| **7. Documentation** | This section of the nomination is the check-list of the documentation which shall be provided to make up a complete nomination. |
| **7.a Photographs and audiovisual image inventory and authorization form** | States Parties shall provide a sufficient number of recent images (prints, slides and, where possible, electronic formats, videos and aerial photographs) to give a good general picture of the nominated property.<br>Slides shall be in 35mm format and electronic images in jpg format at a minimum of 300 dpi (dots per inch) resolution. If film material is provided, Beta SP format is recommended for quality assurances.<br>This material shall be accompanied by the image inventory and photograph and audiovisual authorization form as set out below.<br>At least ten photographs that may be used on the public web page illustrating the nominated property shall be included.<br>States Parties are encouraged to grant to UNESCO, in written form and free of charge, the non exclusive cession of rights to diffuse, to communicate to the public, to publish, to reproduce, to exploit, in any form and on any support, including digital, all or part of the images provided and license these rights to third parties.<br>The non exclusive cession of rights does not impinge upon intellectual property rights (rights of the photographer / director of the video or copyright owner if different) and that when the images are distributed by UNESCO a credit to the photographer / director of the video is always given, if clearly provided in the form.<br>All possible profits deriving from such cession of rights will go to the World Heritage Fund. |

## PHOTOGRAPHS AND AUDIOVISUAL IMAGE INVENTORY AND AUTHORIZATION FORM

| Id. No | Format (slide/ print/ video) | Caption | Date of Photo (mo/yr) | Photographer/Director of the video | Copyright owner (if different than photographer/director of video) | Contact details of copyright owner (Name, | Non exclusive cession of rights |
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| | | | | | | **address, tel/fax, and e-mail)** | **(Yes/No - see Annex 5, Section 7a, of the *Operational Guidelines*)** |
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| **7.b** | **Texts relating to protective designation, copies of property management plans or documented management systems and extracts of other plans relevant to the nominated property** | Attach the texts as indicated in sections 5.b, 5.d and 5.e above. |
| **7.c** | **Form and date of most recent records or inventory of the nominated property** | Provide a straightforward statement giving the form and date of the most recent records or inventory of the nominated property. Only records that are still available should be described. |
| **7.d** | **Address where inventory, records and archives are held** | Give the name and address of the agencies holding inventory records (buildings, monuments, flora or fauna species). |
| **7.e** | **Bibliography** | List the principal published references, using standard bibliographic format. |
| **8.** | **Contact Information of responsible authorities** | This section of the nomination will allow the Secretariat to provide the property with current information about World Heritage news and other issues. |
| **8.a** | **Preparer**<br><br>**Name:**<br>**Title:**<br>**Address:**<br>**City, Province/State, Country:**<br>**Tel:**<br>**Fax:**<br>**E-mail:** | Provide the name, address and other contact information of the individual responsible for preparing the nomination, including an e-mail address. |
| **8.b** | **Official Local Institution/Agency** | Provide the name of the agency, museum, institution, community or manager locally responsible for the management of the nominated property. If the normal reporting institution is a national agency, provide that contact information. |
| **8.c** | **Other Local Institutions** | List the full name, address, telephone, fax and e-mail addresses of all museums, visitor centres and official tourism offices who should receive the free *World Heritage Newsletter* about events and issues related to World Heritage. |
| **8.d** | **Official Website**<br><br>**http://**<br>**Contact name:**<br>**E-mail:** | Provide any existing official website of the nominated property. Indicate if such websites are planned for the future with the contact name and e-mail address. |

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| **9. Signature on behalf of the State Party** | The nomination should conclude with the signature of the official empowered to sign it on behalf of the State Party. |

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World Heritage Convention

# PROCEDURES OF THE ADVISORY BODIES FOR PRELIMINARY ASSESSMENTS AND THE EVALUATION OF NOMINATIONS

This Annex includes:

A. THE JOINT ICOMOS/IUCN PROCEDURE FOR PRELIMINARY ASSESSMENT OF POTENTIAL NOMINATED PROPERTIES

B. THE ICOMOS PROCEDURE FOR THE EVALUATION OF CULTURAL PROPERTIES

C. THE IUCN PROCEDURE FOR THE EVALUATION OF NATURAL PROPERTIES

D. ADVISORY BODY COLLABORATION - PROCEDURE FOR THE EVALUATION OF CULTURAL AND NATURAL PROPERTIES AND OF CULTURAL LANDSCAPES

For further information please also refer to Paragraphs 122, 143-151 of the *Operational Guidelines*.

## A. THE JOINT ICOMOS/IUCN PROCEDURE FOR PRELIMINARY ASSESSMENT OF POTENTIAL NOMINATED PROPERTIES

The below paragraphs outline agreed procedures of ICOMOS and IUCN to undertake Preliminary Assessments of potential nominated properties (Preliminary Assessments), based on requests (Preliminary Assessment requests) made by the relevant State(s) Party(ies).

In undertaking Preliminary Assessments, ICOMOS (the International Council of Monuments and Sites) and IUCN (International Union for Conservation of Nature) are guided by the *Operational Guidelines* (see Paragraph 122).

Preliminary Assessments will be undertaken by ICOMOS and IUCN on a joint basis whenever relevant, and will be an independent desk review, which will include consultation with expert reviewers.

Once Preliminary Assessment requests from States Parties have been checked for completeness by the UNESCO World Heritage Centre, the requests that are deemed as complete are delivered to ICOMOS and IUCN. From this point, dialogue and consultation with the relevant States Parties may begin and will continue throughout the assessment process.

Preliminary Assessments aim to both support efficient work to develop successful nominations, and to avoid situations where nominations that are unlikely to be successful proceed further.

The ICOMOS/IUCN assessment process involves the following stages:

### 1. Data Assembly

Following receipt of the Preliminary Assessment requests from the World Heritage Centre, a standardised analysis is compiled on the potential nominated property using ICOMOS and IUCN thematic studies, ICOMOS Filling the gaps study, the World Database on Protected Areas and other IUCN global databases as relevant. This may include additional literature and advice provided concerning the potential nominated property, or concerning Tentative Lists, through the International Assistance and Upstream process mechanisms.

**2. Exchange with States Parties**

The Advisory Bodies will review the requests received, check the information provided and wherever necessary, will request additional information from the State(s) Party(ies), which should be submitted to the Secretariat. This may involve letters, face-to face meetings, teleconferences or other forms of communication as agreed between the Advisory Body(ies) and the State(s) Party(ies) concerned. In all assessments there will be dialogue between the Advisory Bodies and the concerned State(s) Party(ies) to agree on the process of exchange, and to ensure full and effective feedback of conclusions.

**3. Desk Reviews**

Preliminary Assessments are conducted exclusively on a desk review basis, focused in particular on the potential justification of Outstanding Universal Value, and related questions of authenticity and integrity. They do not focus in depth on protection and management issues of the potential nominated properties unless major issues have become evident at this early stage of analysis. There is no possibility for any field mission to take place in the framework of a Preliminary Assessment.

Each Preliminary Assessment involves the input of a range of experts who are knowledgeable about the site in its geo-cultural and ecological context and who advise on the potential of the site to justify Outstanding Universal Value and to achieve the development of a robust World Heritage nomination dossier.

The experts are specialists identified within the membership of ICOMOS, its National and International Scientific Committees, and within IUCN Commissions and Members and IUCN Regional Offices. Experts may also be identified by ICOMOS and IUCN within other specialist networks or institutions, universities and research institutes.

The assessment may include consultation with local NGOs, communities, indigenous peoples and other interested parties in the potential nominated property and/or coordination with other international conservation instruments.

**4. Review by the ICOMOS/IUCN Panel for Preliminary Assessment of Potential Nominated Properties**

The joint ICOMOS/IUCN Panel comprises individuals appointed by ICOMOS and IUCN from their networks who collectively possess a wide range of relevant cultural and natural heritage skills and experience, having regard to gender and regional balance. Some of these members serve on the Panel for a fixed term while others are appointed for one year only according to the characteristics of the potential nominated properties to be examined in a particular year.

The Panel meets once annually in May and considers each Preliminary Assessment. The Panel aims to reach its conclusions by consensus. If there is a need for further clarification in relation to detailed questions from the Panel, further exchange with relevant State(s) Party(ies) is organized following the Panel meeting. Any questions from the Panel can only be on points of details necessary and agreed by the Panel to complete the evaluation of the Preliminary Assessment.

The Preliminary Assessment Report provides an assessment, to a standard format, on whether the site has the potential to justify Outstanding Universal Value, including an assessment of the proposed nomination strategy, considerations on authenticity (for cultural sites) and integrity, the framework for comparative analysis and protection and management issues. Conclusions of the report will inform whether or not the site might have the potential to justify Outstanding Universal Value and to achieve the development of a robust World Heritage nomination, and if so, under what conditions; or whether further exploratory work is needed before it can be determined whether or not the site may have potential to justify Outstanding Universal Value, or to achieve the development of a robust World Heritage nomination. The formats for Preliminary Assessment Reports, together with any other relevant information on review formats and working methods are made publicly available by ICOMOS and IUCN via their websites.

### 5. Delivery of the Preliminary Assessment Reports

Following the Panel meeting, the Preliminary Assessment Reports are finalised and sent to the World Heritage Centre for distribution to State(s) Party(ies) that has requested the Preliminary Assessment. The Preliminary Assessment Report shall be provided in one of the two working languages of the *Convention*. The names and qualifications of the members of the ICOMOS/IUCN Preliminary Assessment Panel are then published on the ICOMOS and IUCN web sites.

As a desk exercise, all advice provided is subject to consideration through a full evaluation, including an evaluation mission, should a nomination be submitted.

The relevant final Preliminary Assessment Reports will be made available to the ICOMOS and IUCN World Heritage Panels should a potential nominated property that has been assessed become the subject of a full Advisory Body evaluation in future nomination processes.

## B. THE ICOMOS PROCEDURE FOR THE EVALUATION OF CULTURAL PROPERTIES

In carrying out its evaluation of nominations of cultural properties ICOMOS (the International Council of Monuments and Sites) is guided by the *Operational Guidelines*; (see Paragraph 148).

Once new nominations have been checked for completeness by the UNESCO World Heritage Centre, the nomination dossiers that are deemed as complete are delivered to ICOMOS, where they are handled by the ICOMOS World Heritage Unit. From this point, dialogue and consultation with the nominating States Parties may begin and will continue throughout the evaluation process. ICOMOS will use its best endeavours to allocate its available resources equitably, efficiently and effectively to maximise the opportunity for dialogue with all nominating States Parties.

The ICOMOS evaluation process involves the following stages as illustrated in figure 1:

### 1. Requests for further information

When it has identified that further information or clarification of existing information is needed, ICOMOS starts a dialogue with States Parties in order to explore ways to meet the needs. This may involve letters, face-to face meetings, teleconferences or other forms of communication as agreed between ICOMOS and the State Party concerned.

### 2. Desk Reviews

Each nomination is assessed by up to ten experts who are knowledgeable about the property in its geo-cultural context and who advise on the proposed "Outstanding Universal Value" of the nominated property. This is essentially a "library" exercise undertaken by specialist academics within the membership of ICOMOS, its National and International Committees, or by individuals within many other specialist networks or institutions with which it is linked.

### 3. On site missions

These are carried out by experts who have practical experience of the management, conservation, and authenticity aspects of individual properties. The process of selecting these experts makes full use of the ICOMOS network. The advice of International Scientific Committees and individual members is sought, as is that of specialist bodies with whom ICOMOS has partnership agreements, such as The International Committee for the Conservation of the Industrial Heritage (TICCIH), the International Federation of Landscape Architects (IFLA), and the International Committee for the Documentation and Conservation of Monuments and Sites of the Modern Movement (DoCoMoMo).

In selecting experts to carry out on-site missions, the policy of ICOMOS is wherever possible to choose someone from the region in which the nominated property is located. Such experts are required to be experienced in heritage management and conservation of the type of property concerned: they are not

necessarily high academic experts. They are expected to be able to talk to site managers on a basis of professional equality and to make informed assessments of management plans, conservation practices, visitor handling, etc. They are provided with detailed briefings, which include copies of the relevant information from the dossiers. The dates and programmes of their visits are agreed in consultation with States Parties, who are requested to ensure that ICOMOS evaluation missions are given a low profile so far as the media are concerned. ICOMOS experts submit their reports in confidence on practical aspects of the properties concerned, and may also comment in their reports on other aspects of the nomination.

**3bis. Other sources of information**

Other relevant institutions, such as UNESCO Chairs, universities and research institutes may also be consulted during the evaluation process, and listed, as appropriate, in the evaluation report.

**4. Review by the ICOMOS Panel**

The ICOMOS World Heritage Panel comprises individual ICOMOS members who collectively represent all regions of the world and possess a wide range of relevant cultural heritage skills and experience. Some of these members serve on the Panel for a fixed term while others are appointed for one year only according to the characteristics of the nominated properties to be examined. ICOMOS will include within its Panel membership some experts who have past experience as members of States Parties delegations, but who are no longer serving as members of the World Heritage Committee. These experts will serve in a personal and professional capacity.

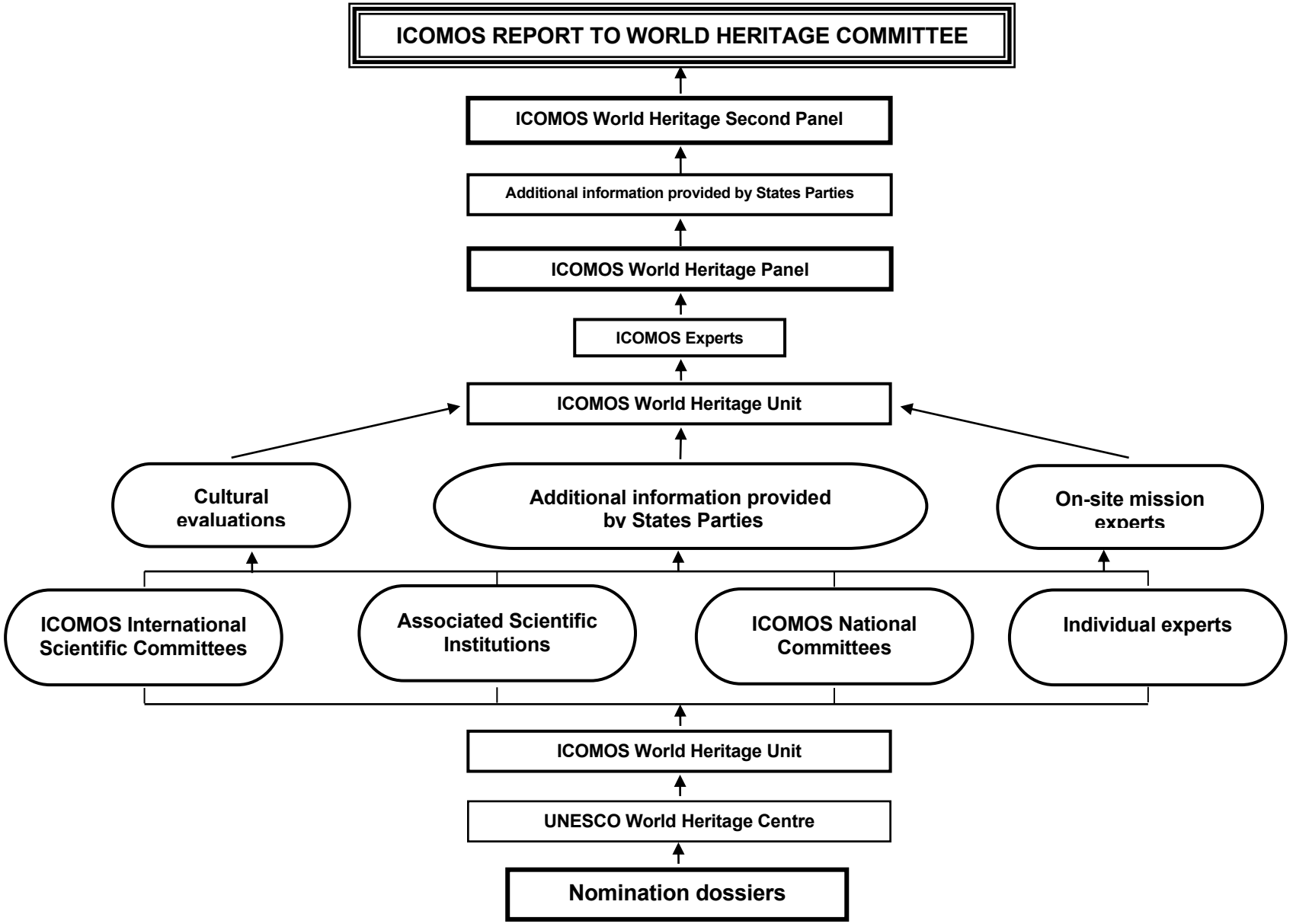
The Panel meets twice, first in December and then in March. At the first meeting, the Panel evaluates each nomination, based on the reports of Desk experts and of the site missions.

The Panel aims to reach its recommendations on nominations by consensus.

The first Panel may come to final collective recommendations on some nominations while for others further dialogue with States Parties may be agreed related to the need for more information or the need for adjustments to the approach of the nomination. In cases where the Panel has concluded that the nomination has no potential to justify Outstanding Universal Value, ICOMOS will contact States Parties at this stage. A short interim report for each nomination, in one of the two working languages of the Convention, outlining the status and any issues relevant to the evaluation process, and any further requests for supplementary information, will be provided in January to the nominating States Parties and copied to the World Heritage Centre for distribution to the Chair of the World Heritage Committee.

The second Panel undertakes further evaluation of nominations for which a recommendation has not yet been reached based on the receipt of further information or the outcome of dialogue with States Parties. The Panel then agrees the remaining collective recommendations. Following the second Panel meeting, the text of all evaluations is finalised and sent to the World Heritage Centre for distribution to States Parties. The names and qualifications of the members of the Panel are then provided to the World Heritage Centre and published on the ICOMOS web site.

The ICOMOS evaluations provide an assessment of Outstanding Universal Value, including the applicability of the criteria and the requirements of integrity and authenticity, assessment of the adequacy of legislative protection, management, and the state of conservation, and finally draft recommendations to the World Heritage Committee with respect to inscription.


ICOMOS REPORT TO WORLD HERITAGE COMMITTEE
ICOMOS World Heritage Second Panel
Additional information provided by States Parties
ICOMOS World Heritage Panel
ICOMOS Experts
ICOMOS World Heritage Unit
Cultural evaluations
Additional information provided by States Parties
On-site mission experts
ICOMOS International Scientific Committees
Associated Scientific Institutions
ICOMOS National Committees
Individual experts
ICOMOS World Heritage Unit
UNESCO World Heritage Centre
Nomination dossiers

## C. THE IUCN PROCEDURE FOR THE EVALUATION OF NATURAL PROPERTIES

1. In carrying out its evaluation of nominations of natural properties, IUCN (the International Union for Conservation of Nature) is guided by the *Operational Guidelines* (see Paragraph 148). The evaluation process (see Figure 2) involves five elements:

   (i) **Data Assembly.** Following receipt of the nomination dossier from the World Heritage Centre, a standardised analysis is compiled on the property using the World Database on Protected Areas and other IUCN global databases and thematic studies. This may include comparative analyses on biodiversity values undertaken in partnership with the UNEP World Conservation Monitoring Centre (UNEP-WCMC). Key findings of data analysis are the subject of dialogue with the State Party during the evaluation mission, and at other stages of the process.

   (ii) **External Review.** The nomination is sent for desk review to independent experts knowledgeable about the property and/or the values that are the subject of the nomination, who are primarily members of IUCN's specialist Commissions and networks, or expert members of partner organisations of IUCN. The documents used to guide IUCN desk reviews are publicly available on the IUCN website: www.iucn.org/worldheritage.

   (iii) **Evaluation mission to the property**. One or two appropriately qualified IUCN experts visit each nominated property to clarify details about the area, to evaluate site management and to discuss the nomination with relevant authorities and stakeholders. IUCN experts, selected for their global perspective on conservation and natural history as well as their knowledge of the Convention, are usually experienced members of the IUCN World Commission on Protected Areas. (This field inspection is undertaken jointly with ICOMOS in certain situations - see Part C below). The format of IUCN field evaluation mission reports is publicly available on the IUCN website: www.iucn.org/worldheritage.

   (iv) **Other sources of information.** IUCN also consults additional literature and may receive comments from local NGOs, communities, indigenous peoples and other interested parties in the nomination. Where relevant IUCN will also coordinate with other international conservation instruments such as the Ramsar Convention, the Man and Biosphere Programme and the Global Geoparks Network, and will consult with universities and research institutes as appropriate.

   (v) **IUCN World Heritage Panel Review.** The IUCN World Heritage Panel is established by the Director General of IUCN to provide high quality and independent technical and scientific advice to IUCN on its work as an Advisory Body to the World Heritage Committee and strategic advice to IUCN's work on World Heritage throughout the IUCN Programme. Specific Tasks of the World Heritage Panel are to conduct a rigorous evaluation of all nominations of natural and mixed properties to the World Heritage List, leading to a panel recommendation on the IUCN position in relation to each new nomination, in line with the requirements established in the Operational Guidelines of the World Heritage Convention. The Panel also provides comment where relevant to ICOMOS in relation to nominations of cultural landscapes to the World Heritage List. The Panel normally meets at least twice in the evaluation process, once in December (year 1) and a second meeting in March/April (year 2).

   The members of the Panel comprise senior IUCN staff, IUCN Commission members and external experts selected for their high level of experience and recognised leading expertise and knowledge relevant to IUCN's work on World Heritage, including a balance of particular thematic and/or regional perspectives. It includes some experts who have past experience as members of State Party delegations, but are not current serving members of the World Heritage Committee. These experts will serve in a personal and professional capacity. The IUCN World Heritage Panel reviews all field evaluation reports (and normally hears direct feedback from the mission team), reviewers' comments, the UNEP-WCMC and other analyses and all other background material before finalising the text of the IUCN evaluation report for each nominated property. The membership, terms of reference and working methods of the IUCN World

Heritage Panel are publicly available on the IUCN website, and are provided to the World Heritage Committee.

Each evaluation report presents a concise summary of the proposed Outstanding Universal Value of the property nominated, a global comparative analysis with other similar sites (including both World Heritage properties and other protected areas) and a review of integrity and management issues. It concludes with the assessment of the applicability of the criteria, and a clear recommendation to the World Heritage Committee. The names of all experts involved in the evaluation process are included in the final evaluation report, except in the case of reviewers who have provided confidential reviews.

IUCN undertakes dialogue with the nominating States Party/ies at all stages of the nomination process. IUCN will use its best endeavours to allocate its available resources equitably, efficiently and effectively, to maximise the opportunities for dialogue with all nominating States Parties. Dialogue starts early in the evaluation process and intensifies after the meeting of the IUCN World Heritage Panel in December, and includes the following:

i) Prior to the evaluation mission, IUCN may request additional information on questions in the nomination document that require clarification, and will always contact the State Party to prepare for the evaluation mission.

ii) During the evaluation mission the IUCN mission team is able to undertake in-depth discussions on site with representatives of the State Party and with stakeholders.

iii) After the evaluation mission, IUCN may discuss issues that have been identified by the mission team, and request further information from the State Party as required.

iv) After IUCN's first World Heritage Panel meeting, normally held in December, IUCN will discuss issues raised by the Panel, and request further information from the State Party as required. A short interim report outlining the status, and any issues relevant to the evaluation, and detailing any requests for supplementary information, in one of the two working languages of the Convention, is sent to the nominating State Party/ies, and copied to the World Heritage Centre, for transmission to the Chairperson of the World Heritage Committee. Dialogue and consultation takes place either through teleconference and/or face-to-face meetings, as mutually agreed.

IUCN takes into account in its evaluation all information that is officially submitted by the State Party in writing to the World Heritage Centre by the specified deadline (see paragraph 148 of the *Operational Guidelines*). However, at all of the above stages any stakeholder in the nomination is also at liberty to contact IUCN to provide information if they wish.

IUCN also always considers fully all past decisions of the World Heritage Committee relevant to the nomination, such as in cases of nominations that have been previously referred or deferred by the Committee, or where the Committee has taken any position in relation to issues of policy.

In the case of renominations, extensions and boundary modifications to an existing World Heritage property, IUCN also considers all matters regarding the state of conservation of that property that have been previously reported to the World Heritage Committee. IUCN may also consider bringing to the attention of the Committee, through the state of conservation reporting process, any significant matters regarding the state of conservation of that property, when such are identified for the first time during the evaluation process.

**Biogeographic classification systems as a basis for comparison**

2. In the evaluations, IUCN uses biogeographic classification systems such as Udvardy's "Biogeographical Provinces of the World" (1975) and the more recent terrestrial, freshwater and marine ecoregions of the world as a central element of its approach to the global comparative analysis. These systems provide an

objective means of comparing nominated properties with sites of similar climatic and ecological conditions.

3. It is stressed, however, that these biogeographical classification systems are used as a measure for comparison only and do not imply that World Heritage properties are to be selected solely on this basis, nor that the representation of all such classification systems is the basis for the selection process. The guiding principle is that World Heritage properties must be of Outstanding Universal Value.

**Systems to identify priority areas for conservation**

4. IUCN also uses systems which identify priority areas for conservation such as the World Wide Fund for Nature's (WWF) Global 200 Ecoregions, WWF/IUCN's Centres of Plant Diversity, Conservation International's Biodiversity Hotspots and High-Biodiversity Wilderness Areas, Birdlife International's Endemic Bird Areas and Important Bird Areas, and other Key Biodiversity Areas such as Alliance for Zero Extinction sites. These systems provide additional information on the significance of the nominated properties for biodiversity conservation; however it is not assumed that all such sites should be included on the World Heritage List. The guiding principle is that World Heritage properties must be of Outstanding Universal Value.

**Systems to evaluate properties for earth science value**

5. In evaluating properties which have been nominated for their geological value, IUCN consults with a range of specialised organisations such as the UNESCO Earth Sciences Division, International Association of Geomorphologists, the International Union of Speleology and the International Union of Geological Sciences (IUGS).

**Additional reference publications used in the evaluation process**

6. The evaluation process also includes consideration of key reference publications on the world's protected areas published by IUCN and a range of international conservation organisations. These documents together provide system-wide overviews which allow comparison of the conservation importance of protected areas throughout the world. IUCN has also undertaken a range of thematic studies to identify gaps in natural World Heritage coverage and properties of World Heritage potential. These can be viewed on the IUCN website at www.iucn.org/worldheritage.

   IUCN also draws upon references specific to the nominated properties in order to gain insights into site values and conservation issues.

**Evaluation of Cultural Landscapes (see also paragraphs 47-47ter)**

7. IUCN has an interest in many cultural properties, especially those nominated as cultural landscapes. For that reason, it will on occasion participate in joint field inspections to nominated cultural landscapes with ICOMOS (see Part D below).

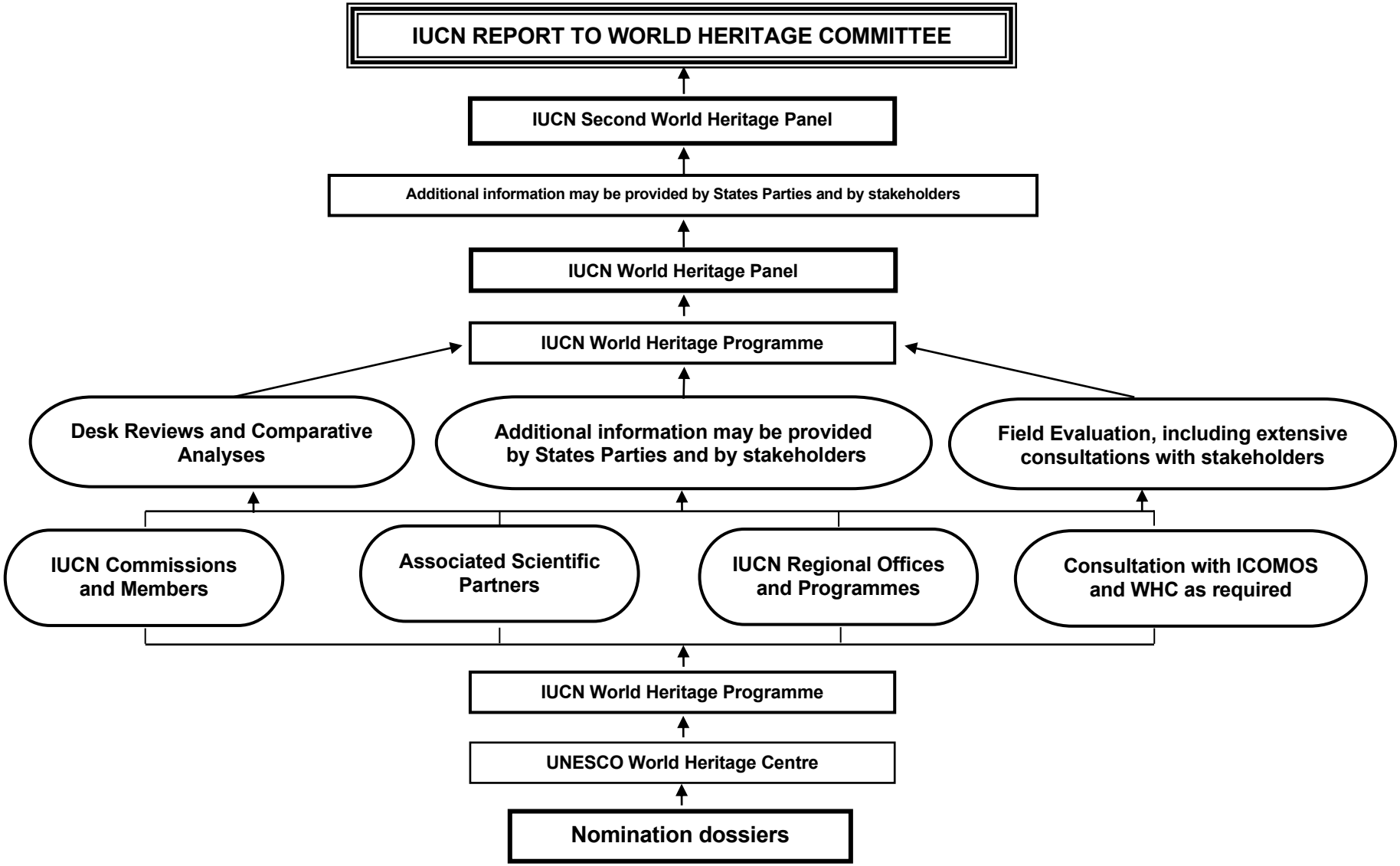
8. In accordance with the natural qualities of certain cultural landscapes, IUCN's evaluation is concerned with the following factors:

   (i) Conservation of natural and semi-natural systems, and of wild species of fauna and flora
   (ii) Conservation of biodiversity within sustainable use systems (farming, traditional fisheries, forestry);
   (iii) Sustainable land and water use;
   (iv) Enhancement of scenic beauty;
   (v) Ex-situ collections, such as botanic gardens or arboreta;
   (vi) Outstanding examples of humanity's inter-relationship with nature;
   (vii) Historically significant discoveries

The following table sets each of the above list in the context of the categories of cultural landscapes, thereby indicating where each consideration is most likely to occur (the absence of a consideration does not mean that it will *never* occur, only that this is unlikely):

| Cultural Landscape type | Natural considerations most likely to be relevant (see Paragraph 16 above) | | | | | | |
|---|---|---|---|---|---|---|---|
| Designed landscape | | | | | (v) | | |
| Organically evolving landscape - continuous | (i) | (ii) | (iii) | (iv) | | | |
| Organically evolving landscape - fossil | (i) | | | | | (vi) | |
| Associative landscape | | | | | | | (vii) |

**FIGURE 2: SUMMARY OF IUCN EVALUATION PROCEDURE**



## D. ADVISORY BODY COLLABORATION

**Nominations of mixed properties**

**1.** Properties that are nominated under both natural and cultural criteria entail a joint IUCN and ICOMOS mission to the nominated property. Following the mission, IUCN and ICOMOS prepare separate evaluation reports of the property under the relevant criteria (see above), and harmonise and coordinate their evaluations to the extent possible.

**Cultural Landscapes**

**2.** Properties nominated as Cultural Landscapes are evaluated by ICOMOS under criteria (i) - (vi) (see Paragraph 77 of the *Operational Guidelines)*. IUCN may provide advice when relevant on the natural values and the conservation and management of the nominated property, and addresses any questions that are raised by ICOMOS. In some cases, a joint mission is required.

**Linkages between nature and culture**

**3**. As most properties nominated to the World Heritage List include aspects of management related to the interaction of nature and culture, IUCN and ICOMOS, to the extent possible, discuss any such interactions during their evaluation processes.

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**FORMAT FOR PERIODIC REPORTING**
**ON THE APPLICATION OF**
**THE *WORLD HERITAGE CONVENTION***

- The Format for Periodic Reporting is available at https://whc.unesco.org/en/periodicreporting
- Further guidance on Periodic Reporting can be found in Chapter V of the *Operational Guidelines*
- In order to facilitate management of information, States Parties are requested to submit reports electronically, in English or French.

# FORMAT

## PERIODIC REPORTING ON THE APPLICATION OF THE *WORLD HERITAGE CONVENTION*

This Annex presents an outline of the Periodic Reporting questionnaire. The full questionnaire can be accessed at https://whc.unesco.org/en/periodicreporting/.

**General Requirement**

- Information should be as precise, specific and concise as possible. It should be quantified where possible. Opportunities for comment are provided.

## SECTION I: APPLICATION OF THE *WORLD HERITAGE CONVENTION* BY THE STATE PARTY

Section I requests the State Party to provide information, or validate existing information, on the legislative and administrative provisions adopted and other actions undertaken for the implementation of this *Convention*, in accordance with Article 29.1.

### 1. INTRODUCTION

Chapter 1 presents general information about the State Party and establishes the institutional context for the questions that follow.

### 2. SYNERGIES WITH OTHER CONVENTIONS, PROGRAMMES AND RECOMMENDATIONS FOR THE CONSERVATION OF THE CULTURAL AND NATURAL HERITAGE

Chapter 2 gathers information on existing and potential synergies between the implementation of the World Heritage Convention and other Multilateral Environmental Agreements, as well as other UNESCO conventions, programmes, and recommendations. It addresses how coordination is ensured at the national level, to understand how such synergies contribute to an effective implementation of the World Heritage Convention.

### 3. INVENTORIES/LISTS/REGISTERS OF CULTURAL AND NATURAL HERITAGE

Chapter 3 addresses the status of inventories, lists, registers of cultural and natural heritage of national significance and the processes used for their compilation and revision. It also considers how such inventories support the identification of sites of potential Outstanding Universal Value for inclusion in the Tentative List.

### 4. TENTATIVE LIST

Chapter 4 gathers information on the process of preparing or revising the Tentative List, including the tools and guidance used, the process followed and the involvement of relevant actors. It encourages reflection on the methods, coordination and consultation practices that guide the identification of potential World Heritage properties.

### 5. NOMINATIONS

Chapter 5gathers information on the process of nominating properties for inscription on the World Heritage List, as a core element of the implementation of the *Convention*. It also promotes examination of the relevance and benefits of nominations for the State Party, as well as the extent to which principles of sustainable development and community well-being are taken into account.

**6. LEGAL AND REGULATORY FRAMEWORKS**

Chapter 6 addresses the legal and regulatory framework for the protection, conservation and presentation of the cultural and/or natural heritage and its effectiveness. It further examines whether adequate mechanisms are in place to ensure the effective implementation and enforcement of legal provisions.

**7. POLICY DEVELOPMENT**

Chapter 7 examines the policies guiding the protection, conservation, management and presentation of cultural and/or natural heritage and their relationship with broader national priorities, namely in relation to sustainable development, climate action and territorial planning. It further examines how this contributes to inclusive and equitable participation of local communities, Indigenous Peoples and other actors in heritage protection.

**8. COORDINATION FOR THE IDENTIFICATION, PROTECTION, CONSERVATION AND PRESENTATION OF CULTURAL AND NATURAL HERITAGE**

Chapter 8 concerns the mechanisms established to coordinate responsibilities for heritage at the national level. It clarifies roles among relevant institutions with heritage responsibilities, as well as collaboration with other sectors and civil societies. Clear coordination ensures that decisions affecting heritage are implemented consistently across different levels of government.

**9. FINANCIAL AND HUMAN RESOURCES**

Chapter 9 gathers information on the availability and adequacy of financial and human resources for the protection, conservation and management of cultural and natural heritage. The chapter also calls for consideration of the Sustainable Development Goal 11.4, and its indicator 11.4.1., which measures total per capita expenditure on heritage conservation from different sources of funding and levels of government.

**10. CAPACITY BUILDING**

Chapter 10 gathers information on capacity building in protection, conservation, presentation and management of cultural and natural heritage within the State Party. The questions invite reflection on strengths and gaps in capacity and help identify priority areas where additional capacity-building support may be needed.

**11. GOVERNMENTAL SUPPORT FOR WORLD HERITAGE PROPERTIES**

Chapter 11 concerns the forms of governmental support provided for the conservation and management of World Heritage properties. It covers measures related to tourism, benefits for communities, assistance for research responding to the needs of World Heritage properties, and the use of financial incentives to encourage their conservation. The information from this chapter allows identification of support needs and assessment of resource mobilisation efforts.

**12. AWARENESS-RAISING, EDUCATIONAL, AND ENGAGEMENT PROGRAMMES**

Chapter 12 gathers information on initiatives aimed at promoting awareness and engagement by different audiences, in particular the youth, about the protection of cultural and natural heritage. It seeks information on educational, communication and outreach tools used to reach those audiences and to support wider participation in heritage protection.

**13. COOPERATION AND IMPLEMENTATION OF THE REGIONAL ACTION PLAN**

Chapter 13 addresses cooperation with other States Parties in the field of cultural and/or natural heritage. It reviews existing cooperation mechanisms. The chapter also examines the implementation at national level of actions under the Regional Action Plan adopted following the previous cycle of Periodic Reporting and their contribution to strengthening the protection of World Heritage properties.

### 14. CONCLUSIONS AND RECOMMENDED ACTIONS

Chapter 14 automatically generates the main conclusions under each chapter of Section I based on the answers provided in the questionnaire. These conclusions synthesise the main issues identified across the previous chapters and highlight areas requiring further attention. State Parties are invited to indicate the follow-up actions taken or planned in response to the issues identified, in the context of the implementation of the *Convention*.

### 15. ASSESSMENT OF THE PERIODIC REPORTING EXERCISE

Chapter 15 assesses the format, content and process of the Periodic Reporting exercise, including the degree to which it meets the objectives of Periodic Reporting, how the data generated are used, and the quality of the guidance materials and other forms of support available to respondents. State Parties are also invited to provide feedback on areas for improvement, with a view to enhancing the accessibility, coherence and usefulness of future cycles.

## SECTION II:
## STATE OF CONSERVATION OF SPECIFIC WORLD HERITAGE PROPERTIES

Section II gathers information on the implementation of the *Convention* at the -level of individual World Heritage properties and must be completed separately for each property. Its preparation should involve those who are responsible for the day-to-day management of the property. For transboundary properties, the completion of the questionnaire is to be prepared jointly by management entities concerned.

This section focuses on the state of conservation of each property and assesses the extent to which its Outstanding Universal Value is being maintained over time. It gathers information about the condition of the attributes, the challenges posed by factors impacting them, and invites an overall assessment of the effectiveness of critical elements of the property's management system, namely the adequacy of governance arrangements, legal and planning frameworks, and monitoring programmes in place. It also supports the identification of changes that have occurred since the previous reporting cycle and the assessment of the capacity to respond to emerging challenges, thereby supporting informed decision-making and long-term protection.

### 1. WORLD HERITAGE PROPERTY DATA

Chapter 1 presents essential reference information about the World Heritage property. Key identification data are pre-filled on the basis of information held in the World Heritage Centre's database.

### 2. OTHER CONVENTIONS/PROGRAMMES UNDER WHICH THE WORLD HERITAGE PROPERTY IS PROTECTED (IF APPLICABLE)

Chapter 2 gathers information relating to synergies with other international conventions and programmes (UNESCO and others) relevant to the property. It examines whether, and how, cooperation takes place between the management of the World Heritage property and the entities responsible for the implementation of these other conventions or designations, in order to understand how multiple international frameworks are addressed in practice.

### 3. STATEMENT OF OUTSTANDING UNIVERSAL VALUE

Chapter 3 focuses on the Outstanding Universal Value (OUV) of the World Heritage property and its attributes, their current condition, and trends in that condition since the last cycle of Periodic Reporting. The identification of the attributes that convey the property's OUV is essential for adopting effective conservation and management measures.

**4. FACTORS AFFECTING THE PROPERTY**

Chapter 4 identifies the range of factors that are currently affecting or have the potential to affect the property, both positively and negatively. It is structured around a standardised list of factors, consistent with those used in the Reactive Monitoring process and in the preparation of State of Conservation reports.

**5. MANAGEMENT RESPONSES TO FACTORS AND FUTURE PROSPECTS FOR THE PROPERTY**

Chapter 5 builds on the analysis of the factors identified in the previous chapter by examining their impacts on the attributes that convey the property's OUV. The structured approach supports reflection on whether current management measures are proportionate and sufficient in relation to the identified impacts.

**6. PROTECTION AND MANAGEMENT ARRANGEMENTS**

Chapter 6 examines the protection and management arrangements in place for the property, in accordance with the requirements set out in the *Operational Guidelines*. It considers whether certain necessary mechanisms are in place and functioning effectively, and provides a basis for identifying strengths, gaps and challenges that may require attention in order to ensure the long-term protection of the property.

**7. FINANCIAL AND HUMAN RESOURCES**

Chapter 7 addresses the adequacy and sustainability of the financial and human resources available for the conservation and management of the property. It also reflects on the availability of appropriate expertise and capacity-building efforts to ensure the effective implementation of conservation and management measures.

**8. CAPACITY BUILDING**

Chapter 8 gathers information on capacity-building initiatives supporting the effective protection and management of the property. It considers whether programmes are in place to strengthen the competences of staff and other relevant actors, and how priorities for capacity building are identified in relation to conservation and management needs.

**9. SCIENTIFIC STUDIES AND RESEARCH PROJECTS**

Chapter 9 concerns the availability and the adequacy of available knowledge (both scientific and traditional) relating to the property. It considers whether research activities are aligned with identified management needs and whether their results are accessible and effectively used in practice. It also reviews how emerging tools contribute to strengthening documentation, knowledge management and monitoring at property level.

**10. EDUCATION, INFORMATION AND PUBLIC ENGAGEMENT**

Chapter 10 focuses on the existence and effectiveness of heritage education, awareness-raising and public engagement activities developed at the property. It considers the range of initiatives implemented, the audiences reached and the extent to which these activities support understanding of the property and its protection.

**11. VISITOR MANAGEMENT**

Chapter 11 gathers information on tourism activities and visitor management at the property, including the mechanisms in place to plan, manage and monitor different forms of visitation. Visitor management is critical to balancing conservation needs with tourism and other forms of visitation at the property, while safeguarding the well-being of communities connected to it.

## 12. MONITORING

Chapter 12 reviews the existence of monitoring programmes and associated indicators for the property. Monitoring provides the evidence base for understanding the state of conservation of the property and the effectiveness of management measures adopted in response to factors affecting it.

## 13. IDENTIFICATION OF PRIORITY MANAGEMENT NEEDS AND MEASURES TO ADDRESS THEM

Chapter 13 automatically lists all the management needs requiring further action that emerge from the responses provided in previous chapters in this Section of the Periodic Report. On this basis, it supports the identification of priority actions necessary to address gaps and guide future management efforts.

## 14. INFLUENCE OF WORLD HERITAGE STATUS AND SUPPORT NEEDS

Chapter 14 examines how inscription on the World Heritage List has influenced the conservation and management of the property. It also identifies the level and type of support required in response to priority management issues identified in the previous chapter to inform resource mobilisation efforts and the development of more targeted capacity-building activities.

## 15. ASSESSMENT OF THE PERIODIC REPORTING EXERCISE

Chapter 15 assesses the format, content and process of completing this Section. It seeks to determine whether the questionnaire contributed to a better understanding of the protection and management of the property, and whether the information compiled is expected to inform planning and decision-making. It further provides an opportunity to reflect on the practical usefulness of the exercise at property level and to offer comments that could help improve the relevance and clarity of future reporting cycles.

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**INTERNATIONAL ASSISTANCE**
**REQUEST FORM**

- The International Assistance request form is available at: https://whc.unesco.org/en/intassistance and can be filled out online.
- Further guidance on International Assistance can be found in Section VII of the *Operational Guidelines*
- See attached Explanatory Notes on completing this Request form
- The original signed version of the completed International Assistance request form should be sent in English or French to:
  **UNESCO World Heritage Centre**
  7, place de Fontenoy
  75352 Paris 07 SP
  France

  E-mail: wh-intassistance@unesco.org

**1. STATE PARTY**

_____

**2. TITLE OF PROJECT**

_____

_____

_____

**3. TYPE OF ASSISTANCE**

| | *Emergency Assistance* | *Preparatory Assistance* | *Conservation and management* |
|---|---|---|---|
| Culture | | | |
| Nature | | | |
| **Mixed** | | | |

**4. PROJECT LOCATION:**

a) Will the project be implemented at a World Heritage property?

□ - yes □ - no

If yes, give the name of the property

_____

_____

b) Will the project include a field component?

□ - yes □ - no

If yes, where and how?

_____

_____

c) If the project is being implemented at a World Heritage property, indicate whether it will also benefit other World Heritage properties, and if so, which ones and how?

_____

_____

**5. TIMEFRAME FOR THE IMPLEMENTATION OF THE PROJECT (indicate whether estimated or fixed)**

Dates:_____

Duration: _____

**6. THE PROJECT IS:**

□ - local

□ - national

□ - sub-regional involving a few States Parties from a region

□ - regional involving most States Parties from a region

□ - international involving States Parties from different regions

If the project is national, sub-regional, regional or international, please indicate the countries/properties which will participate/benefit from the project:

_____

_____

_____

_____

**7. JUSTIFICATION OF THE PROJECT**

a) Explain why this project is needed
(for Emergency Assistance, please fill in item 8 below instead).

_____

_____

_____

_____

b) List all supporting documents submitted, if applicable.

_____

_____

**8. FOR EMERGENCY ASSISTANCE ONLY**

a) Describe the actual or potential threat/danger affecting the property

_____

_____

b) Indicate how it might affect the property's Outstanding Universal Value

_____

_____

c) Explain how the proposed project will address the threat/danger

_____

_____

**9. OBJECTIVES OF THE PROJECT**

Clearly set out the specific objectives of the project

**10. EXPECTED RESULTS**

a) Clearly state the results expected from the project

_____

_____

b) Define the indicators and means of verification which can be used to assess the achievements of these results:

| *Expected Results* | *Indicators* | *Means of verification* |
|---|---|---|
| | | |
| | | |

**11. WORK PLAN (including specific activities and timetable)**

| *Activities* | *Timeframe (in months)* | | | | | | |
|---|---|---|---|---|---|---|---|
| Activity | | | | | | | |
| Activity | | | | | | | |
| Activity | | | | | | | |
| **Activity** | | | | | | | |

**12. EVALUATION AND REPORTING**
**(to be submitted to the World Heritage Centre within three months after the project is completed)**

**13. PROFILES OF SPECIALISTS, TRAINERS, TECHNICIANS AND/OR SKILLED LABOUR, IF THE PROJECT FORESEES THE PARTICIPATION OF SUCH PEOPLE**
**(if the identity of the specialists, trainers, technicians, and/or skilled labourers is already known, please state their names and include a brief CV if possible)**

_____

_____

**14. KEY TARGET AUDIENCES, INCLUDING PROFILES OF TRAINEES / PARTICIPANTS, IF THE PROJECT FORESEES THE PARTICIPATION OF SUCH PEOPLE**

_____

_____

## 15. BUDGET BREAKDOWN

a) Provide, in the following table (in United States dollars), a detailed breakdown of costs of the individual elements of the project including, if possible, unit costs and show how these will be shared between the different funding sources.

| **Items (choose items as applicable to the project)** | **Detail US$ (for applicable items)** | **State Party Funds US$** | **Amount requested to the World Heritage Fund US$** | **Other sources US$** | **Total US$** |
|---|---|---|---|---|---|
| **Organization**<br>• venue<br>• office expenses<br>• secretarial assistance<br>• translation<br>• simultaneous interpretation<br>• audiovisual equipment<br>• other | <br>US$ __ / day for __ days = US$ __<br>US$ __<br>US$ __/ day for __ days - US$ __<br>US$ __ / page for __ pages = US$<br>US$ __ / hour for __ hours = US$<br>US$ __ / day for __ days = US$ __<br>US$ __ | | | | |
| **Personnel / consultancy service (fees)**<br>• international expert<br>• national expert<br>• coordinator<br>• other | <br>US$ __ / week for __ weeks = US$ _<br>US$ __ / week for __ weeks = US$ _<br>US$ __ / week for __ weeks = US$ _<br>US$ __ / week for __ weeks = US$ _ | | | | |
| **Travel**<br>• international travel cost<br>• domestic travel costs<br>• other | <br>US$ __<br>US$ __<br>US$ __ | | | | |
| **Daily subsistence allowance**<br>• accommodation<br>• board | <br>US$ __ / day for __ persons = US$ __<br>US$ __ / day for __ persons = US$ __ | | | | |
| **Equipment**<br>• …….<br>• ……. | <br>US$ __ / unit for __ units =<br>US$ __ / unit for __ units = | | | | |
| **Evaluation, Reporting and Publication**<br>• evaluation<br>• reporting<br>• editing, layout<br>• printing<br>• distribution<br>• other | <br><br>US$ __<br>US$ __<br>US$ __<br>US$ __<br>US$ __<br>US$ __ | | | | |
| **Miscellaneous**<br>• visas<br>• other | <br>US$ __ for __ participants = US$ __<br>US$ __ | | | | |
| **TOTAL** | | | | | |

b) Specify whether or not resources from the State Party or other sources are already available or when they are likely to become available.

_____

_____

**16. IN KIND CONTRIBUTIONS FROM THE STATE PARTY AND OTHER AGENCIES**

a) National agency(ies)

_____

_____

b) Other bi/multi-lateral organizations, donors, etc

_____

_____

**17. AGENCY(IES) RESPONSIBLE FOR THE IMPLEMENTATION OF THE PROJECT**

_____

_____

**18. SIGNATURE ON BEHALF OF STATE PARTY**

Full name _____

Title _____

Date _____

**19. ANNEXES**

_____ (number of annexes attached to the request)

## EXPLANATORY NOTES

<table>
<tr><th></th><th>INTERNATIONAL ASSISTANCE APPLICATION FORM</th><th>EXPLANATORY NOTES</th></tr>
<tr><td>1.</td><td>STATE PARTY</td><td>Name of the State Party presenting the International Assistance request</td></tr>
<tr><td>2.</td><td>TITLE OF THE PROJECT</td><td></td></tr>
<tr><td>3.</td><td>TYPE OF ASSISTANCE<br><table><tr><td></td><td>Emergency Assistance</td><td>Preparatory Assistance</td><td>Conservation and Management*</td></tr><tr><td>Culture</td><td></td><td></td><td></td></tr><tr><td>Nature</td><td></td><td></td><td></td></tr><tr><td>Mixed</td><td></td><td></td><td></td></tr></table>* Please note that “Conservation and Management” now includes the previous categories :<br>- Training, Research Assistance<br>- Technical Cooperation<br>- Assistance for education, information and awareness raising</td><td>See Paragraph 241 of the Operational Guidelines for details.<br><br>Indicate the type of assistance you are requesting, as well as the type of heritage covered by the project.<br><br>Please, tick only one box in the table.<br><br>For example:<br><br>- Training project on rock paintings:<table><tr><td></td><td>Emergency Assistance</td><td>Preparatory Assistance</td><td>Conservation and Management</td></tr><tr><td>Culture</td><td></td><td></td><td>X</td></tr><tr><td>Nature</td><td></td><td></td><td></td></tr><tr><td>Mixed</td><td></td><td></td><td></td></tr></table>- Preparation of a nomination file for a mixed property:<table><tr><td></td><td>Emergency Assistance</td><td>Preparatory Assistance</td><td>Conservation and Management</td></tr><tr><td>Culture</td><td></td><td></td><td></td></tr><tr><td>Nature</td><td></td><td></td><td></td></tr><tr><td>Mixed</td><td></td><td>X</td><td></td></tr></table>- Emergency assistance request following a tropical storm which affected a protected forest area:<table><tr><td></td><td>Emergency Assistance</td><td>Preparatory Assistance</td><td>Conservation and Management</td></tr><tr><td>Culture</td><td></td><td></td><td></td></tr><tr><td>Nature</td><td>X</td><td></td><td></td></tr><tr><td>Mixed</td><td></td><td></td><td></td></tr></table></td></tr>
<tr><td>4.</td><td>PROJECT LOCATION</td><td></td></tr>
</table>

| | | |
|---|---|---|
| | a) Will the project be implemented at a World Heritage property?<br><br>□ - yes □ - no<br><br>If yes, give the name of the property<br><br>b) Will the project include a field component?<br><br>□ - yes □ - no<br><br>If yes, where and how?<br><br>c) If the project is being implemented at a World Heritage property, indicate whether it will also benefit other World Heritage properties, and if so which ones and how? | |
| **5.** | **TIMEFRAME FOR THE IMPLEMENTATION OF THE PROJECT (indicate whether estimated or fixed)** | Indicate the proposed starting date for the project as well as its duration. |
| **6.** | **THE PROJECT IS:**<br><br>□ local<br><br>□ national<br><br>□ sub-regional involving a few States Parties from a region<br><br>□ regional involving most States Parties from a region<br><br>□ international involving States Parties from different regions<br><br>If the project is national, sub-regional, regional or international, please indicate the countries/properties which will participate/benefit from the project. | If other countries benefit from the project, please state whether their support for the project has been obtained.<br><br>Also note if a transboundary property is involved. |
| **7.** | **JUSTIFICATION OF THE PROJECT** | |
| | a) Explain why the project is needed (for Emergency Assistance, please fill in item 8 below instead) | Set out the problems or issues to be discussed/addressed. This should include, where appropriate, the degree of urgency of the activities to be undertaken where appropriate.<br><br>If relevant, give details, in no more than 2 pages, of ascertained or potential threat to the property(ies).<br><br>Explain how the project contributes to the implementation of: |

| | | |
|---|---|---|
| | | - decisions of the World Heritage Committee;<br><br>- recommendations of international expert missions undertaken at the request of the Committee, Chairperson or UNESCO;<br><br>- recommendations of the Advisory Bodies;<br><br>- recommendations of UNESCO World Heritage Centre or other UNESCO Divisions;<br><br>- management plans for the property;<br><br>- recommendations from previous activities supported by the World Heritage Fund.<br><br>Clearly indicate which documents you are referring to (World Heritage Committee's decision number, Mission dates, etc…) |
| | b) List all supporting documents submitted, if applicable. | Whenever possible, support the justification with documentary evidence, such as reports, photographs, slides, maps, etc... |
| **8.** | **FOR EMERGENCY ASSISTANCE ONLY** | |
| | a) Describe the actual or potential threat/danger affecting the property | Emergency Assistance funds will not be automatically granted after a major disaster has occurred. This type of assistance will be provided only in cases when an imminent danger related to a natural or human-made disaster is threatening the overall Outstanding Universal Value of a World Heritage property and its authenticity and/or integrity, to prevent or at least significantly mitigate its possible negative impact on the property.<br><br>Emergency Assistance may also be provided to assess whether or not imminent danger is present, for example as a result of a major disaster.<br><br>When, on the contrary, due to a disaster, a certain loss of heritage has already taken place, but there is no more imminent threat or risk that needs to be addressed as a matter of urgency, other forms of assistance would appear to be more appropriate (e.g. conservation and management assistance). |
| | b) Indicate how it might affect the property's Outstanding Universal Value | In establishing priorities for granting Emergency Assistance, consideration will be given to whether the threat/danger to be addressed has the potential, if not mitigated, to affect the Outstanding Universal Value of the |

<table>
<tr><td></td><td></td><td>World Heritage property and its authenticity and/or integrity.</td></tr>
<tr><td></td><td>c) Explain how the proposed project will address the threat/danger</td><td>Proposals for funding under the Emergency Assistance programme should set out how the scope of the project and its activities will assess the threat/danger to the World Heritage property and show how it will be effectively mitigated.</td></tr>
<tr><td>9.</td><td>OBJECTIVE(S) OF THE PROJECT<br><br>Clearly set out the specific objectives of the project</td><td>What are the objectives you want to achieve through the implementation of this particular project?</td></tr>
<tr><td>10.</td><td>EXPECTED RESULTS</td><td></td></tr>
<tr><td></td><td>a) Clearly state the results expected from the project proposed.</td><td>The expected results should be concrete and measurable. Each expected result will be measured by a set of indicators (see Paragraph 10b).</td></tr>
<tr><td></td><td>b) Define the indicators and means of verification which can be used to assess the achievements of these results:<br><br><table><tr><td>Expected Results</td><td>Indicators</td><td>Means of verification</td></tr><tr><td></td><td></td><td></td></tr><tr><td></td><td></td><td></td></tr><tr><td></td><td></td><td></td></tr></table></td><td>Indicators are used to measure the results achieved and to determine the progress towards the objective of the project. They are based on the expected results defined in Paragraph 10, and will serve as the base for the evaluation of the project after its completion.<br><br>These indicators should be objective, measurable and expressed in quantifiable terms such as numeric values, or percentages.<br><br>For example:<br><br>Preparatory Assistance<br><br>Objective:<br><br>To prepare a complete nomination file for submission to the World Heritage Centre.<br><br><table><tr><td>Expected Results</td><td>Indicators</td><td>Means of Verification</td></tr><tr><td>The completed nomination file submitted to the World Heritage Centre by 1 February 20xx.</td><td>• Nomination submitted by the deadline</td><td>• Postal record of sending the file<br>• Report from WHC to the State Party</td></tr><tr><td>The completed management plan to be submitted</td><td>• Management plan submitted by the deadline</td><td>• Postal record of sending the file<br>• Report from WHC to the State Party</td></tr></table></td></tr>
</table>

| | | |
|---|---|---|
| along with the nomination file | | |
| The nomination file is judged complete by the World Heritage Centre and Advisory Bodies | • Successful examination by the World Heritage Centre and Advisory Bodies for completeness | • Letter from the World Heritage Centre to the State Party informing them the file is considered complete |

**Emergency Assistance**

*Objective:*

To stabilize the structure of a building that has just been damaged due to flooding or an earthquake.

| Expected Results | Indicators | Means of Verification |
|---|---|---|
| The structure of the building would have been stabilized | • Emergency structural problems identified<br>• Plans for emergency works finalized<br>• Temporary stabilization measures carried out | • Report of a structural engineer on the emergency state of the structure<br>• Costed proposal for emergency works to be carried out<br>• Final report of the stabilization work implemented |
| Plans for further conservation work would have been developed for future implementation | • Overall structural analysis carried out<br>• Costed plans for further necessary conservation work prepared | • Report of a structural engineer on the overall state of conservation of the structure<br>• Costed proposal for necessary conservation works to be carried out |

**Conservation and Management Assistance**

*Objective:*

To improve management at a property inscribed on the World Heritage List with special attention to community involvement

| Expected Results | Indicators | Means of Verification |
|---|---|---|
| An integrated management plan for the property | • Setting up of a management planning team for the development of the management plan with participants from the necessary sectors including the local community<br>• Completion of a Statement of Outstanding Universal Value for the property<br>• Analysis of the conservation and management problems affecting the property<br>• Existence of clear objectives and strategies for achieving them | • Monthly reports of the management planning team meetings<br>• Discussion papers produced by team members of each of the key issues faced in the management of the property<br>• Final management plan document |
| A management committee including some members of the local community | • Appointment of members of the management committee including at least two members of the local community<br>• At least 3 regular monthly meetings of the Management Committee | • Statutes and rules of procedure for the Management Committee approved by appropriate authorities<br>• Monthly reports of the Management Committee |
| Management plan approved with appropriate legal status | • Approval by the local government authority | • Decree placed in the "National Gazette" establishing the management plan as a local regulation. |

**11.** **WORK PLAN**
**(including specific activities and timetable)**

| *Activities* | *Timeframe (in months)* | | | | | | |
|---|---|---|---|---|---|---|---|
| Activity | | | | | | | |
| Activity | | | | | | | |
| Activity | | | | | | | |
| **Activity** | | | | | | | |

Describe the work plan of the activity(ies) to be undertaken with specific reference to the expected results mentioned in Paragraph 10 above. Indicate dates, duration of each activity. For meetings and training activities, tentative programmes should be provided including the themes, issues and problems to be discussed.

For example:

*For Expected Result No. 1:*

| *Activities* | *Timeframe (in months)* | | | | | | |
|---|---|---|---|---|---|---|---|
| Activity | ■ | ■ | ■ | ■ | ■ | | |
| Activity | | | | ■ | ■ | ■ | ■ |
| Activity | | ■ | ■ | ■ | | | |
| **Activity** | | | | ■ | ■ | | |

*For Expected Result No. 2:*

| *Activities* | *Timeframe (in months)* | | | | | | |
|---|---|---|---|---|---|---|---|
| Activity | ■ | ■ | ■ | | | | |
| Activity | | | | | ■ | ■ | ■ |
| Activity | ■ | ■ | ■ | ■ | ■ | ■ | ■ |
| **Activity** | | | ■ | ■ | ■ | | |

**12.** **EVALUATION AND REPORTING**
**(to be submitted to the World Heritage Centre within three months after the implementation of the project is completed)**

<u>Final Report:</u>

The final report should be prepared by the authority/person in charge of the implementation of the project.

The final report should be structured according to the expected results defined in Paragraph 10.

<u>Evaluation:</u>

The evaluation should focus on the results achieved and their impact on (for example):

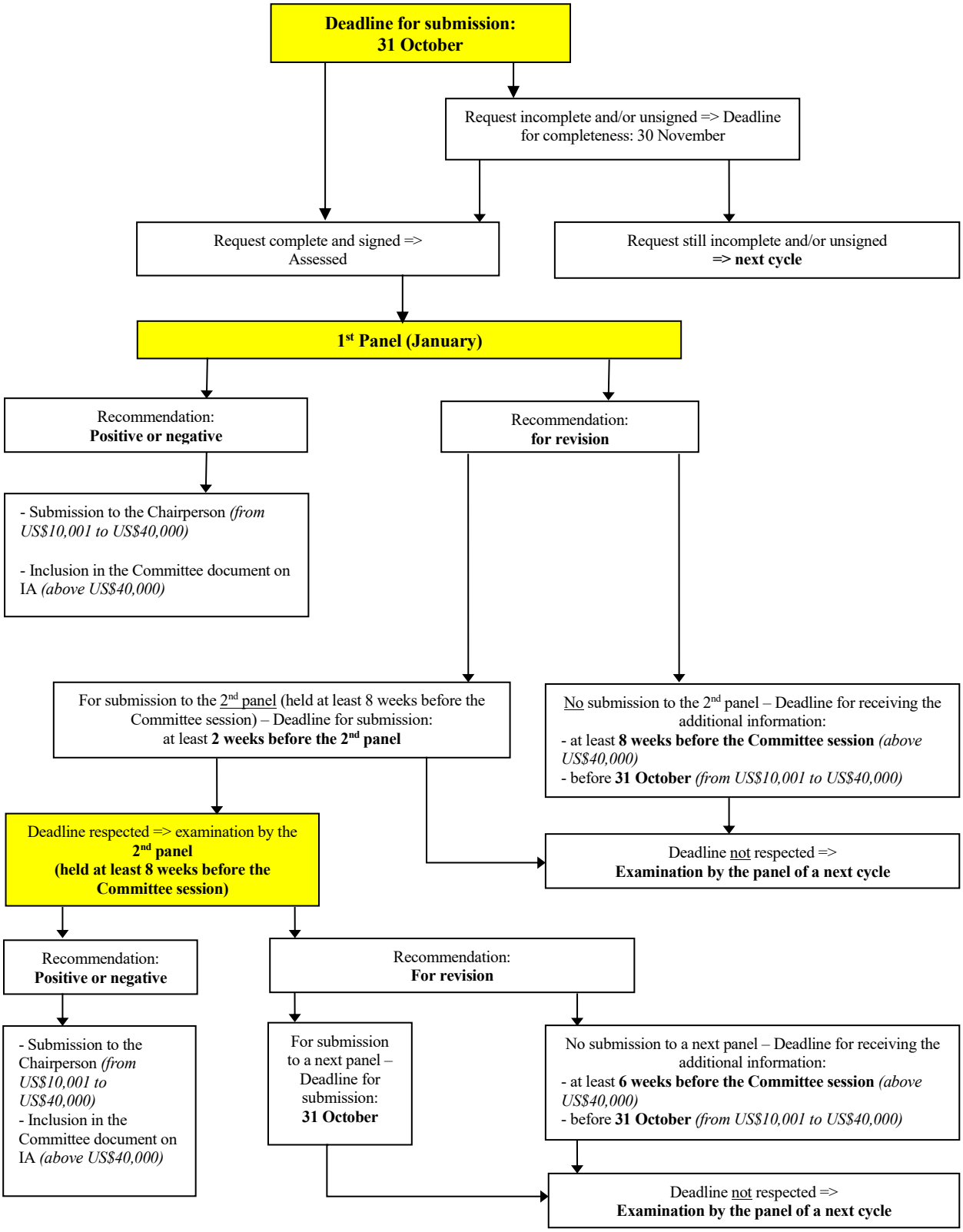
- the inscription of a property on the World Heritage List following a preparatory assistance,

| | | |
|---|---|---|
| | | - the Periodic Report and the State of conservation,<br>- the removal of a property from the List of World Heritage in danger following an emergency assistance,<br>- the implementation of the *World Heritage Convention*, including its Strategic Objectives ("5Cs") and other strategies (e.g. Global Strategy, …),<br>- the national and/or local institutions,<br>- the capacity building of local staff,<br>- the awareness raising of the general public,<br>- the participants to the project,<br>- attracting other resources,<br>- etc…<br>Indicate who will be responsible for the evaluation of the project. |
| **13.** | **PROFILES OF SPECIALISTS, TRAINERS, TECHNICIANS AND/OR SKILLED LABOUR, IF THE PROJECT FORESEES THE PARTICIPATION OF SUCH PEOPLE**<br>**(if the identity of the specialists, trainers, technicians, and/or skilled labourers is already known, please state their names and include a brief CV if possible)** | Indicate the precise field of specialization and the work to be undertaken by each specialist as well as the duration required. The World Heritage Centre and the Advisory Bodies are available to recommend resource persons / trainers, should the State(s) Party(ies) concerned so request.<br>Please include the names of any specialists, if already known, who will be taking part in the project and send a short CV if possible as an annex to the request form. |
| **14.** | **KEY TARGET AUDIENCES, INCLUDING PROFILES OF TRAINEES / PARTICIPANTS, IF THE PROJECT FORESEES THE PARTICIPATION OF SUCH PEOPLE** | Indicate the target groups and beneficiaries of the project, their professions, institutions, or field(s) of specialization. |
| **15.** | **BUDGET BREAKDOWN** | |
| | a) Provide, in the following table (in United States dollars), a detailed breakdown of costs of the individual elements of the project including, if possible, unit costs and show how these will be shared between the different funding sources: | Indicate in the table the breakdown of all expenses related to the project, also indicating the cost-sharing between the various donors (State Party, World Heritage Fund, others). |
| | (i) Organization | Items within this section could include the cost of a venue, office expenses, secretarial assistance, translation, simultaneous interpretation, audio-visual equipment, or other |

| | | |
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| | | organizational costs necessary for the successful implementation of the project. |
| | (ii) Personnel and Consultancy Services | Items within this section could include the cost of international experts, national experts, a local or international coordinator, or other personnel necessary for the successful implementation of the project. |
| | (iii) Travel | Items within this section could include the cost of international or domestic travel necessary for the successful implementation of the project. |
| | (iv) Daily Subsistence Allowance | Items within this section could include the cost of accommodation, meals, and incidentals necessary for the successful implementation of the project. |
| | (v) Equipment | Items within this section could include any equipment necessary for the successful implementation of the project. |
| | (vi) Evaluation, Reporting and Publication | Items within this section could include the cost of evaluation, reporting, editing and layout, printing, distribution, and other costs necessary for the successful implementation of the project. |
| | (vii) Miscellaneous | Items within this section could include the cost of visas or other small costs that are necessary for the successful implementation of the project. |
| | b) Specify whether or not resources from the State Party or other sources are already available or when they are likely to become available | If the resources are not already available, indicate whether they will be before the beginning of the project. |
| **16.** | **IN KIND CONTRIBUTIONS FROM THE STATE PARTY AND OTHER AGENCIES** | |
| | a) National agency(ies) | Specify in detail |
| | b) Other bi/multi-lateral organizations, donors, etc | Specify in detail |
| **17.** | **AGENCY(IES) RESPONSIBLE FOR THE IMPLEMENTATION OF THE PROJECT** | Please provide the name, title, address and all contact details of the person/agency(ies) who will be responsible for the implementation of the project as well as those of any other participating agencies.<br><br>Please, indicate whether the legislative and administrative commitment of the State Party is available for the project (see Paragraph 239d of the *Operational Guidelines*). |

| | | |
|---|---|---|
| **18.** | **SIGNATURE ON BEHALF OF STATE PARTY** | Full name<br>Title<br>Date |
| **19.** | **ANNEXES** | In this section, list the number of annexes attached to the request and titles of each annex. |

## Process of submission for International Assistance requests for Conservation & Management Assistance and Preparatory Assistance above US$5,000



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## EVALUATION CRITERIA FOR INTERNATIONAL ASSISTANCE REQUESTS

The following considerations are to be taken into account by the Advisory Bodies, World Heritage Centre, and the relevant Decision-maker (the Chairperson of the World Heritage Committee, the World Heritage Committee or the Director of the World Heritage Centre) when assessing International Assistance requests.

These items do not constitute a checklist, and not every item will be applicable to every International Assistance Request. Rather the appropriate items are to be considered together in an integrated manner in making balanced judgments concerning the appropriateness of allocating the limited financial support available through the World Heritage Fund.

### A. Eligibility

1. Is the State Party in arrears for payment of its contribution to the World Heritage Fund?
2. Is the request coming from an authorized organization/institution of the State Party?

### B. Priority considerations

3. Is the request from a State Party on the list of the Least Developed Countries (LDCs), Low Income Economies (LIEs), Small Island Developing States (SIDS) or post-conflict countries?
4. Is the property on the List of World Heritage in Danger?
5. Does the request further one or more of the Strategic Objectives of the World Heritage Committee (Credibility, Conservation, Capacity building, and Communication)?
6. Does the request respond to needs identified through the Periodic Reporting process at the property and/or regional levels?
7. Is the request linked to a regional or sub-regional capacity building programme?
8. Is there a capacity building aspect to the activity (no matter what type of assistance sought)?
9. Will the lessons learned from the activity provide benefits to the larger World Heritage system?

### C. Considerations linked to the specific content of the proposed activity

10. Are the objectives of the request clearly stated and achievable?
11. Is there a clear work plan for achieving the results, including a timeline for its implementation? Is the work plan reasonable?
12. Does the agency/organization responsible for implementing the proposal have the capacity to do so, and is there a responsible person identified for ongoing contacts?
13. Are the professionals proposed to be used (whether national or international) qualified to carry out the work being requested? Are there clear terms of reference for them, including adequate period of their involvement?
14. Is the involvement of all relevant parties taken into account in the proposal (for example stakeholders, other institutions, etc.)?
15. Are the technical requirements clearly expressed and are they reasonable?

16. Is there a clear plan for reporting the results and for continued monitoring, including appropriate indicators for success?

17. Is there a commitment of the State Party for appropriate follow-up after the activity is completed?

## D. Budgetary / Financial considerations

18. Is the overall budget reasonable for the work that is proposed to be carried out?

19. Is the budget detailed sufficiently to ensure that the unit costs are reasonable and in line with local costs and/or UNESCO norms and rules as appropriate?

20. Does the request act as a catalyst (multiplier) for other funding (are other sources of funding, either cash or in-kind clearly specified)?

## E. Considerations for specific types of International Assistance

### *a) Emergency Assistance Requests*

21. Does the threat or disaster covered by the request conform to the definition of an emergency within the *Operational Guidelines* (unexpected phenomena)?

22. Can the proposed intervention be carried out with reasonable safety for those involved with its implementation?

23. Does the intervention respond to the most critical issues related to the protection/conservation of the property?

### *b) Preparatory Assistance Requests*

<u>For requests for preparation of nomination files</u>

24. Is the property on the State Party's Tentative List?

25. Does the State Party already have properties inscribed on the World Heritage List? If yes, how many?

26. Is the type of property proposed for World Heritage listing un-represented or under-represented in the World Heritage List?

27. Is sufficient attention paid to necessary elements, such as the preparation of the management plan, comparative analysis, Statement of Outstanding Universal Value, mapping, etc.?

28. Is sufficient attention given to community involvement?

<u>For requests for preparation of Tentative Lists</u>

29. Is the process designed to include all the necessary stakeholders and points of view?

30. Are both natural and cultural heritage professionals proposed to be involved?

31. Is the State Party new to the *World Heritage Convention*?

32. If the request is for harmonization of Tentative Lists, are representatives from all the necessary States Parties in the region or sub-region involved?

<u>For requests for preparation of other types of assistance</u>

33. If the request is for the preparation of a request for other assistance, is the need for the eventual request well documented?

***c) Conservation and Management Assistance Requests***

For requests for conservation work or the preparation of a management plan

34. Is the property on the World Heritage List?
35. Is the work being proposed a priority for protecting or safeguarding the property?
36. Does the work being proposed conform to best practice?

For requests for training activities

37. Is it clearly related to the implementation of the *World Heritage Convention*?
38. Does it take place on a World Heritage property or involve a visit/case study of a World Heritage property?
39. Does it involve those responsible for conservation at a World Heritage property as trainees or resource persons?
40. Does it respond to well-defined training needs?
41. Are the training methods appropriate to ensure that the learning objectives will be met?
42. Does it strengthen a local and/or regional training institution?
43. Is it linked with practical applications in the field?
44. Is there a provision for disseminating results and related training materials to other organizations in the World Heritage system?

For requests related to scientific research

45. Can it be demonstrated that the subject matter is of a priority nature for better protection and safeguarding of World Heritage properties?
46. Can it be demonstrated that the results will be concrete and applicable widely within the World Heritage system?

For requests for educational or awareness activities

47. Will it help make the *World Heritage Convention* better known or create a stronger interest in it amongst the target audience?
48. Will it create a greater awareness of the different issues related to the implementation of the *World Heritage Convention*?
49. Will it promote more involvement in *World Heritage Convention* related activities?
50. Will it be a means of exchanging experiences or stimulate joint educational and information programmes, especially amongst school children?
51. Will it produce appropriate awareness materials for the promotion of the *World Heritage Convention* for use by the target audience?

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**STATEMENT OF**
**OUTSTANDING UNIVERSAL VALUE**

Format of a Statement of Outstanding Universal Value, and of a retrospective Statement of Outstanding Universal Value.

The retrospective Statement of Outstanding Universal Value should be submitted either in English or in French. An electronic version (Word or .pdf format) should also be submitted.

A Statement of Outstanding Universal Value should respect the following format (2 A4 pages max):

a) Brief synthesis
b) Justification for Criteria
c) Statement of integrity (for all sites)
d) Statement of Authenticity (for sites under criteria i-vi)
e) Requirements for protection and management

**Deadline**

1 February[21] of the year preceding the one in which the approval of the Committee is requested.

[21] If 1 February falls on a weekend, the nomination dossier must be received by 17h00 GMT the preceding Friday

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**MODIFICATIONS**
**TO WORLD HERITAGE PROPERTIES**

## MINOR MODIFICATIONS TO THE BOUNDARIES OF WORLD HERITAGE PROPERTIES

Boundary modifications should serve better identification of World Heritage properties and enhance protection of their Outstanding Universal Value.

A proposal for a minor boundary modification, submitted by the State Party concerned, is subject to the review of the relevant Advisory Body(ies) and to the approval of the World Heritage Committee.

A proposal for a minor boundary modification can be approved, not approved, or referred by the World Heritage Committee.

**Documentation requested**

1) **Area of the property (in hectares)**: please indicate a) the area of the property as inscribed and b) the area of the property as proposed to be modified (or the area of the proposed buffer zone). (Note that reductions can be considered as minor modifications only under exceptional circumstances).

2) **Description of the modification**: please provide a written description of the proposed change to the boundary of the property (or a written description of the proposed buffer zone).

3) **Justification for the modification**: please provide a brief summary of the reasons why the boundaries of the property should be modified (or why a buffer zone is needed), with particular emphasis on how such modification will improve the conservation and/or protection of the property.

4) **Contribution to the maintenance of the Outstanding Universal Value**: please indicate how the proposed change (or the proposed buffer zone) will contribute to the maintenance of the Outstanding Universal Value of the property.

5) **Implications for legal protection**: please indicate the implications of the proposed change for the legal protection of the property. In the case of a proposed addition, or of the creation of a buffer zone, please provide information on the legal protection in place for the area to be added and a copy of relevant laws and regulations.

6) **Implications for management arrangements**: please indicate the implications of the proposed change for the management arrangements of the property. In the case of a proposed addition, or of the creation of a buffer zone, please provide information on the management arrangements in place for the area to be added.

7) **Maps**: please submit two maps, one clearly showing both delimitations of the property (original and proposed revision) and the other showing only the proposed revision. In the case of the creation of a buffer zone, please submit a map showing both the inscribed property and the proposed buffer zone.

   Please make sure that the maps:

   - are either topographic or cadastral;
   - are presented at a scale which is appropriate to the size in hectares of the property and sufficient to clearly show the detail of the current boundary and the proposed changes (and, in any case, the largest available and practical scale);
   - have the title and the legend/key in English or French (if this is not possible, please attach a translation);

- mark the boundaries of the property (current and proposed revision) through a clearly visible line that can be distinguished from other features on the maps;
- bear a clearly labeled coordinate grid (or coordinate ticks);
- clearly refer (in the title and in the legend) to the boundary of the World Heritage property (and to the buffer zone of the World Heritage property, if applicable). Please clearly distinguish the boundary of the World Heritage property from any other protected area boundaries.

8) **Additional information**: In the case of a proposed addition, please submit some photographs of the area to be added that provide information on its key values and conditions of authenticity/integrity.

Any other relevant document can be submitted such as thematic maps (e.g. vegetation maps), summaries of scientific information concerning the values of the area to be added (e.g. species lists), and supporting bibliographies.

The above-mentioned documentation should be submitted in English or French in two identical copies (three for mixed properties). An electronic version (the maps in formats such as .jpg, .tif, .pdf) should also be submitted.

**Deadline**

1 February[22] of the year in which the approval of the Committee is requested.

[22] If 1 February falls on a weekend, the nomination dossier must be received by 17h00 GMT the preceding Friday

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**FORM FOR THE SUBMISSION OF FACTUAL ERRORS IN THE ADVISORY BODIES EVALUATIONS**

**(in compliance with Paragraph 150 of the *Operational Guidelines*)**

| **STATE(S) PARTY(IES):** |
|---|

| **EVALUATION OF THE NOMINATION OF THE SITE:** |
|---|

| **RELEVANT ADVISORY BODY'S EVALUATION[23]:** |
|---|

| **Page, column, line of the Advisory Body Evaluation** | **Sentence including the factual error**<br><br>**(the factual error should be highlighted in bold)** | **Proposed correction by the State Party** | **Comment (if any) by the Advisory Body and/or the World Heritage Centre** |
|---|---|---|---|
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- The Factual Errors submission form, as well as an example of such a completed form, are available from the UNESCO World Heritage Centre and at https://whc.unesco.org/en/factualerrors.
- Further guidance on the submission of Factual Errors can be found in Paragraph 150 of the *Operational Guidelines*.
- States Parties are requested to immediately submit this information in electronic format or by e-mail to wh-nominations@unesco.org.

The original signed version of the completed Factual Errors submission form should be received in English or French by the UNESCO World Heritage Centre, at the following address: 7 place de Fontenoy, 75352 Paris 07 SP, France, no later than 14 days before the opening of the session of the Committee.

[23] For nominations of mixed sites, if there are errors in both the Evaluations of the Advisory Bodies, separate forms should be submitted for each Advisory Body indicating which Advisory Body's Evaluation each submission is referring to.

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# FORMAT FOR THE SUBMISSION OF STATE OF CONSERVATION REPORTS BY THE STATES PARTIES

**(in compliance with Paragraph 169 of the *Operational Guidelines*)**

**Name of World Heritage property (State(s) Party(ies)) (Identification number)**

1. Executive Summary of the report

   *[Note: each of the sections described below should be summarized. The maximum length of the executive summary is 1 page.]*

2. Response to the Decision of the World Heritage Committee

   *[Note: The State(s) Party(ies) is/are requested to address the most recent Decision of the World Heritage Committee for this property, paragraph by paragraph.]*

   If the property is inscribed on the List of World Heritage in Danger

   Please also provide detailed information on the following:

   a) Progress achieved in implementing the corrective measures adopted by the World Heritage Committee

      *[Note: please address each corrective measure individually, providing factual information, including exact dates, figures, etc.]*

      If needed, please describe the success factors or difficulties in implementing each of the corrective measures identified

   b) Is the timeframe for implementing the corrective measures suitable? If not, please propose an alternative timeframe and an explanation why this alternative timeframe is required.

   c) Progress achieved towards the Desired state of conservation for the removal of the property from the List of World Heritage in Danger (DSOCR)

3. Other current conservation issues identified by the State(s) Party(ies) which may have an impact on the property's Outstanding Universal Value

   *[Note: this includes conservation issues which are not mentioned in the Decision of the World Heritage Committee or in any information request from the World Heritage Centre]*

4. In conformity with Paragraph 172 of the *Operational Guidelines*, describe any potential major restorations, alterations and/or new construction(s) intended within the property, the buffer zone(s) and/or corridors or other areas, where such developments may affect the Outstanding Universal Value of the property, including authenticity and integrity.

5. Public access to the state of conservation report

   *[Note: this report will be uploaded for public access on the World Heritage Centre's State of conservation Information System (https://whc.unesco.org/en/soc). Should your State Party request that the full report should not be uploaded, only the 1-page executive summary provided in point (1.) above will be uploaded for public access].*

6. Signature of the Authority




## TABLE OF USES OF THE WORLD HERITAGE EMBLEM

This table was prepared on the basis of Chapter VIII of the *Operational Guidelines* of the World Heritage Convention and *the Directives Concerning the Use of the Name, Acronym, Logo and Internet Domain Names of UNESCO* (Resolution 34C/86).

Reminder concerning the authority and delegation of authority for the use of the World Heritage Emblem according to Chapter VIII of the *Operational Guidelines*:

Para. 262:

"The World Heritage Committee is responsible for determining the use of the World Heritage Emblem and for making policy prescriptions regarding how it may be used."

Para. 276:

"National authorities may grant the use of the Emblem to a national entity, provided that the project, whether national or international, involves only World Heritage properties located on the same national territory. National authorities' decision should be guided by the Guidelines and Principles."

Para. 278:

Any other request must be addressed to the Director of the World Heritage Centre, who has the authority to grant the use of the Emblem in accordance with the Guidelines and Principles.

For cases not covered, or not sufficiently covered, by the Guidelines and Principles and by the Table of Uses, the Director refers the matter to the Chairperson who, in the most difficult cases, might wish to refer the matter to the Committee for final decision.

Reminder concerning the use of the linked logo and of the stand alone Emblem:

Para. 262:

Since the adoption by the UNESCO General Conference in October 2007 of the *Directives concerning the Use of the Name, Acronym, Logo and Internet Domain Names of UNESCO*, it is strongly encouraged to use the World Heritage Emblem as part of a linked logo block accompanied by UNESCO's logo, whenever feasible. When the emblem is used in a linked logo block with the UNESCO logo, the graphical standards of the UNESCO Secretariat must be strictly followed. The use of the World Heritage Emblem alone remains however possible, in line with the present Guidelines and with the Table of Uses (Annex 14 of the Guidelines).

Reminder concerning the use of the UNESCO logo:

Information on the use of the UNESCO logo is available here:
http://en.unesco.org/logopatronage

According to the Preamble of Chapter VIII of the *Operational Guidelines*, the stand alone World Heritage Emblem can be used in any colour or size. However, when the emblem is used in a linked logo block with the UNESCO logo, the graphical standards of the UNESCO Secretariat must be strictly followed.

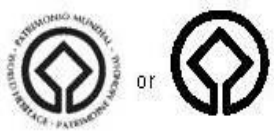
The logos are provided by the authorizing entities (as detailed in the following table) in digital format which cannot be modified by the users in any way.

It is obligatory to submit the draft layout of the intended use to the authorizing entity for validation before production.

Definition of commercial use:

*The sale of goods or services bearing the name, acronym, logo and/or Internet domain name of UNESCO combined with the World Heritage Emblem chiefly for profit shall be regarded as "commercial use" for the purpose of the* Operational Guidelines. *Such use must be expressly authorized by the Director-General, under a specific contractual arrangement* (definition adapted from UNESCO Logo Directives 2007. Art III.2.1.3)

WORLD HERITAGE CENTRE

| **Uses and purposes** | **Uses** | | **Authorization** | | **Graphic illustrations** |
|---|---|---|---|---|---|
| **World Heritage Centre - WHC (for international content)** | **Type of Logo the WHC can use** | **Use of the Logo by the WHC is authorized by** | **WHC can authorize the Logo for** | **Type of Logo the WHC can authorize** | **Logo to be used and/or authorized by the WHC** |
| 1) Publications<br>2) Communication materials<br>3) Website, social media, apps, etc.<br>4) Working documents<br>5) Communication products (such as T-shirts, bags, umbrellas), for special events<br>6) Stationery | UNESCO/World Heritage Convention logo | Statutory use | State Party hosting a Committee session | UNESCO/World Heritage Convention logo |  |
| 1) Publications<br>2) Communication materials<br>3) Website, social media, apps, etc.<br>4) Small-size communication products (such as pens, key-rings etc), for special events<br>5) Stationery | World Heritage Emblem | Statutory use | 1) World Heritage Site Management Authority<br>2) State Party hosting a Committee session | World Heritage Emblem |  |

| **Uses and purposes** | **Uses** | | **Authorization** | | **Graphic illustrations** |
|---|---|---|---|---|---|
| **World Heritage Centre - WHC (for international content)** | **Type of Logo the WHC can use** | **Use of the Logo by the WHC is authorized by** | **WHC can authorize the Logo for** | **Type of Logo the WHC can authorize** | **Logo to be used and/or authorized by the WHC** |
| Committee session | UNESCO/World Heritage logo + "XXth/st/nd/rd World Heritage Committee session" | Statutory use | State Party hosting a Committee session | UNESCO/World Heritage logo + "XXth/st/nd/rd World Heritage Committee session" |  |
| Partnership with external entities (private and public sector) | UNESCO logo block<br><br>UNESCO logo block with text “With the support of”, “In cooperation with”, or “In partnership with” | Statutory use | External entities in partnership with UNESCO | 1). UNESCO logo block for joint communication with partner<br><br>2) UNESCO logo block with text “With the support of”, “In cooperation with”, or “In partnership with” for communication issued by the partner alone | <br>…xxx in partnership with<br> |

## NATIONAL COMMISSIONS AND AGENCIES (DESIGNATED NATIONAL AUTHORITIES)

| Users and purposes | Uses | | Authorization | | Graphic illustrations |
|---|---|---|---|---|---|
| **National Commission and Agencies (Designated National Authorities) (for national content)** | **Type of Logo the NatCom and Agencies (Designated National Authorities) can use** | **Use of the Logo by the NatCom and Agencies (Designated National Authorities) is authorized by** | **NatCom and Agencies (Designated National Authorities) can authorize the use of the Logo for** | **Type of Logo the NatCom and Agencies (Designated National Authorities) can authorize** | **Logo to be used and/or authorized by the NatCom and Agencies (Designated National Authorities)** |
| 1) Non-commercial publications<br>2) Communication materials<br>3) Website, social media, apps, etc.<br>4) Communication products such as T-shirts, bags, umbrellas (non-merchandising, exceptionally for special events)<br>5) Stationery | UNESCO-World Heritage logo with text "World Heritage in… [Country name]" | Statutory use | Local **and national** government authorities and site managing authorities | UNESCO-World Heritage logo with text "World Heritage in + country" |  |
| 1) Website, social media, apps, etc. when space is limited<br>2) Communication products as a graphic element or when space is limited<br>3) Stationery<br>4) Any other applicable case according to the *Operational Guidelines* | World Heritage Emblem | Statutory use | World Heritage site management authority & relevant national or local government authority. | World Heritage Emblem |  |

| Users and purposes | Uses | | Authorization | | Graphic illustrations |
|---|---|---|---|---|---|
| **National Commission and Agencies (Designated National Authorities) (for national content)** | **Type of Logo the NatCom and Agencies (Designated National Authorities) can use** | **Use of the Logo by the NatCom and Agencies (Designated National Authorities) is authorized by** | **NatCom and Agencies (Designated National Authorities) can authorize the use of the Logo for** | **Type of Logo the NatCom and Agencies (Designated National Authorities) can authorize** | **Logo to be used and/or authorized by the NatCom and Agencies (Designated National Authorities)** |
| Road signs, highway signs | UNESCO/World Heritage site generic logo | Statutory use | World Heritage site management authority & relevant national or local government authority. | UNESCO/World Heritage site generic | unesco World Heritage site |
| Road signs, highway signs | UNESCO/World Heritage site specific logo. A specific logo can be relevant for transboundary and/or serial site nominations (in this case, the NatCom or Agency itself will need to create the logo)<br>For components, it should be preceded by the mention "xxx [name of the element/monument/ place] part of …" | Statutory use | World Heritage site management authority & relevant national or local government authority | UNESCO/World Heritage site specific logo. A specific logo can be relevant for transboundary and/or serial site nominations (in this case, the NatCom or Agency itself will need to create the logo) | unesco Ancient and Primeval Beech Forests of the Carpathians and Other Regions of Europe World Heritage since 2017<br>XXX Part of<br>unesco Ancient and Primeval Beech Forests of the Carpathians and Other Regions of Europe World Heritage since 2017 |

| Users and purposes | Uses | | Authorization | | Graphic illustrations |
|---|---|---|---|---|---|
| **National Commission and Agencies (Designated National Authorities) (for national content)** | **Type of Logo the NatCom and Agencies (Designated National Authorities) can use** | **Use of the Logo by the NatCom and Agencies (Designated National Authorities) is authorized by** | **NatCom and Agencies (Designated National Authorities) can authorize the use of the Logo for** | **Type of Logo the NatCom and Agencies (Designated National Authorities) can authorize** | **Logo to be used and/or authorized by the NatCom and Agencies (Designated National Authorities)** |
| Road signs, highway signs | World Heritage Emblem | Statutory use | World Heritage site management authority & relevant national or local government authority | World Heritage Emblem |  |
| Commercial use | UNESCO-World Heritage logo with text "World Heritage in... [Country name]" | Director-General of UNESCO | | |  |
| | World Heritage Emblem | Statutory use | National entity | World Heritage Emblem |  |

| Users and purposes | Uses | | Authorization | | Graphic illustrations |
|---|---|---|---|---|---|
| **National Commission and Agencies (Designated National Authorities) (for national content)** | **Type of Logo the NatCom and Agencies (Designated National Authorities) can use** | **Use of the Logo by the NatCom and Agencies (Designated National Authorities) is authorized by** | **NatCom and Agencies (Designated National Authorities) can authorize the use of the Logo for** | **Type of Logo the NatCom and Agencies (Designated National Authorities) can authorize** | **Logo to be used and/or authorized by the NatCom and Agencies (Designated National Authorities)** |
| Committee session | UNESCO/World Heritage + "XXth/st/rd/nd World Heritage Committee Session" | World Heritage Centre | Organizing authority | UNESCO/World Heritage + "XXth/st/rd/nd World Heritage Committee Session" | unesco 45th session of the World Heritage Committee |
| Patronage for World Heritage related one-off events at national level (ex: conferences, publications or audio-visual production activity on national or local level) | | | Organizing entities receiving National Commission patronage | UNESCO-National Commission logo with the text “under the patronage of” in close proximity to the UNESCO-National Commission logo | unesco Ghana Commission<br>XXX under the patronage of<br>unesco Curaçao National Commission |

| Users and purposes | Uses | | Authorization | | Graphic illustrations |
|---|---|---|---|---|---|
| **National Commission and Agencies (Designated National Authorities) (for national content)** | **Type of Logo the NatCom and Agencies (Designated National Authorities) can use** | **Use of the Logo by the NatCom and Agencies (Designated National Authorities) is authorized by** | **NatCom and Agencies (Designated National Authorities) can authorize the use of the Logo for** | **Type of Logo the NatCom and Agencies (Designated National Authorities) can authorize** | **Logo to be used and/or authorized by the NatCom and Agencies (Designated National Authorities)** |
| Partnership related to World Heritage at national level | UNESCO-National Commission logo | Statutory use | National organisation having established a partnership with the National Commission | UNESCO-National Commission logo for joint communication with partner | unesco Ghana Commission |
| Partnership related to World Heritage at national level | UNESCO-National Commission logo | Statutory use | National organisation having established a partnership with the National Commission | UNESCO-National Commission logo with text “With the support of” or “In cooperation with”, or “In partnership” for communication issued by the partner alone | XXX in partnership with unesco Curaçao National Commission |

## WORLD HERITAGE SITE MANAGEMENT AUTHORITY

| **Uses and purposes** | **Uses** | | **Authorization** | | **Graphic illustrations** |
|---|---|---|---|---|---|
| **World Heritage site management authority (for site-related content)** | **Type of Logo the WH site can use** | **Use of the Logo by the WH site is authorized by** | **WH site can authorize the Logo for** | **Type of Logo the WH site can authorize** | **Logo to be used and/or authorized by the WH site** |
| 1) Non-commercial publications<br>2) Communication materials<br>3) Website, social media, apps, etc.<br>4) Communication products (such as T-shirts, bags, umbrellas) non-merchandising, for special events<br>5) Stationery<br>6) Plaque, flag, banner | UNESCO/World Heritage site generic logo | National Commission or Agency (Designated National Authority) or World Heritage Centre | | | unesco<br>World Heritage site |
| | UNESCO-World Heritage site specific logo. A specific logo can be particularly relevant for transboundary and/or serial site nominations (in this case, the NatCom itself will need to create the logo).<br><br>For components, it should be preceded by the mention "xxx [name of the element/monument/ place] part of …" | National Commission or Agency (Designated National Authority) or World Heritage Centre | | | unesco<br>Ancient and Primeval Beech Forests of the Carpathians and Other Regions of Europe<br>World Heritage since 2017<br><br>XXX part of<br>unesco<br>Ancient and Primeval Beech Forests of the Carpathians and Other Regions of Europe<br>World Heritage since 2017 |

| **Uses and purposes** | **Uses** | | **Authorization** | | **Graphic illustrations** |
|---|---|---|---|---|---|
| **World Heritage site management authority (for site-related content)** | **Type of Logo the WH site can use** | **Use of the Logo by the WH site is authorized by** | **WH site can authorize the Logo for** | **Type of Logo the WH site can authorize** | **Logo to be used and/or authorized by the WH site** |
| 1) Non-commercial publications<br>2) Communication materials<br>3) Website, social media, apps, etc.<br>4) Communication products (such as T-shirts, bags, umbrellas) non-merchandising, for special events<br>5) Stationery<br>6) Plaque, flag, banner | World Heritage Emblem | National Commission or Agency (Designated National Authority) or World Heritage Centre | | |  |
| Road signs, highway signs | UNESCO/World Heritage site generic logo | National Commission or Agency (Designated National Authority) or World Heritage Centre | | |  |
| | UNESCO-World Heritage site specific logo. A site-specific logo can be particularly relevant for transboundary and/or serial site nominations (in this case, the NatCom itself will need to create the logo) | National Commission or Agency (Designated National Authority) or World Heritage Centre | | |  |

| **Uses and purposes** | **Uses** | | **Authorization** | | **Graphic illustrations** |
|---|---|---|---|---|---|
| **World Heritage site management authority (for site-related content)** | **Type of Logo the WH site can use** | **Use of the Logo by the WH site is authorized by** | **WH site can authorize the Logo for** | **Type of Logo the WH site can authorize** | **Logo to be used and/or authorized by the WH site** |
| Road signs, highway signs | World Heritage Emblem | National Commission or Agency (Designated National Authority) or World Heritage Centre | | |  |
| Commercial use | UNESCO/World Heritage site generic logo | Director-General of UNESCO | | |  |
| | UNESCO-World Heritage site specific logo. A site-specific logo can be particularly relevant for transboundary and/or serial site nominations (in this case, the NatCom itself will need to create the logo) | Director-General of UNESCO | | |  |
| | World Heritage Emblem | National Commission or Agency (Designated National Authority) or World Heritage Centre | | |  |

## WORLD HERITAGE ADVISORY BODIES

| Uses and purposes | Uses | | Authorization | | Graphic illustrations |
|---|---|---|---|---|---|
| **Advisory Bodies** | **Type of Logo the Advisory Bodies can use** | **Use of the Logo by Advisory Bodies is authorized by** | **Advisory Bodies can authorize the Logo for** | **Type of Logo the Advisory Bodies can authorize** | **Logo to be used and/or authorized by the Advisory Bodies** |
| 1) Non-commercial publications<br>2) Non-commercial communication materials<br>3) Website<br>4) Non-commercial stationery | UNESCO-World Heritage Convention logo | World Heritage Centre | | |  |
| 1) Publications<br>2) Communication materials<br>3) Website<br>4) Stationery | World Heritage Emblem | World Heritage Centre | | |  |

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unesco
World Heritage Convention

**UPSTREAM PROCESS REQUEST FORMAT**

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**1. State(s) Party(ies)**

**2. Object of the advice requested from the World Heritage Centre or the Advisory Bodies**
(Please tick the corresponding box)

☐ Development, revision or harmonization of Tentative List(s)

☐ Potential future nomination – If applicable, name of the site(s)

**3. Brief description of the site** (summary of factual information and qualities of the site, if applicable)

**4. Expected time frame for the realization of the Upstream Process**

**5. Would a site visit be necessary?** ☐ Yes ☐ No

**6. Availability of funds to implement the request**
(Please indicate how you intend to cover the costs related to the implementation of the Upstream Process request. Please also indicate whether you plan to apply for assistance from the World Heritage Fund, if eligible (International Assistance mechanism or Advisory Missions budget line), or from another funding source).

**7. Any additional information you may wish to provide**

**8. Contact information of the responsible authorities** (name, title, e-mail, telephone)

**9. Signature on behalf of the State(s) Party(ies)**

The original signed version of the completed Upstream Process request form should be sent in English or French to:
**UNESCO World Heritage Centre**
7, place de Fontenoy
75352 Paris 07 SP
France
Telephone: +33 (0)1 4568 1104
E-mail: wh-upstream@unesco.org

# SELECT WORLD HERITAGE BIBLIOGRAPHY

## WORLD HERITAGE CENTRE DOCUMENTS DATABASE

https://whc.unesco.org/en/documents/

The UNESCO World Heritage Centre "Official Records" searchable online document collection permits the retrieval of information contained in the reports of the World Heritage Committee and General Assembly of States Parties to the Convention.

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## WORLD HERITAGE REVIEW

https://whc.unesco.org/en/review/

*World Heritage* is a quarterly review produced in English, French and Spanish by the UNESCO World Heritage Centre, featuring in-depth articles on world heritage-related issues and inscribed sites. 93 issues published since 1996.

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